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Habitat selection: How sensory systems influence settlement patterns in larval red drum (*Sciaenops ocellatus*)

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**Habitat selection: How sensory systems influence settlement patterns in
larval red drum (*Sciaenops ocellatus*)**

by

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Dedication

This work is dedicated to my parents. Since I was a little girl you have always fully supported my scientific endeavors. Thank you for the unrelenting encouragement, and instilling the confidence in me to never settle. I love you with all my heart.

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Habitat selection: How sensory systems influence settlement patterns in larval red drum (*Sciaenops ocellatus*)

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Settlement is arguably the last stage of high mortality in the life cycle of many marine fish species with a bipartite life cycle, making the number of larvae that settle successfully to a benthic habitat a reasonable determinant of future population size. Habitat selection during settlement is likely an active process, however, much of what we know about settlement behavior comes from studies in coral reef ecosystems. This collection of studies examined the ability of estuarine-dependent fish larvae (red drum, *Sciaenops ocellatus*) to locate settlement sites based on information received from their senses, with a focus on the different spatial scales over which larval sensory systems operate. During the pelagic phase, red drum are exposed to elevated sound levels in the tidal inlets. This noise caused larvae to reduce their activity in the form of fewer turns, less time spent swimming, and a lower overall mean speed compared with silent controls. As red drum approached settlement size, but not at earlier stages, they responded to olfactory cues associated with seagrass beds, their primary settlement habitat. Activity increased in the presence of lignin, a compound associated with the cell walls of vascular plants. Also, settlement-size larvae spent more time in water masses taken from seagrass

beds compared to control sea water, implying a preference for olfactory cues associated with estuaries. While settlement and post-settlement-size larvae positioned themselves near the benthos regardless of water column height or substrate color, pre-settlement larvae moved away from yellow and green (associated with the estuary) but not black (associated with a deep water column) benthic colors. Additionally, red drum larvae settled to seagrass and sand at a smaller size than they did to oyster shells, and they delayed settlement when a suitable benthic habitat was not available. These findings can be interpreted as evidence for an estuarine-dependent species taking advantage of cues available to multiple sensory systems in order to actively locate settlement habitats.

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Chapter 1: General Introduction

Dispersal is a process that has considerable impacts on both evolution and ecology (Johnson & Gaines 1990, Bilton et al. 2001). It dictates not only where an individual can recruit, but also influences the processes involved after arrival (Nathan & Muller-Landau 2000). Dispersal sometimes occurs before maturity, which is termed 'natal dispersal.' Natal dispersal, as defined by Howard (1960), is "the permanent movement an individual makes from its birthsite to the place where it reproduces or would have reproduced had it survived and found a mate." While disadvantages include predation, failure to locate a suitable habitat, and the inability to locate a mate, natal dispersal persists in many kingdoms of life (Stenseth & Lidicker 1992, Bilton et al. 2001). Plants and fungi produce seeds and spores, respectively, to be passively carried away by animals, wind, or water (Howe & Smallwood 1982). Birds, on the other hand, may actively leave their natal site to follow prey, subsequently breeding up to thousands of kilometers from where they hatched (as reviewed in Greenwood & Harvey 1982). These transfers have many advantages, including finding new resources, avoiding inbreeding, decreasing competition, leaving unfavorable conditions, increasing genetic diversity, decreasing chances of extinction, and filling vacant niches (Stenseth & Lidicker 1992, Bilton et al. 2001).

Natal dispersal is much more widespread in the marine environment than on land, especially in organisms with complex life histories (Nielsen 1998, Bonhomme & Planes 2000). The complex life histories of most marine animals consist of an offshore pelagic

larval phase followed by an inshore benthos-associated juvenile and adult phase. These complex life histories evolve when the niches vary significantly with life stage, and in order to maximize the benefits gained from each niche, different body plans and genetic traits are necessary (e.g., Werner 1988, Ebenman 1992). In the marine environment, predators, prey, and habitat in the open water environment differs greatly from those in demersal habitats, and marine organisms exploit these differences by shifting environments (Barrera-Oro 2002, Lopes et al. 2006, Radabaugh et al. 2013). While this strategy is most pronounced in species that undergo a metamorphosis, a process that decouples the genetic correlation between life stages, less drastic versions are common among species that move from offshore to inshore habitats (Ebenman 1992). Dispersal and settlement can shape density-dependent and density-independent processes in marine populations, influencing post-settlement survival and recruitment to the adult populations (Wahle & Steneck 1992, Pile et al. 1996, Gutiérrez 1998).

Larvae of demersal species eventually outgrow the ability to exploit water-column resources and must leave the pelagic environment (Werner 1988). At this point they are able to take up a benthic residence and are referred to as “competent” to settle. During this transitional period, a larva must encounter a suitable habitat during its settlement window or it will not recruit successfully. While both the pelagic and demersal phases have been widely studied across many species, much less is known about the transition between them, in particular, the drivers that influence settlement behavior. Physical transport most likely plays a large role in dispersal and settlement; however, passive

dispersal models have been inadequate for explaining settlement patterns in a variety of ecosystems (Cowen et al. 2000, Brown et al. 2005, Gallego et al. 2007).

For fishes, the early life stages are arguably the most critical periods in the life cycle. With a mean mortality rate of 21.3% d⁻¹, less than 0.1% of all marine fish eggs spawned typically survive through the pelagic larval phase (Houde 1989, Houde 2002). Mortality risks decrease significantly during development; therefore, events that occur during the larval phase can result in order-of-magnitude variations in adult population sizes (Cowan & Shaw 2002).

In addition to high mortality rates, early life is characterized by rapid improvements in swimming capacity. Though starting life as planktonic organisms, by the end of the pelagic period many fish species are considered nektonic, capable of controlling their position in the water column. They can swim faster than ambient water currents and can sustain these speeds for many hours to days at a time (Stobutzki & Bellwood 1994, Leis & Carson-Ewart 1997, Fisher et al. 2000). Coral reef fish families exhibit a 25-fold difference in sustained swimming abilities, but some individuals can swim for more than 288 h, covering up to 140 km without resting (Stobutzki & Bellwood 1997). This represents swimming speeds equivalent to 3 to 4 body lengths s⁻¹ for acanthurids and >10 body lengths s⁻¹ for lutjanids (Stobutzki & Bellwood 1997). Subtropical and temperate species can also be strong swimmers, but not every species is capable of swimming faster than prevailing currents by the time of settlement (Jenkins & Welsford 2002, Clark et al. 2005, Faria et al. 2009).

Larvae also develop more elaborate and functional sensory systems through ontogeny. Eyes are functional before first feeding, with cone photoreceptors being present at hatching or shortly thereafter (Blaxter & Staines 1970, Blaxter 1975, Higgs & Fuiman 1996), and acuity (resolution) increasing over time (Shand 1993, Pankhurst 1994, Lara 2001). Rod photoreceptors appear later to provide for vision in low light. Superficial and lateral line neuromasts are also added gradually during early life, increasing sensitivity to water movement, and the addition of accessory structures (e.g., auditory bullae and swim bladder projections) aid in hearing (Blaxter & Batty 1985, Harvey et al. 1992, Higgs & Fuiman 1996, Poling & Fuiman 1998, Diaz et al. 2003, Higgs et al. 2003, Webb et al. 2012). The development of the olfactory system has been less well-described, but newly hatched cod (*Gadus morhua*) larvae exhibit a behavioral response to arginine, indicating a capacity to detect olfactory cues (Døving et al. 1994, Lara 2008).

These sensory systems operate on different spatial scales. For example, auditory signals can be detected from greater distances than visual cues (Kingsford et al. 2002). Because of this, researchers suggest that larvae use multiple cues and different sensory modalities, either simultaneously or in an order based on spatial transmission, to find settlement habitat (Kingsford et al. 2002, Huijbers et al. 2012). Based on the areas over which sensory signals operate, sensory system improvements during development, as well as changing habitat requirements, it is likely that larval responses to cues will vary both spatially and temporally. When combining dispersal information with what is known about development of sensory and locomotor systems, many researchers agree

that fish are not passively transported to coastal environments, and instead actively select benthic habitats to settle (Montgomery et al. 2001, Kingsford et al. 2002).

The role of sensory systems in settlement is most studied in coral reef ecosystems. Locating suitable habitat may depend on vision, mechanoreception, hearing, and olfaction; their role in habitat selection, however, is not well understood (Kingsford et al. 2002). Behavioral studies on settlement in reef fishes are becoming more common, however these findings may not translate to other systems. The coral reef ecosystem has been characterized as oases of productivity surrounded by an unproductive, desert-like ocean (Alongi 1997). The long distances over which sound and olfactory plumes are carried, coupled with predictable environmental conditions, such as tides, currents, and oligotrophic waters for light transmission, can facilitate transmission of information that is vital for settlement. Settlement in other marine systems – those with higher turbidity, shallower depths, or unpredictable environments – could be operating through fundamentally different processes.

In the Gulf of Mexico, 95% of commercially- and 85% of recreationally-fished landings (by weight) use estuaries during part of their life cycle (Environmental Protection Agency 2004). Estuaries are highly productive coastal environments with at least intermittent connection to the ocean, forming a link between freshwater ecosystems and the marine environment. They are comprised of a variety of habitats (e.g., seagrass beds, marshes, and oyster beds), which play a critical role in the life cycles of many marine organisms. These habitats serve as nursery grounds for fishes and invertebrates by providing food and refuge until they are ready to move to their adult habitats (Boesch

& Turner 1984, Beck et al. 2001, Gillanders et al. 2003). Unlike coral reefs, which generally experience stable environmental conditions, subtropical estuaries are subject to dramatic shifts in salinity, temperature, and turbidity. These unpredictable conditions could result in unreliable settlement cues for fishes in search of nursery habitats.

Red drum (*Sciaenops ocellatus*) is a particularly appealing species for studying the settlement process in estuarine fishes. It is a common sport fish and top predator in the Gulf of Mexico and Western Atlantic waters from North Carolina to Florida contributing \$1.9 billion per year to the recreational fishing industry, and providing over 15,000 jobs in the state of Texas alone (National Marine Fisheries Service 2012). Red drum spawn offshore or along the coast during late summer and early fall, and eggs and young larvae spend approximately three weeks in open water and tidal inlets before reaching bay and estuarine nursery habitats (Holt et al. 1983, Rooker et al. 1998). New estuarine arrivals are most commonly found around seagrass beds; in areas where seagrass is not available, larvae associate with marsh-edge habitats and shallow unvegetated areas (Stunz et al. 2002a). Juvenile and adult red drum distribution has been well studied, but it is not known whether distributional patterns are the result of habitat choice or post-settlement processes, including differential mortality or inter- and intraspecific competition (Fencil 2009, Nakayama et al. 2009).

The overall objective of this research was to understand settlement behavior in an estuarine-dependent fish. Experiments were designed to determine both the role that larval sensory systems play in settlement at different ontogenetic stages and whether red drum larvae actively choose specific habitats upon arrival in the estuaries. Since

settlement is a critical stage in the life cycle of a demersal marine fish, it is hoped that this study will lead to a better understanding of recruitment variability in estuarine fishes, and red drum populations, in particular. It will also help elucidate the conditions necessary for larvae to find settlement sites, which could have important implications for management of nursery habitat. This project was designed to study the transition from the spawning to the nursery grounds, with each chapter moving to a progressively smaller spatial scale. The second chapter focuses on the effects of anthropogenic noise in larval foraging behavior during the pelagic phase. The third chapter addresses the chemical cues that red drum might use to locate settlement sites. The influence of water depth and benthic color on settlement are in the subject of the fourth chapter. The final chapter determines whether red drum have a preference for benthic substrata, and determines the size at settlement both in the laboratory and the field. The guiding hypotheses were that larval red drum rely on a variety of sensory systems to locate settlement sites, that these sensory systems operate at different spatial scales, and the ability and decision to respond to settlement cues changes through ontogeny.

Chapter 2: Effects of acoustic noise on activity of larval and juvenile red drum (*Sciaenops ocellatus*)

ABSTRACT

Anthropogenic noise in the marine environment is increasing in expanse and intensity due to a surge in human activities, including construction, oil and gas drilling, military operations, and shipping and boating traffic. For many species in the Gulf of Mexico, eggs and young larvae travel through tidal inlets from offshore to the bays and, in the process, are exposed to a large amount of anthropogenic noise, especially from shipping traffic. To assess the effects of anthropogenic noise on larval fish behavior, the auditory thresholds of red drum (*Sciaenops ocellatus*) larvae were determined, then the behavioral response (mean swimming speed, number of stops, turns, and amount of time swimming) to five sound treatments (a 500-Hz pure sine tone at four amplitudes and a white noise treatment) was examined. Larvae decreased their activity when the sound was on compared to silent controls and spent slightly less time swimming, which resulted in a slower mean speed. Routine swimming was intermittent and the duration of pauses increased when in the presence of sound. As noise amplitude increased, mean speed decreased but there was no change in the number of turns, pauses, or activity. Despite these changes in routine behavior in response to noise, search area was not significantly affected because of the antagonistic effects of speed and turning rate.

INTRODUCTION

Early life stages are of particular importance to populations as a dispersive opportunity for most coastal species, and early life survival depends, in part, on larvae correctly interpreting and responding to important sensory cues in the environment. Human activities, which are especially common in coastal areas, add sensory cues that may interfere with an organism's perception or interpretation of natural cues and disrupt critical activities in early life. Sensory capabilities (Kingsford et al. 2002) and swimming performance (Leis 2006, Faria et al. 2009), which enable effective responses, improve rapidly during early life. At hatching, at least some species of marine fishes possess the morphology to perceive auditory, visual, hydrodynamic, temperature, pressure, and near-field vibrational cues, and they exhibit behavioral responses to some of these types of cues. By the time of settlement, most fish larvae have the ability to respond to chemical, celestial, and auditory cues, as well (Kingsford et al. 2002 and citations therein). Hearing and its effects on behavior in young fishes are not well understood, but auditory thresholds and startle responses to sound have been characterized in some species less than 10 mm in length (Ishioka et al. 1987, Fuiman et al. 1999, Wright et al. 2009). Most information on larval hearing has been gathered from morphological studies, and the pattern of auditory development tends to be highly conserved across fish species (Fuiman et al. 2004).

Anthropogenic noise in the marine environment has been increasing in expanse and intensity due to a surge in activities ranging from construction, oil and gas drilling, military operations, and shipping and boating traffic (Andrew et al. 2002). Shipping

alone increased ambient noise levels by up to 12 dB re 1 μ Pa in certain regions from 1958 to 1975, and the gross tonnage of vessels in the world has doubled since then (Ross 1976, Hildebrand 2009). Additionally, air guns produce sound at 260 dB re 1 μ Pa, and small outboard boats produce 160 dB re 1 μ Pa at 1 m from the source (Hildebrand 2009), both of which include frequencies within the hearing range of fishes (reviewed in Popper & Hastings 2009).

The effects of increasing noise pollution on marine organisms are beginning to receive attention, but most studies have focused on marine mammals (Hastings 2008). Results from studies on fishes have been mixed, ranging from lethal to undetectable (Popper & Hastings 2009, Slabbekoorn et al. 2010). For example, hearing thresholds of broad whitefish (*Coregonus nasus*) were not affected after exposure to seismic devices, but mortality was reported in coho salmon (*Oncorhynchus kisutch*) exposed to pile-driving sounds (Popper et al. 2005, Ruggerone et al. 2008). Sublethal physiological effects of anthropogenic noise include temporary (Scholik & Yan 2002) and permanent (Caiger et al. 2012) shifts in hearing capacity, as well as increases in cortisol levels (Smith et al. 2004) and heart rates (Simpson et al. 2005b) and reduced larval growth rate. The few behavioral studies on fishes have had mixed results as well. Some found that boat noise affected orientation (Jung & Swearer 2011), schooling behavior (Sarà et al. 2007), and time spent in shelters (Picciulin et al. 2010), while others did not see effects on swimming activity (Wardle et al. 2001). Lined seahorses (*Hippocampus erectus*) become more variable in their behavior and distressed (as indicated by clicking) due to

chronic exposure to loud noises (Anderson et al. 2011). The effects of sounds on the behavior of estuarine fish larvae have not been studied.

Red drum (*Sciaenops ocellatus*) are a common estuarine-dependent fish in the Gulf of Mexico that spawn offshore or along the coast during the fall (Beckman 1988, Hoese & Moore 1998). In Texas, red drum eggs and young larvae travel through the seven major tidal inlets that connect the Gulf of Mexico with 11 port districts inshore. Shipping activity in these inlets exceeds 550 million tons of cargo and 500,000 cruise ship passengers each year (www.texasports.org). From 250 m away, the underwater amplitude can reach 10 – 20 dB re 1 μ Pa above background levels when a boat or ship passes through the inlet, with the majority of frequencies falling below 2 kHz (personal observation). Eggs and young larvae are therefore exposed to potentially adverse acoustic conditions. This study examines the effects of anthropogenic sound on the swimming behavior of red drum larvae to sound in order to understand the ecological consequences of a changing underwater sound environment.

METHODS

The goal of this study was to test the effects of anthropogenic noise on larval red drum behavior by addressing the following questions: (1) Does anthropogenic noise affect larval behavior? (2) If so, what is the sound level (amplitude) that elicits a change in behavior? (3) Does this behavioral change coincide with the hearing thresholds of larvae? This was accomplished in two experiments. First, foraging-related behavioral

responses to relevant anthropogenic sound levels were compared against behavior under control sound levels. To place the effects on foraging-related behavior in an ecological context, the reactive distance to prey was quantified through the larval stage and used to calculate the consequences of sound on foraging rate. Then, hearing thresholds of larvae were determined using the auditory evoked potential (AEP) to confirm that the larvae are capable of hearing the sound levels they would be exposed to in nature.

Larval care

For the behavioral experiments, three batches of red drum eggs were collected from adult broodstock maintained at the University of Texas Fisheries and Mariculture Laboratory (FAML) in Port Aransas, TX and from the Texas Parks and Wildlife Department's Marine Development Center in Corpus Christi, TX. Fish spawned in the evenings and on the morning following a spawn, eggs were collected and transported by automobile to the rearing facility at FAML in a covered 15-l bucket filled with 5 l of sea water. Each spawn was divided into two subsamples of approximately 5,000 viable (floating) eggs (5 ml) each and placed into a 150-l fiberglass conical tank filled halfway with sea water. Temperature was maintained at 27°C and water was provided with a continuous supply of oxygen through an airstone. The photoperiod was 12:12 light:dark. Salinity was 35 ppt when the eggs were released in the tanks to match the broodstock water, and lowered to 27 ppt over the course of two weeks by adding deionized water. Eggs hatched approximately 24 h after fertilization, and feeding began the following day. For the first 10 d post-hatching, larvae were fed approximately 400,000 *Brachionus* sp.

rotifers per tank enriched for 45 min with Algamac 3000 (Aquafauna Biomarine, Inc. Hawthorne, CA). On days 11 and 12, approximately 10,000 1-day-old *Artemia* sp. nauplii were added to the diet, and from day 13 onward, each tank was provided with approximately 60,000, 2-day-old *Artemia* nauplii enriched overnight with Algamac 3000. Each day, 5 l of water were drained from the bottom of the tank and new water was added. After 3 weeks in the conical tanks, larvae were transferred to a 500-gallon cylindrical tank with sand, rocks, oyster shells, and artificial seagrass. This move simulated the transition from the tidal inlets to the seagrass habitats experienced by wild red drum. For every experiment, each larva was tested once, sacrificed with an overdose of tricaine methansulfonate (MS-222) (Western Chemical Inc., Ferndale, WA), photographed under a dissecting microscope, and measured (standard length, SL) with ImageJ Analysis (National Institutes of Health, Bethesda, MD).

For the auditory evoked potential and feeding studies, larval rearing protocols were the same, except for the following adjustments. Until the day of testing, larvae were held in 150-l conical tanks filled with sea water and kept at 27°C and 35 ppt. The diet was enriched with Algamac 3050 (Aquafauna Biomarine, Inc. Hawthorne, CA), and *Artemia* sp. were enriched and fed to the larvae twice per day instead of once.

Behavioral responses to acoustic stimulus

The effects of sound on spontaneous behavior traits that can be interpreted as relevant to foraging were examined. Those variables included: (1) activity (percentage of time spent swimming), (2) mean speed, (3) number of turns taken, and (4) number of

pauses. Position within the testing chamber when the sound was on was also evaluated. Because sound pressure levels (SPL) varied at different locations within the chamber, recording the fish's position allowed finer resolution of the sound level it experienced. Three comparisons of behavior were made: (1) sound on vs. sound off, (2) between different sound levels, and (3) between individuals within specific treatments.

The effects of a 500-Hz tone at four amplitudes (low, medium, medium-high and high) and 'white noise,' which consisted of the frequency bands 0.1 to 1.0 kHz played at the same amplitude as the high treatment for the 500-Hz tone, were tested on red drum over a range of sizes. These treatments were chosen because (1) most hearing generalists can hear in the frequency range of 100 to <2000 Hz (Popper & Fay 1993), and (2) larval red drum responded to a 500-Hz tone in previous experiments (Fuiman et al. 1999).

Experiments were conducted in a 40 × 40 × 12.2 cm (length × width × height) acrylic tank which was filled with water and left to de-gas for 4 d (Fig. 2.1). An underwater speaker was suspended at the air/water interface on two aluminum planks (5.6 cm wide, 13.2 cm apart) resting on the top of the tank. One of two testing chambers was placed inside the tank. The small testing chamber (for individuals ≤ 21 days old) was thin plastic and 7.9 × 7.9 × 14 cm (length × width × height). The large testing chamber (for individuals > 21 days old) was glass and 10.4 × 10.4 × 10.4 cm. The large chamber was placed on a 2.5-cm tall acrylic stand to make the water level inside the testing chamber the same as that the tank. A mirror (30.5 × 30.5 cm) was placed above the acrylic tank at a 45° angle to allow the camera to record both a lateral and overhead view of the fish in the testing chamber.

While not an issue for the ‘white noise’ treatment, the continuous tones used in the experiments produced a standing wave within the tank so that sound pressure levels varied in different parts of the testing chambers. It was therefore necessary to create an acoustic map of SPLs within the testing chambers. The SPLs within the testing chambers were measured for the four 500-Hz tone amplitudes at 27 equally spaced points in the small chamber and 64 equally spaced points in the large chamber. The receiving hydrophone was connected to a charge amplifier that both conditioned (low pass filter = 100 Hz, high pass filter = 1kHz) and amplified (30 dB gain) the received signal. The received signal was then analyzed by an oscilloscope (Teledyne LeCroy Waveace 112, Thousand Oaks, CA). The oscilloscope provided the root-mean-square (RMS, defined as the square root of the mean squared sound pressure level over time, Popper & Hastings 2009) voltage for each of the points as the mean of 64 individual waveforms. The final *SPL* was obtained as:

$$SPL = M_h - G + 20 \log V$$

where M_h is the free field voltage sensitivity of a hydrophone (dB re 1 V/ μ Pa), G is the gain on the charge amplifier (dB), and V is the RMS voltage from the oscilloscope (Au & Hastings 2008). The sound measurements were then used to derive a continuous 3-dimensional sound field within each testing chamber. Since the measurements were taken 1.25 cm away from the chamber walls and floor, a 3-dimensional Kriging model was used to estimate a continuous sound field between the point measurements (interpolation) and up to the walls of the tank (extrapolation). A variogram analysis was used to estimate the spatial structure of the sound field within each tank. For each sound

level in each chamber, the spatial autocorrelation was quantified and accounted for by plotting semivariance over distance within each chamber, and a semivariance model was fitted for each (e.g. spherical, Gaussian, etc.). The semivariance model was then used to estimate the range (distance on x-axis where the model stabilizes), sill (y-value at which the model stabilizes), and nugget (y-intercept) for each sound level, and these estimates were used as input to an ordinary Kriging model using the “gstat” package (Pebesma 2004) in R (R 2.12.2, The R Foundation for Statistical Computing, <http://www.R-project.org>). The advantage of using a 3-dimensional Kriging model over deterministic spatial interpolation methods is that the Kriging model takes into account the spatial orientation of the measurements, and correlation between them, and it has the ability to make accurate predictions beyond the sampling area. The 3-dimensional Kriging resulted in high accuracy models for each sound level in each tank and were used to obtain continuous sound estimates for the 3-dimensional positions of the fish, as well as visualizing the sound field of the entire tank (Fig. 2.2, Paraview 3.0, Kitware, Inc., <http://www.paraview.org>).

Experiments were conducted between February 7 and July 25, 2011 using larvae from three spawns. There were four testing chambers of each size, which allowed for up to eight fish to acclimate to the testing chamber at any given time. The testing chambers were filled with sea water at 27°C and 27 ppt, and all bubbles were removed with a pipette. An individual larva was transferred from the rearing tank to the testing chamber and allowed to acclimate for 1 – 2 h in a 27°C water bath. After acclimation, the testing chamber was transferred from the water bath to the testing tank. A Casio High Speed

EXILIM HS EX-FH25 video camera (Casio Computer Company, Ltd., Tokyo, Japan) was placed 1 m from the testing chamber to record behavior. Once the testing chamber was placed in the acrylic tank, the larva was left to acclimate for 5 min. After 5 min, the video camera was turned on, and either no sound was introduced (control), or one of the five sound treatments was applied. The larva was exposed to this treatment for 2.5 min after which the sound was terminated (if the initial treatment was a sound) or one of the five sound treatments was introduced (if the initial treatment was no sound). The larva's behavior was recorded for an additional 2.5 min. This time period was chosen in order to study the reaction larvae would have to a boat passing through the inlet. Small watercraft are at least 10 dB re 1 μ Pa louder than background levels for 2 – 3 min (measured from 250 m away at the closest point, personal observation).

The video recordings were converted to stacks of images using QuickTime Pro (Apple Inc., Cupertino, CA) and filtered from an original 30 frames s^{-1} to 3 frames s^{-1} by saving only every 10th frame. The fish position in each image was tracked manually using ImageJ software. From these tracks, mean speed ($cm s^{-1}$), number of turns (defined as a change in direction of at least 15°), number of pauses (≥ 5 s of no swimming), and activity (percentage of time spent swimming [time that was not considered a pause]) were calculated for fish in all treatments. For individuals in the four 500-Hz tone treatments, the median sound pressure level each fish experienced while the sound was on was also quantified by comparing their track swam to the Kriging model results.

Reactive distance measurements

Reactive distance of red drum (5.4 - 24 mm SL) to the first successful capture of an *Artemia* nauplius was measured in order to assess the maximum distance larvae will travel to attack prey in the absence of a sound stimulus. These measurements were combined with the swimming paths traveled in the horizontal plane to estimate foraging rate under various experimental conditions.

Experiments took place between August 8 and 21, 2013, and 61 individuals were tested. The day before the experiment, red drum larvae were transferred from the rearing tanks into individual 200 × 80 mm (diameter × height) black glass bowls filled with 500 ml sea water. This allowed for the fish to acclimate to the experiment room while withholding food for 24 h. Room temperature was controlled to maintain water temperature between 27 – 28°C.

On the day of testing, an individual larva was transferred from the bowl to a 10 × 10 × 10 cm glass testing chamber filled with 250 ml of sterilized sea water. After at least 20 min of acclimation, the chamber was placed in the testing arena. The arena was comprised of the testing chamber situated on a black background with a mirror (30.5 × 30.5 cm) angled 45° above the chamber for an overhead view. The chamber was illuminated with both 8 overhead fluorescent light bulbs (32 Watt Ecolux fluorescent bulbs, General Electric Company, Fairfield, CT) and 150-Watt halogen illuminator (Model 180, Dolan-Jenner Industries, Boxborough, MA). A Casio High Speed EXILIM HS EX-FH25 video camera faced the mirror to record larval behavior from above. Recording began and then *Artemia* nauplii were pipetted at a concentration of approximately 25 ml⁻¹ into the container on the opposite side of the chamber from the

larva, resulting in a mean *Artemia* concentration in the testing chamber of 0.1 ml⁻¹. The fish was recorded for an additional 4.5 min.

Each video recording was converted into a stack of images using QuickTime Pro. These images were imported into ImageJ and the image immediately before the start of the fish's attack on the *Artemia* was identified. Reactive distance (distance between the tip of the fish's head and the *Artemia* immediately prior to the start of the attack, in cm) and the reactive angle (the angle between the longitudinal axis of the fish's body and the line between the fish's snout and the prey, 0-180°) were measured using ImageJ.

The X-Y coordinates with the sound on and the sound off (from the overhead view of the behavioral response to acoustic stimulus experiment) of each individual were then plotted (using “ggplot” in the R statistical package, R 3.0.2), and the mean reactive distance was superimposed along the entire path to represent the potential foraging area and potential foraging rate during the experiment (Wickham 2009). This area was then measured using ImageJ.

Statistics

All statistics were performed with the R statistical package (R 3.0.2). Differences in sound pressure levels within each tank were calculated for the four tone treatments using a Kruskal-Wallis test for both the small and large tank. Post-hoc tests were performed using the Multiple Comparisons Kruskal-Wallis Test (kruskalmc) in the “pgirmess” package (Giraudoux 2013).

The overall design of the behavioral responses to acoustic stimulus experiment allowed for testing of three hypotheses: (1) that larvae behave differently with the sound on vs. off, (2) that larvae behave differently to different SPLs, and (3) that larvae behave differently to finer differences in SPL, as the SPL varies with location within a chamber. For the first comparison, a linear mixed effects ANOVA model (“lme4” package of R) was used to analyze the relationship between the order of treatment (sound first or sound second) and whether behavior differed when the sound was on or off (Bates et al. 2014). The fixed effects were order and sound treatment (on/off), with an interaction term, and the random effect was the individual fish. Homoscedasticity and normality were verified with visual inspections of residuals, and transformations were applied when assumptions were not met. Likelihood ratio tests of the full model with each effect were compared against each model without the effect to obtain P-values. This was performed first on the white noise treatment (to determine if anthropogenic noise had an effect on behavior), and then on all five treatments (white noise and the 500-Hz tone at four separate amplitudes) combined. The linear model confidence intervals were also compared to determine if size affected the number of turns, pauses, mean speed, and activity differently with the sound on vs. off.

Analysis of covariance (ANCOVA) was used for the second set of comparisons, with sound treatment (low, medium, medium-high, high, and noise) as the main effect and fish size as the covariate. Normality and equality of variance were verified by visual inspection and a Fligner test (“car” package in R), and when assumptions were not met, data were transformed (Fox & Weisberg 2011). Most larvae swam constantly (100%

activity); therefore a normal distribution could not be achieved for the activity data. Instead, individuals were sorted by size within each treatment and mean activity level and mean size were computed for each group of five fish. The last group (largest fish) ranged from 4 – 7 individuals depending on number left over. The glht (general linear hypotheses) test in the “multcomp” package was used for post-hoc comparisons among treatments when appropriate (Hothorn et al. 2008).

Simple linear regression was used to determine the effect of size on the median sound amplitude the fish experienced (based on position in the chamber). For dependent variables that were significantly affected by size (ANCOVA), linear regression was used to determine if median sound amplitude encountered (independent variable) had an effect on the dependent variable. Assumptions were tested by visual inspection of the data and transformed when necessary (cubed, log-transformed, or square-root transformed).

Linear regression was used to determine if size had an effect on reactive distance to prey, and if there was an interaction between reactive distance and reactive angle. Normality was checked visually. Differences in foraging rate (X-Y area covered with the sound on vs. off) were determined with a Student’s paired t-test.

Auditory threshold

Auditory thresholds of *S. ocellatus* larvae (15 – 25.5 mm SL) from three separate batches of eggs (separate spawning events) were measured as the auditory evoked potential following the methods of Higgs et al. (2003). Experiments took place August 22–24, 2013 at FAML. All tests were conducted in a 110 × 25 cm (length × diameter)

PVC pipe sound chamber positioned horizontally, with a 100 × 15 cm (length × width) opening at the top. A UW30 underwater speaker (Lubell Labs, Inc. Columbus, OH) was positioned at the left end of the tank and a fish holder (ring stand holding a plastic pipette connected perpendicularly to a microscope slide covered in inert clay) was positioned 100 cm away, completely submerged in water. Water depth was 17 cm and temperature was maintained at 25°C.

An individual fish was placed onto the clay and surrounded with a small rectangle of mesh that was clipped to the platform to keep the larva in place. This mesh was loose enough to allow for opercular movements while maintaining the fish in position for electrode placement. One dead fish was tested as a control reference for background level comparisons on the software-generated responses. A computer running SigGen and BioSig software (Tucker-Davis Technologies, TDT, Gainesville, FL) interfaced with a TDT system evoked potential workstation presented the stimulus and recorded resultant responses. The stimulus was a tone burst lasting 10 ms at 100, 200, 300, 400, 500, 600, 700 or 800 Hz and presented to the fish through the underwater speaker. The intensity of each output was calibrated using a hydrophone connected to an amplifier and oscilloscope each day before the start of experiments to maintain consistent output levels across each frequency. Each fish was exposed to a stepwise sound intensity change at 5-dB increments until a minimum AEP response (threshold, defined as the lowest sound level a clear response could be seen, Higgs et al. 2003) was observed for a given frequency. A trained observer did this detection visually, as visual and statistical

detection methods are equally reliable in threshold estimation (Mann et al. 2001, Brittan-Powell & Dooling 2004).

Two stainless steel electrodes (Rochester Electromedical Inc., Tampa, FL) were covered in clear nail polish except for the tip and placed subcutaneously in an individual larva on the dorsum anterior to the operculum to measure AEP. The reference electrode was placed in the nasal region on the dorsal side of the fish. The stimulus was presented 200 times at each amplitude, with half of the presentations at 90 degrees phase and half at 270 degrees phase. The responses were averaged to cancel out the effects of the stimulus, leaving only the AEP for analysis.

RESULTS

Behavioral response to acoustic stimulus

Sound amplitude (mean of the 27 positions measured in each chamber) varied significantly among the four treatments ($P < 0.05$) in the small chamber, except that the medium-high level was not significantly different from the medium or the high level (Fig. 2.3a). In the large tank, all treatments were different from each other ($P < 0.05$), except that the medium-high and high levels were not different (Fig. 2.3b). Each sound level in the small tank was different from the corresponding level in the large tank ($P < 0.01$).

Larvae in the noise treatment took 0.3 more stops ($P < 0.05$) and swam 0.27 cm s^{-1} less ($P = 0.05$) when the sound was introduced after the control. This results in 40.5 cm less distance covered over the course of the trial than in treatments when the sound was

introduced second. No other effects were significant on any of the variables. For all of the treatments combined, there were some significant changes in routine swimming behavior when the sound was on relative to when the sound was off. Specifically, fish made 15.7 fewer turns on average and spent 4.5% less time actively swimming when the sound was on ($P < 0.01$). Fish swam an average of 0.15 cm s^{-1} slower with the sound on, which translates to 22.5 cm less distance traveled over the trial ($P < 0.01$). No other effects were statistically significant.

Fish size and sound treatment both affected the number of turns with the sound on ($P < 0.001$, Fig. 2.4). The number of turns increased as fish size increased, and the number of turns was greater in the white noise treatment compared to the four tone treatments ($P < 0.01$ except for medium-high vs. noise; $P = 0.06$). Fish size also affected the number of pauses and mean speed ($P < 0.001$, Figs. 2.5 and 2.6), with a decrease in pauses and an increase in speed with increasing size. The size effect on the number of turns, pauses, mean speed, and activity when the sound was off was compared with confidence intervals for the linear regressions, and the effect of fish size was not different with the sound on vs. the sound off. No other effects were significant ($P > 0.05$, Fig. 2.7).

The number of turns, number of pauses, and mean speed changed with sound amplitude in the medium and medium-high treatments. For those two levels, the number of turns decreased with an increase in sound amplitude encountered within the testing chamber (slope = -5.67 , $P < 0.05$, $R^2 = 0.17$; slope = -5.28 , $P < 0.01$, $R^2 = 0.21$, respectively, Fig. 2.8). The number of pauses increased as median sound amplitude

encountered increased (medium slope = 0.9, $P < 0.05$, $R^2 = 0.13$; medium-high slope = 0.12, $P < 0.01$, $R^2 = 0.20$, Fig. 2.9). Mean speed decreased with increasing sound amplitude encountered (medium slope = -0.15, $P < 0.001$, $R^2 = 0.38$; medium-high slope = -0.12, $P < 0.001$, $R^2 = 0.36$, respectively, Fig. 2.10). Visual inspection of the data (b, c, and d in Figs 2.8-2.10) suggested that the slopes for number of turns, pauses, and speed were the same across the three loudest tones. Combining these three treatments (medium, medium-high, and high) for each variable, the significant effect of median amplitude encountered on mean speed remained (slope = -0.10, $P < 0.01$, $R^2 = 0.09$, Fig. 2.11), but the relationships between median amplitude encountered and number of turns or pauses were not significant ($P > 0.05$).

Reactive distance

Reactive distance to prey was measured for all fish that reacted to the *Artemia* (46 of 61 individuals). Reactive distance ranged from 0.09 to 3.43 cm, with a mean \pm SD of 1.21 ± 0.83 cm. There was no significant change in reactive distance with fish size ($P > 0.05$). Reactive angle ranged from $4.0 - 150.5^\circ$, and reactive distance did not vary with reactive angle ($P > 0.05$, Fig. 2.12). There were no differences in the horizontal search area covered with the sound vs. off, with the mean \pm SD foraging rate covering 0.19 ± 0.13 cm s⁻¹ ($P > 0.05$).

Auditory thresholds

An audiogram was composed based on AEP responses of 44 fish ranging in size from 15 to 25.5 mm SL. Auditory thresholds increased from (mean \pm SD) 114.0 ± 2.08 to 150.0 ± 2.24 dB re $1\mu\text{Pa}$ over the frequency range of 100 to 600 Hz (Fig. 2.13). The mean \pm SD threshold at 500 Hz for a larval red drum was 147.9 ± 4.0 dB re $1\mu\text{Pa}$ ($n = 12$) and the minimum threshold at that frequency was 145 dB re $1\mu\text{Pa}$.

DISCUSSION

According to the audiograms, red drum larvae were capable of hearing the sound in the behavioral assays. They changed their behavior in the presence of anthropogenic noise and 500 Hz tones. They stopped more and had a slower mean swimming speed when the noise stimulus was presented second, but not first. It is possible that in this treatment, the effects of sound exposure continue even after the stimulus has ceased, causing no change in behavior up to 2.5 minutes after the sound was turned off. Plasma cortisol levels of red drum can remain elevated for hours after a stressful event (Robertson et al. 1988), so it is likely that they would not resume routine behavior after sound exposure as well. While red drum larvae might acclimate to anthropogenic noise over time both in the laboratory and the wild, this suggests that initial reactions to anthropogenic noise in the environment, such as a boat passing through an inlet, are quantifiable.

Fish at any size tested turned less, had a lower mean speed, and spent less time swimming when the sound was on. The same results were also observed within each

tank for the medium and medium-high amplitude treatments: larvae that spent more time in the louder portion of the tank turned less, stopped more, and swam slower. The absence of such effects on behavior in the low treatment may have been due to insufficient spatial variation in amplitude, or the sound amplitude might have been below the auditory threshold for those individuals. The high treatment, on the other hand, might have been loud enough throughout the tank to have caused the same behavioral response regardless of a fish's position.

Fish turned more, stopped less, and swam faster with increasing size, but the amount of time spent swimming (activity) remained constant, whether the sound was on or off. Similar increases in routine and critical swimming speeds with increasing size have been reported in previous studies of red drum (Fuiman et al. 1999, Faria et al. 2009). Whether the sound was on at all had a greater influence on larval behavior than the SPL to which the fish was exposed.

Larval red drum hearing sensitivity was greatest (lowest threshold) at 100-200 Hz and decreased as frequency increased. This pattern is common among fish species, however these thresholds for larvae were higher than thresholds for wild red drum of later developmental stages (larger sizes, 205 – 555 mm SL, Horodysky et al. 2008). This could be due to hatchery conditions, size differences, experimental differences, or a combination of these. Hatcheries can be up to 50 dB re 1 μ Pa louder than a wild setting, and chronic exposure to noise can increase the auditory threshold of fishes (Bart et al. 2001, Wysocki & Ladich 2005). In a direct comparison between wild and hatchery-reared fish, wild juvenile snapper (*Pagrus auratus*) had a lower auditory threshold than

snapper reared under aquaculture conditions (Caiger et al. 2012). However, the sound amplitudes in our hatchery tanks (~100 dB re 1 μ Pa) were lower than many natural environments. Literature reports on differences in thresholds with size range from no change (Higgs et al. 2003) to a decrease with increasing size (Kenyon 1996, Wright et al. 2005). Discrepancies may be due to species-specific hearing specializations, but there have not been enough studies to draw conclusions (Higgs et al. 2003). It is most likely that the differences between studies are due to differences in experimental procedures, and many researchers warn that comparing audiograms across studies should be done with caution (Popper et al. 1973, Higgs et al. 2003, Wright et al. 2005).

When compared with behavioral assays, audiograms generally underestimate a fish's sensitivity by 10 – 30 dB re 1 μ Pa (Gorga et al. 1988, Kenyon et al. 1998). This is because the electrode, while placed subcutaneously on the fish, does not directly touch the central nervous system, and thus, does not detect the lowest threshold responses to the stimulus. At 500 Hz, the minimum AEP threshold was 145 dB re 1 μ Pa, therefore the actual threshold for the fish used in the experiments was 115 – 135 dB re 1 μ Pa. This indicates that the fish were able to hear the sound treatments in all tanks except possibly the low treatment, where maximum tank values were 125.3 and 127.3 dB re 1 μ Pa for the small and large tank, respectively. Consequently, the low treatments might effectively serve as a no-sound control. The sound pressure levels in this experiment were comparable to reported anthropogenic levels in the wild. In previous studies, SPL ranged from 109 – 127 dB re 1 μ Pa < 20 m from the sound source, but exceeded 200 dB re 1 μ Pa close to pile driving activities (Anonymous 2001; Tougaard et al. 2009a, 2009b). In this

study, background field measurements were approximately 120 dB re 1 μ Pa, and a minimum of 250 m from boat traffic resulted in a 20 dB re 1 μ Pa increase in amplitude (personal observation).

Reactive distances measured in this study differ from those on larval maroon anemonefish (*Premnas biaculeatus*, Job & Bellwood 1996) by approximately one order of magnitude (1.21 cm vs 2.8 mm in red drum vs. anemonefish, Job & Bellwood 1996). While the anemonefish were younger, reactive distance was measured on competent (settlement-size) larvae of both species. These differences could either be due to interspecific variations in behavior or visual morphology, or differences in the experimental design (e.g. Job & Bellwood [1996] used rotifers at a concentration of 120 ml⁻¹, while we used a concentration of 0.1 *Artemia* ml⁻¹). The reactive distance did not change with larval size for red drum, but Job & Bellwood (1996) did find a change with size for the anemonefish (from 1.7 mm on 3 d post-hatching to 2.8 mm on 10 d post-hatching).

The lack of differences in the calculated foraging rate (based on routine swimming speed and reactive distance measurements) with the sound on vs. off despite significant differences in routine behavior were likely due to the decrease in the number of turns with the sound on counteracting the decrease in the mean speed over the same period. Foraging rate is defined by the amount of new area searched per unit of time and is a function of swimming speed distance traveled, reactive distance, and the number of turns taken (more turning increases the amount of overlap in the search field and reduces the volume searched), which affect the probability of encountering a prey item (Fuiman

& Magurran 1994). If larvae respond to sound with fewer turns (less overlap in search volume) and slower speed, these two changes can negate each other, resulting in little effect on foraging rate. It is possible, however, that there were changes in the vertical component of routine behavior in response to sound, which we did not quantify when measuring reactive distance. It is also possible that sound could affect foraging in behaviors other than encounter rates. For example, feeding frequencies of Mediterranean damselfish (*Chromis chromis*) decreased in the presence of boat traffic (Bracciali et al. 2012).

While the rate at which red drum larvae encounter prey on the horizontal plane was calculated to be unaffected by sound, there were significant effects of sound on routine behavior. These results could influence survival in ways other than affecting foraging ability. Anthropogenic noise could interfere with the ability to locate nursery habitats and complete settlement, which could have implications for recruitment. Many fish species are attracted to sounds associated with potential settlement habitats (e.g. Simpson et al. 2004, 2005a; Leis & Lockett 2005) since sound, especially low frequencies, is a reliable long distance cue for navigation and communication (Bass & McKibben 2003). The composition of natural ocean noise at frequencies between 50 and 5000 Hz is primarily biologically-generated and within the hearing range of most fishes (100 – 1000 Hz for most species, Cato 1992, Fay & Popper 1999). Unique coastal habitats, even within a few kilometers of each other, have particular acoustic signatures, which could be important for orientation towards specific habitats (Radford et al. 2010). Literature reports on the effects of anthropogenic sound on orientation and settlement of

larval fishes are mixed. Jung & Swearer (2011) found that boat noise might not alter recruitment patterns in temperate reef fish, yet Holles et al. (2013) found that boat noise affects directionality of the cardinalfish *Apogon doryssa*. More studies are needed to fully understand the implications of anthropogenic noise on navigation and settlement.

This study demonstrates that short-term exposure to anthropogenic sounds at ecologically relevant amplitudes alters larval fish behavior in subtle ways. Larvae swim less at all tested sizes in the presence of noise, and though this might not have significant effects on prey encounter rates, it could affect pelagic larval duration, thus affecting overall growth and survival. These results are some of the first fine-scale analyses on behavioral changes with regard to anthropogenic noise, and while additional studies are warranted, they shed light on potential survival outcomes in larval fishes.

Fig. 2.1. Schematic diagram (lateral view) of behavioral testing tank (large testing chamber). See text for details.

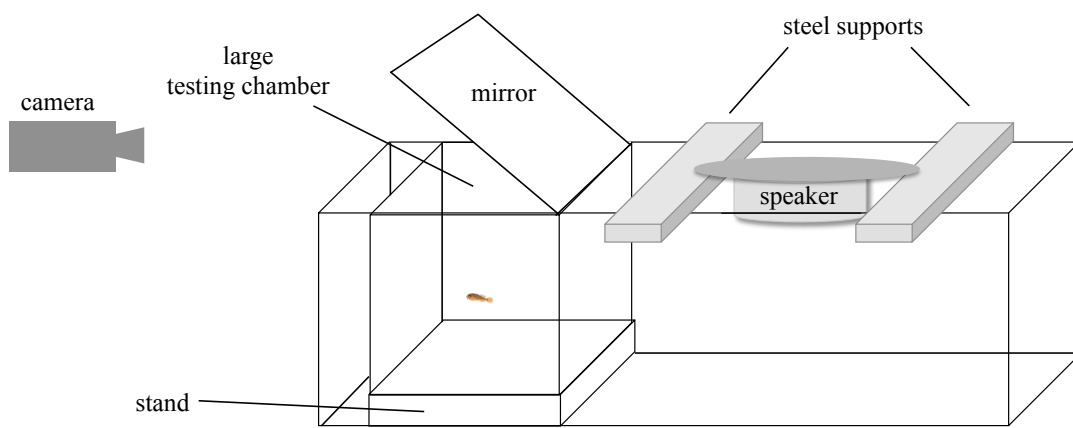


Fig. 2.2. Continuous 3-dimensional sound field for the 500-Hz tone in the large testing chamber for the (a) low, (b) medium, (c) medium-high, and (d) high treatments. Speaker is positioned at the top left of each chamber, and the camera is positioned facing from the right. See text for details.

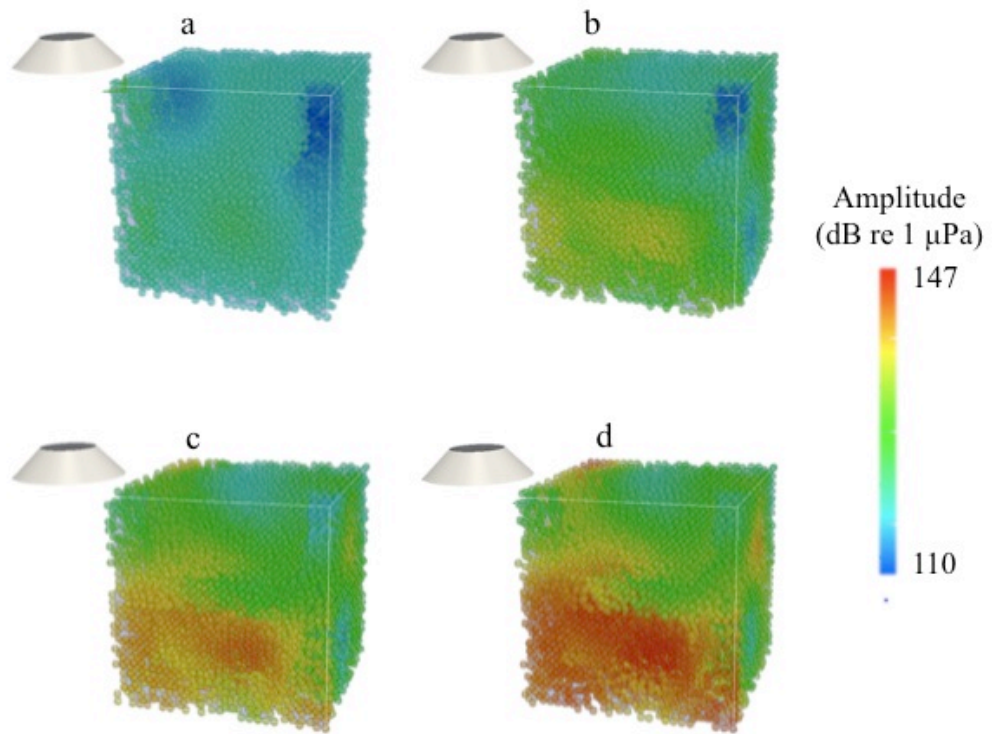


Fig. 2.3. Sound levels for four tone treatments in the (a) small testing chamber and (b) large testing chamber. Letters above each box indicate significant differences ($P < 0.05$) between treatments. Sound level in the small chamber was significantly different from that in the large chamber for all treatments. Median amplitude and the first and third quartiles represented by the box, whiskers are 1.5 times the interquartile range, and closed circles represent outliers.

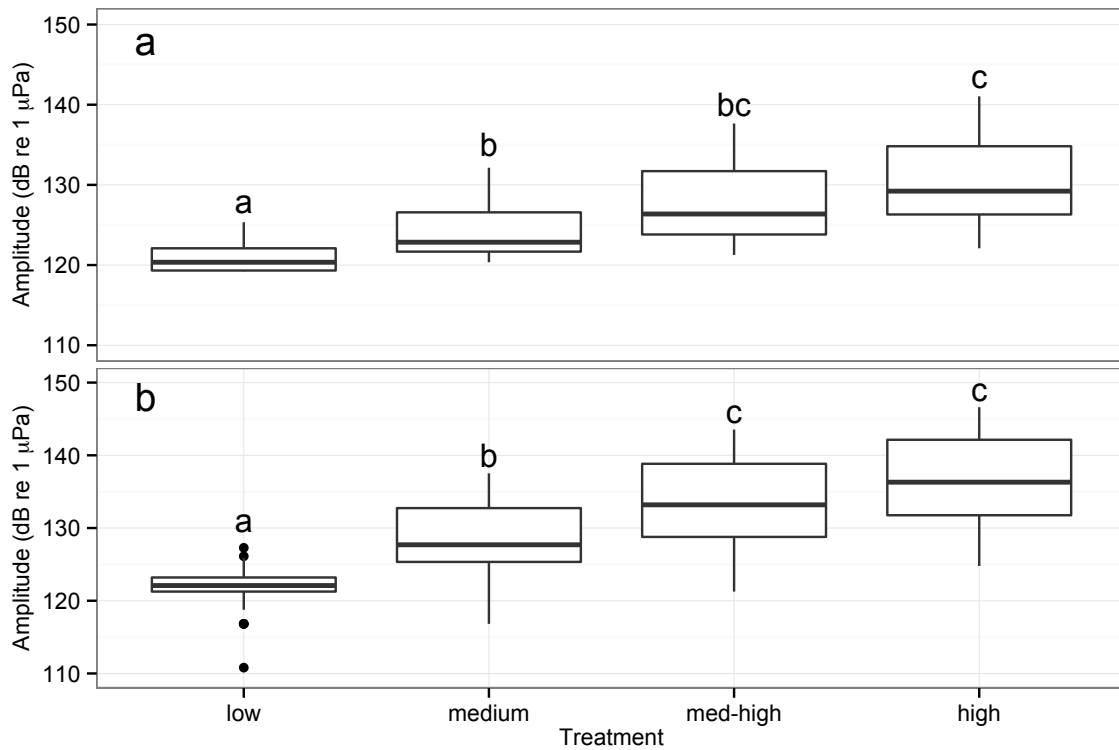


Fig. 2.4. Effect of (a) fish size and (b) sound amplitude on number of turns during routine swimming. Closed circles represent individuals in the white noise treatment; open circles represent individuals in the 500-Hz tone treatment. In (b), median number of turns and first and third quartiles are represented by the box; whiskers are 1.5 times the interquartile range.

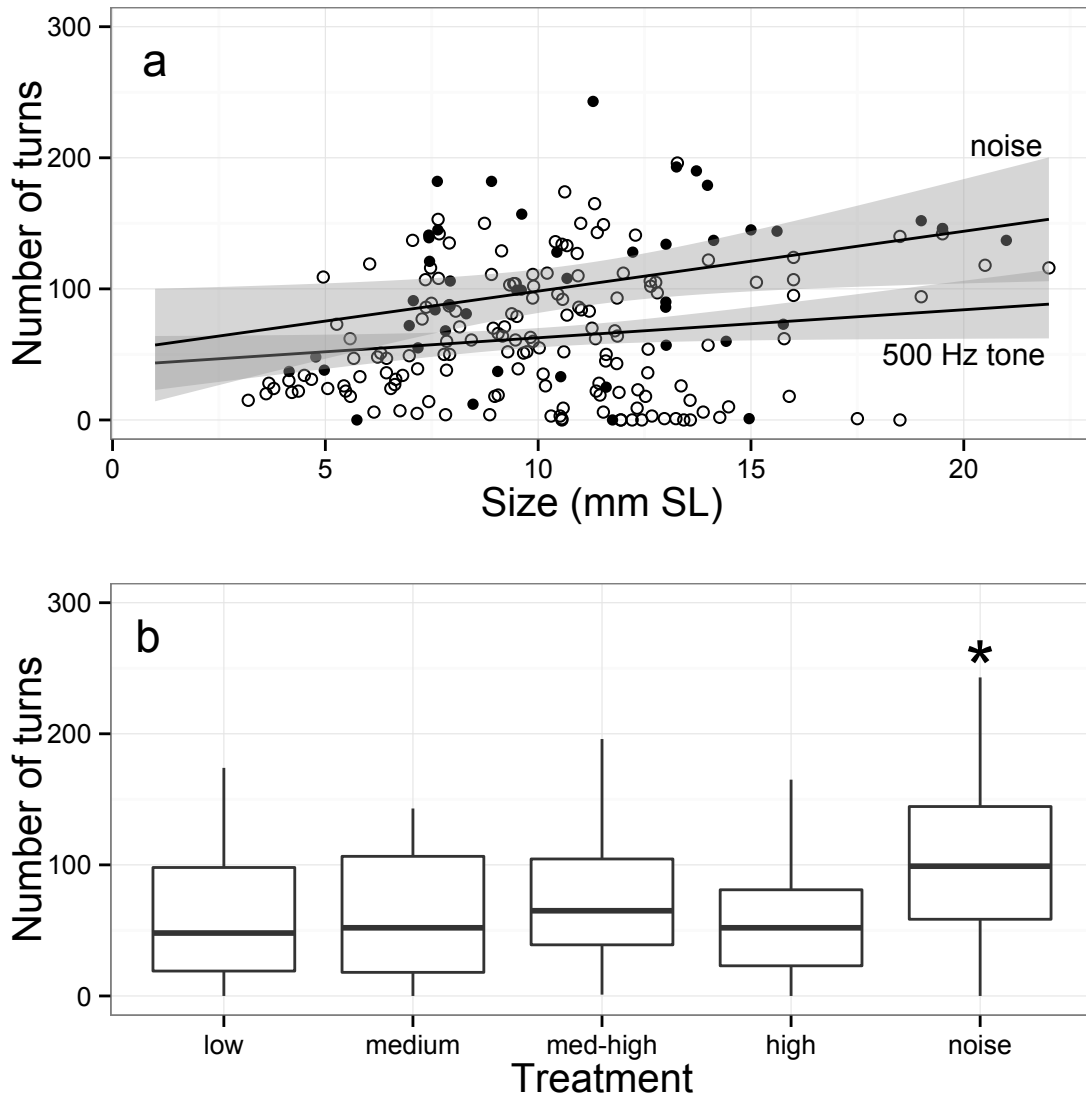


Fig. 2.5. Effect of (a) fish size and (b) sound amplitude on number of pauses during routine swimming. In (b), median number of stops and first and third quartiles are represented by the box, whiskers are 1.5 times the interquartile range, and closed circles represent outliers.

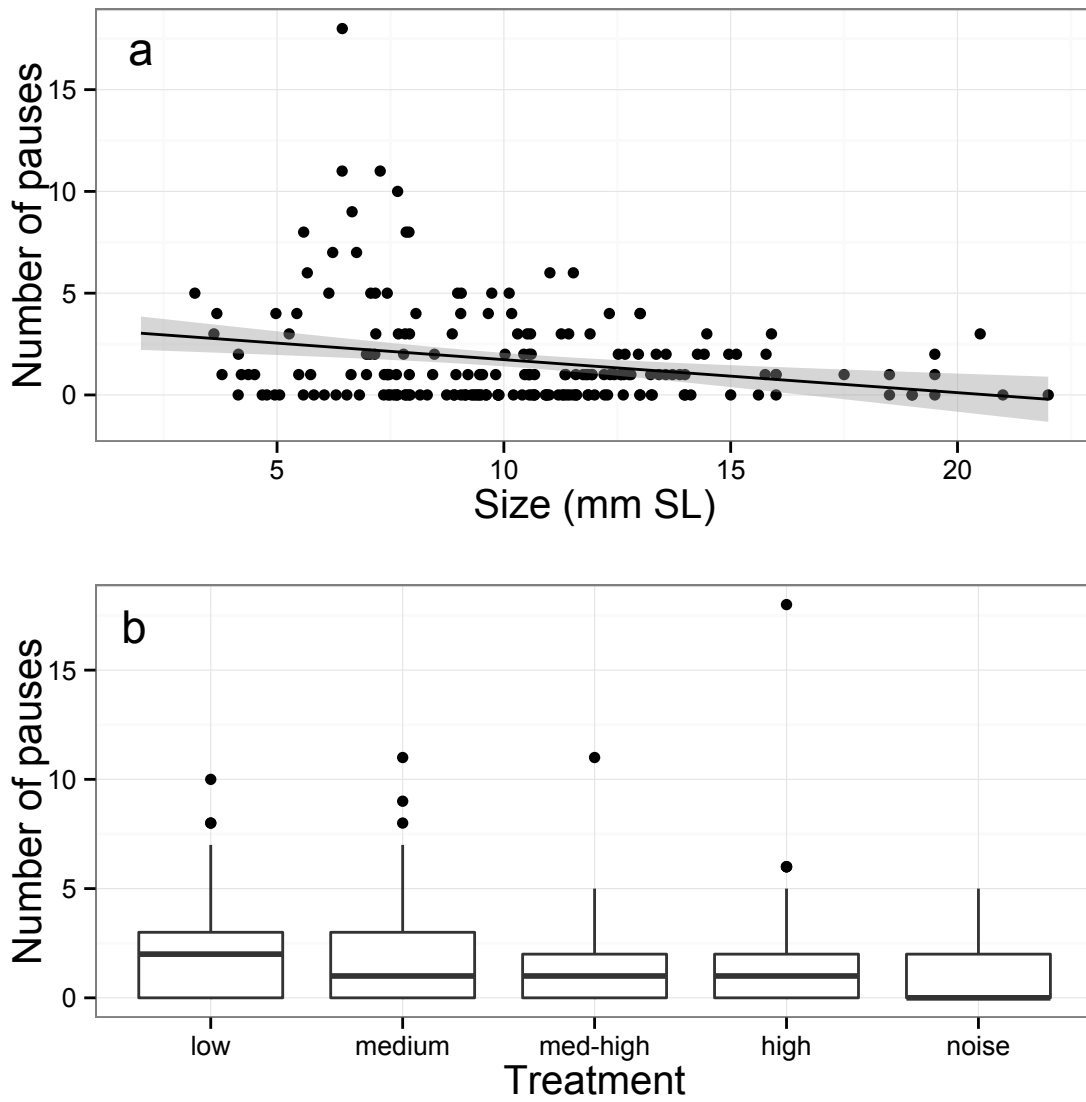


Fig. 2.6. Effect of (a) fish size and (b) sound amplitude on mean speed during routine swimming. In (b), median mean speed and first and third quartiles are represented by the box, whiskers are 1.5 times the interquartile range, and closed circles represent outliers.

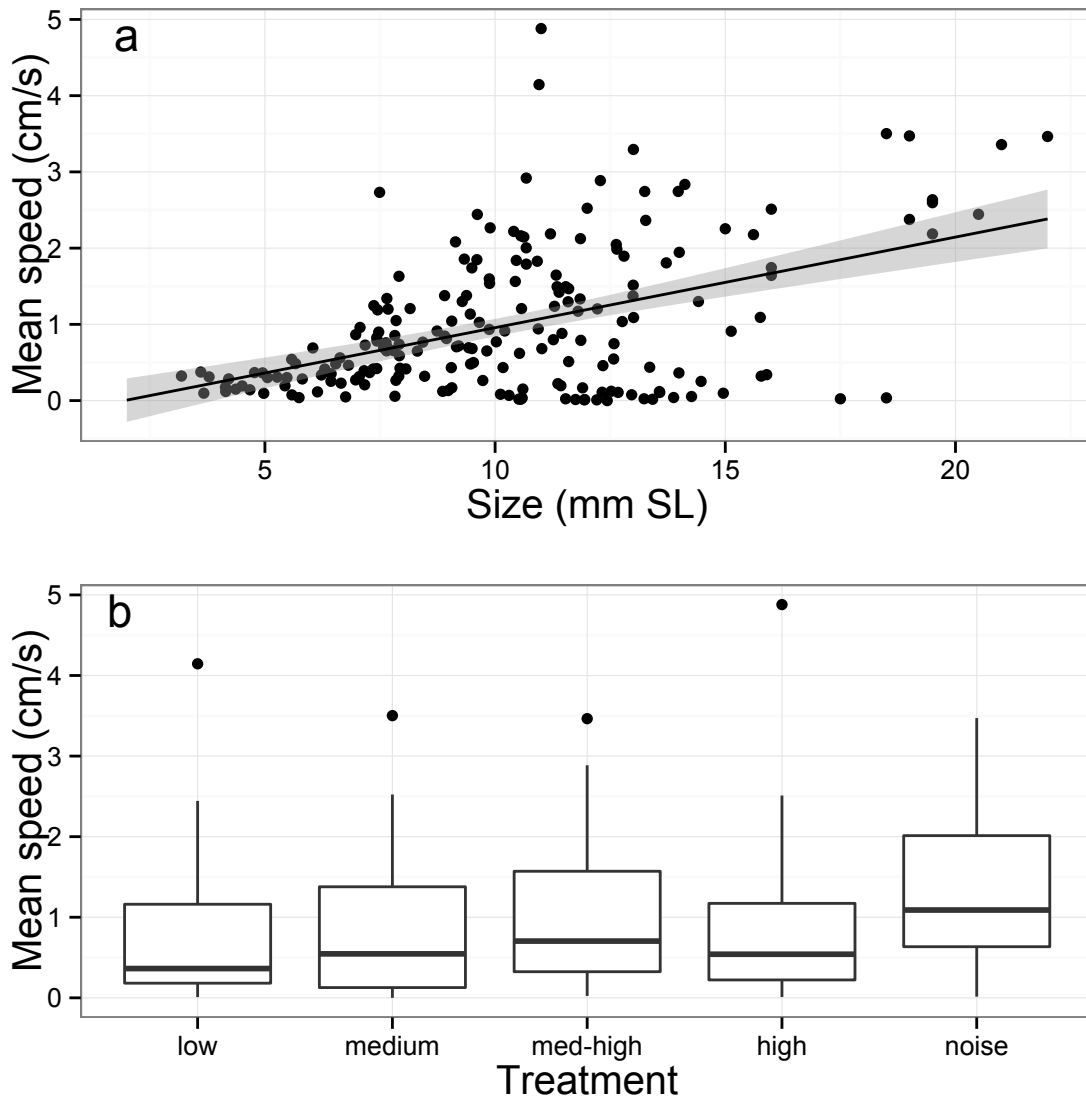


Fig. 2.7. Effect of (a) fish size and (b) sound amplitude on activity during routine swimming. In (b), median average activity and first and third quartiles are represented by the box, whiskers are 1.5 times the interquartile range.

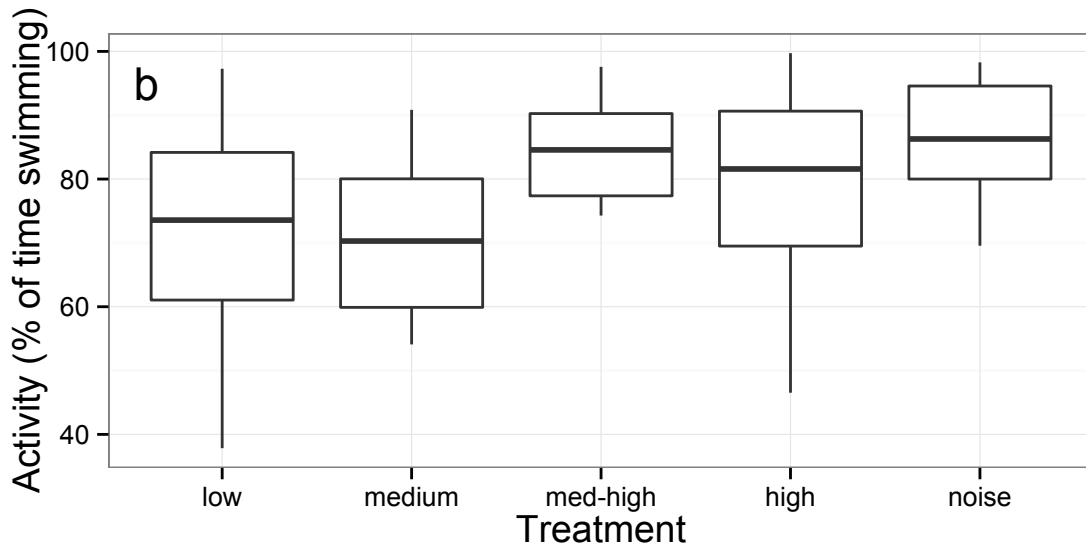
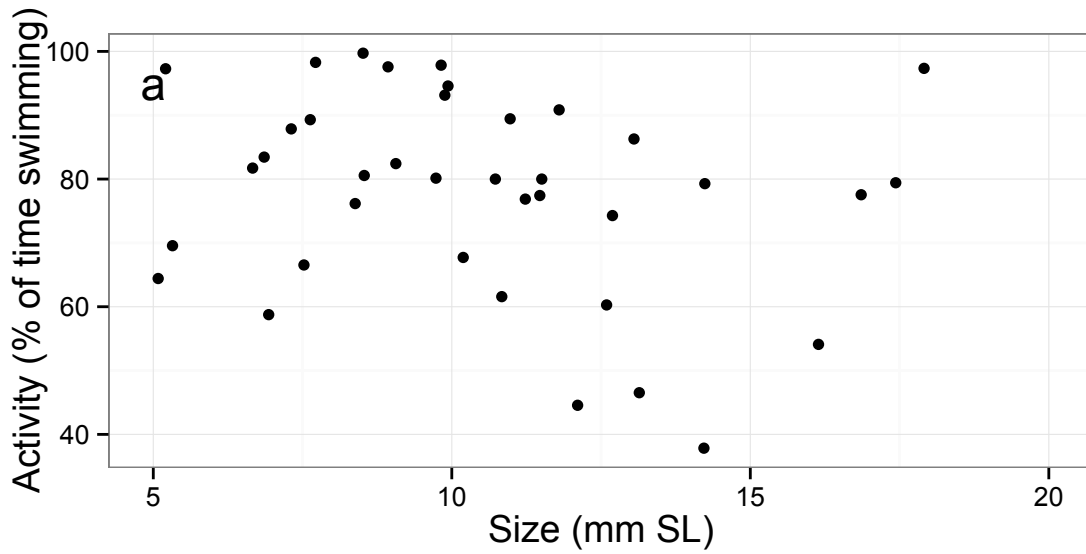


Fig. 2.8. Effect of median sound amplitude experienced on number of turns at four sound amplitude levels. Significant effects occurred at the medium (b, $P < 0.05$) and medium-high (c, $P < 0.01$) sound amplitude levels. Slopes are linear regressions and shaded region show 95% confidence interval.

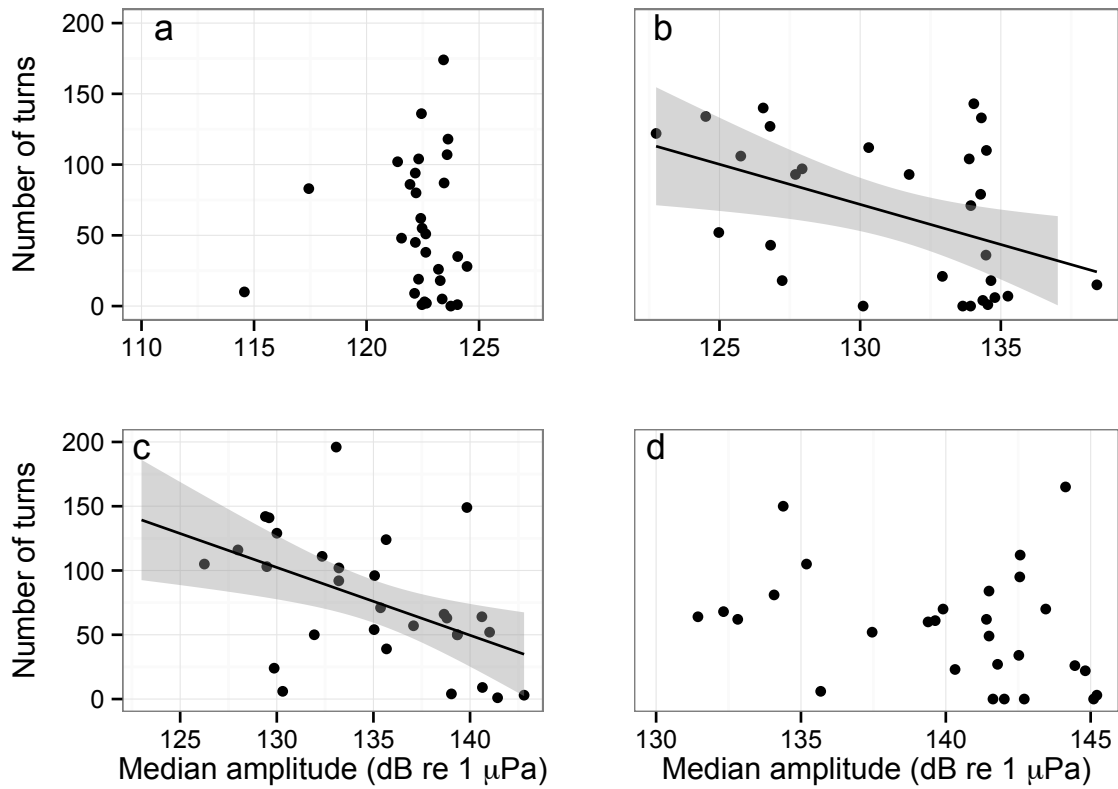


Fig. 2.9. Effect of median sound amplitude experienced on number of pauses at four sound amplitude levels. Significant effects occurred at the medium (b, $P < 0.05$) and medium-high (c, $P < 0.01$) sound amplitude levels. Slopes are linear regressions and shaded region show 95% confidence interval.

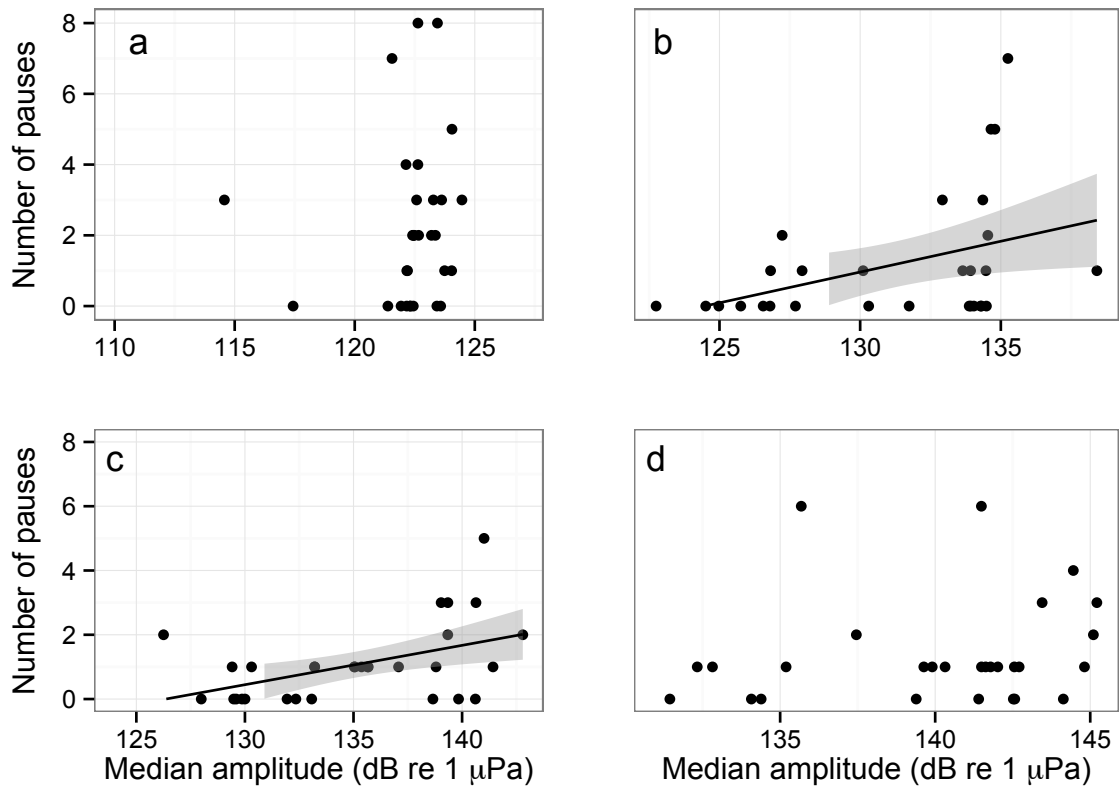


Fig. 2.10. Effect of median sound amplitude experienced on mean speed at four sound amplitude levels. Significant effects occurred at the medium (b, $P < 0.001$) and medium-high (c, $P < 0.001$) sound amplitude levels. Slopes are linear regressions and shaded region show 95% confidence interval.

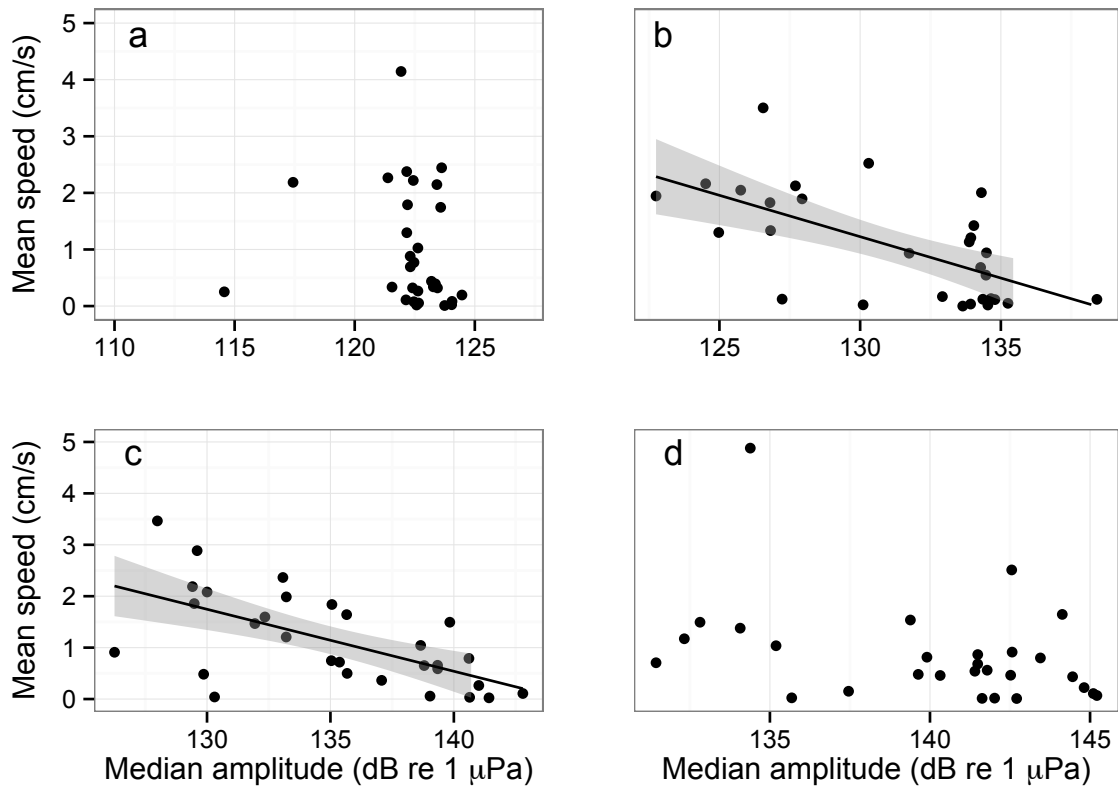


Fig. 2.11. (a) Number of turns and (b) number of stops did not change over median amplitude experienced when the medium (●), medium-high (▲), and high (■) were combined ($P > 0.05$), but the (c) mean speed decreased with an increase in median amplitude ($P < 0.01$). Slope is a linear regression and the shaded region is the 95% confidence interval.

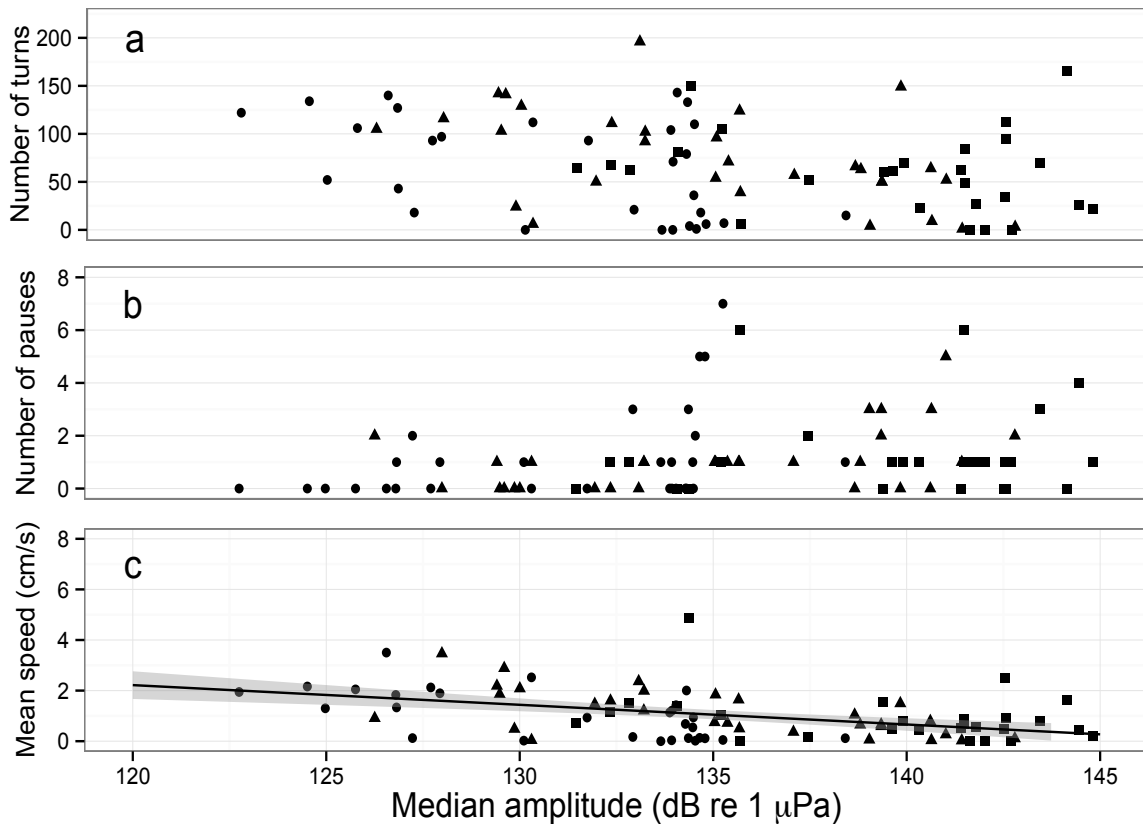


Fig. 2.12. Reactive distance as a function of the reactive angle for red drum larvae successfully capturing *Artemia* prey.

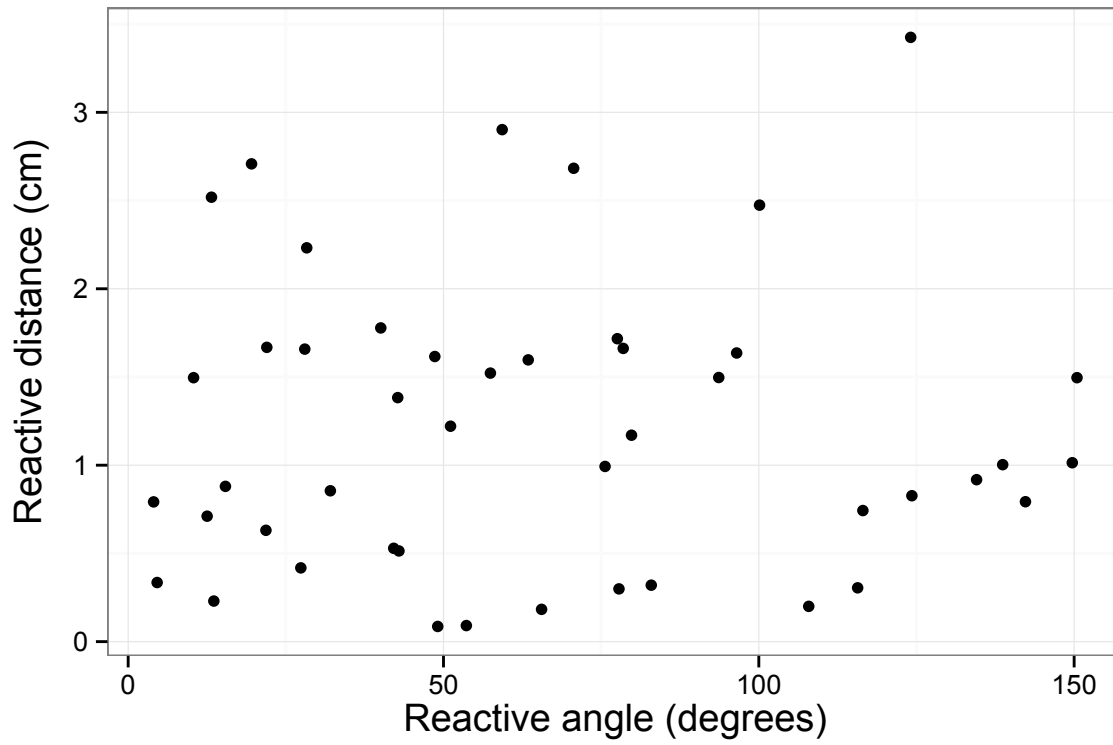
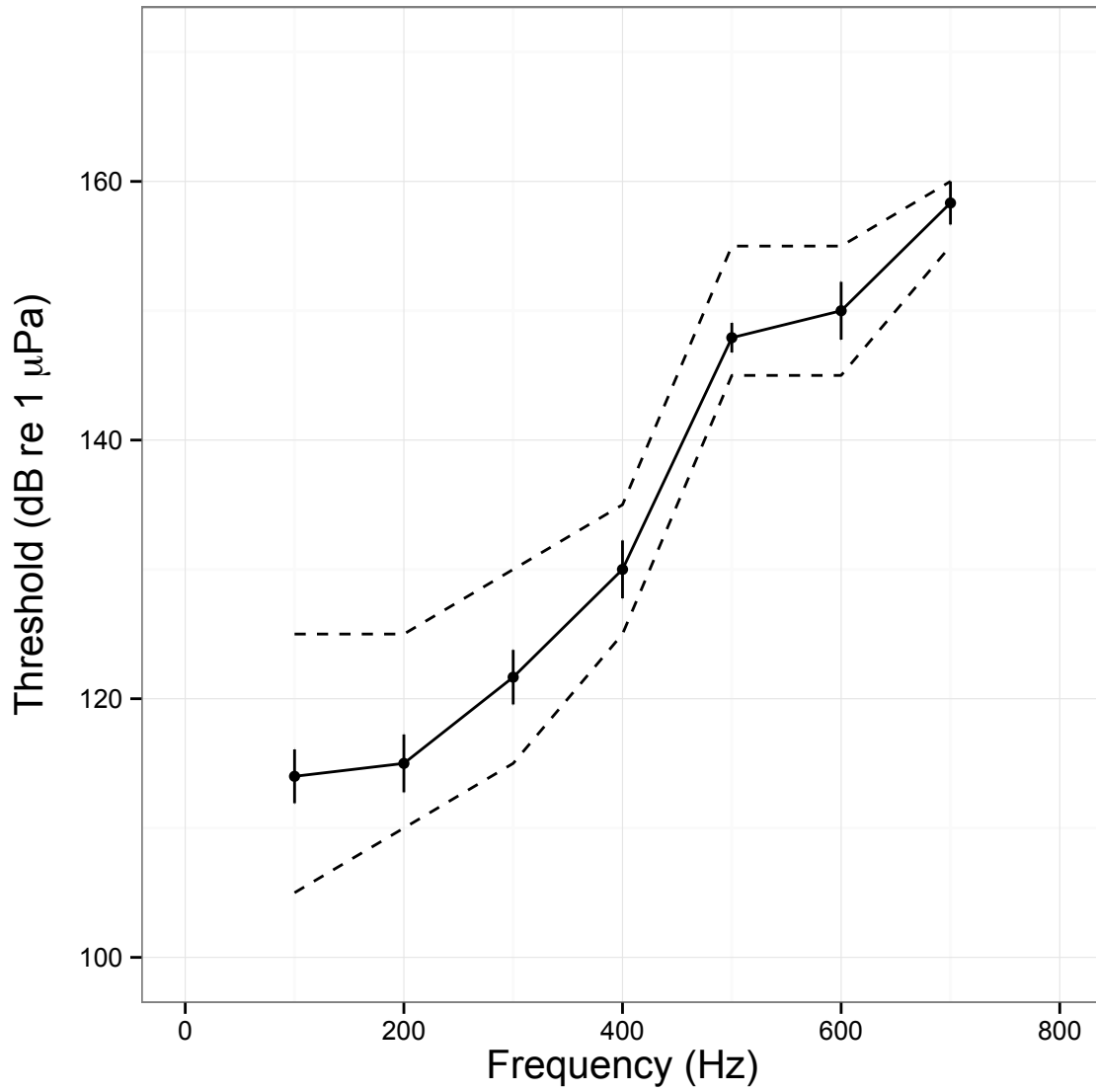


Fig. 2.13. Audiogram for red drum (n = 44) ranging from 15 to 25.5 mm SL. Closed circles indicate mean values with SE error bars. Dashed lines represent the maximum and minimum thresholds for each frequency tested. The mean threshold for red drum at 500 Hz is 147.9 dB re 1 μ Pa \pm 1.14 SE, n = 12.



Chapter 3: Settlement-size larval red drum (*Sciaenops ocellatus*) respond to estuarine chemical cues

ABSTRACT

Planktonic larvae combine directed swimming and functional sensory systems to locate benthic habitats. Some adult marine fishes use chemical cues for orientation to specific habitats, but olfactory function for estuarine fish larvae has received little research attention. This laboratory study quantified behavioral responses of red drum (*Sciaenops ocellatus*) larvae to estuarine chemical cues to examine the role of water chemistry as an orientation cue for locating or remaining in settlement habitat. Spontaneous activity (kinesis) was measured for pre-settlement-size larvae exposed to artificial sea water (as a negative control) and one of six treatments (sterilized sea water, sea water from a channel at ebb tide, sea water from a channel at flood tide, sea water from seagrass habitat, tannic acid dissolved in sterilized sea water, or lignin dissolved in sterilized sea water). Larvae that reached a size of competency to settle (approximately 10 mm standard length) swam faster when exposed to lignin dissolved in sterilized sea water than in other treatments; smaller larvae showed no response. Olfactory preference (taxis) was tested using a paired choice experiment. Competent larvae preferred water from seagrass beds to artificial sea water. The observed chemokinesis and chemotaxis in response to lignin dissolved in sterilized sea water and sea water from

a seagrass bed demonstrate that red drum larvae can distinguish and respond to different water masses and suggest that chemical stimuli from seagrass settlement habitat aid in orientation and movement to, or retention in suitable settlement sites.

INTRODUCTION

Fish use chemical cues for communication, foraging, mating, predator detection, avoidance, and navigation (Døving et al. 1994, Hubbard et al. 2003, Belanger et al. 2004, Gerlach et al. 2007, Dixson et al. 2012). They respond to olfactory cues that range from simple amino acids to complex mixtures of biologically- and environmentally-produced molecules, and are sensitive to these compounds at different concentrations (Døving et al. 1994, Gerlach et al. 2007). For example, Atlantic salmon (*Salmo salar*) respond to testosterone concentrations in sea water as low as 10^{-14} mol⁻¹, but much higher concentrations of alcohols (Moore & Scott 1991, Hara 1994). In general, responses such as turning rate and speed can strengthen with increasing concentration of a cue (Døving et al. 1994).

Responses to chemical cues vary among species, chemical compounds, time, and space. Some chemical cues elicit an innate response (Arvedlund et al. 1999, Miller-Sims et al. 2011, Dixson et al. 2012) and others are learned over time (Odling-Smee & Braithwaite 2003). Certain species can distinguish a specific coral reef or a host anemone based on learning or imprinting, and others innately respond to chemical alarm cues from confamilial species (Arvedlund et al. 1999, Arvedlund & Kavanagh 2009, Miller-Sims et al. 2011, Mitchell et al. 2012). Since olfactory imprinting is common in

marine fishes (e.g. Hasler & Scholz 1983, Arvedlund et al. 1999) and chemosensory morphology develops early, olfaction likely functions early in life (Døving et al. 1994, Kingsford et al. 2002, Lara 2008). However, there have been few studies of the development of the olfactory system and chemically mediated behavioral responses in these organisms.

Fishes commonly use chemical cues for orientation, and many studies suggest olfaction may be the most important cue for locating habitats on both large and small spatial scales (Baird et al. 1996, Dittman & Quinn 1996, Lecchini et al. 2005). In Japanese red rockfish (*Sebastes inermis*), olfaction is more important than vision for locating specific habitats, and olfaction operates over greater distances than vision in certain larvae (Lecchini et al. 2005, Mitamura et al. 2005). Settlement-size reef fishes are capable of discerning different chemical cues associated with benthic habitats, preferring water located near islands or reefs over water from the open ocean or other unsuitable habitats (Atema et al. 2002, Dixson et al. 2008). Some species use chemical cues to distinguish between their natal habitat and a different vicinity, which contributes to retention of larvae in specific sites (Mitamura et al. 2005, Gerlach et al. 2007). They can also use olfaction to determine habitat quality. Coppock et al. (2013) found that three species of reef fishes in Papua New Guinea were attracted to the olfactory cues from live coral and actively avoid odors from degraded coral. In addition to the chemical compounds associated with the habitat structure itself (e.g. oyster reefs, seagrass beds, coral reefs), many settlers respond to predators, prey, and conspecifics in the area (Sweatman 1985, Lecchini et al. 2005, Døving et al. 2006, Lecchini et al. 2007b).

Most research on olfactory settlement cues has been conducted on coral reef fishes; much less is known about the chemical cues associated with settlement in other environments. It has been suggested that larvae depend on olfactory cues to locate estuaries (Boehlert & Mundy 1988), yet few studies have tested the effects of chemical compounds on settlement behavior. Both Radford et al. (2012) and James et al. (2008) found that settlement-stage sparid larvae (*Rhabdosargus holubi* and *Pagrus auratus*) orient toward water sourced from their typical nursery habitats. Radford et al. (2012) also discovered that larvae preferred water collected from seagrass beds to artificial sea water in which seagrass blades had been soaking, suggesting that chemical compounds derived from sources other than the seagrass (e.g. conspecifics or prey) are involved in attracting the larvae to the seagrass beds.

If seagrasses are an important source of chemical information for larvae of other species, it is possible that their phenolic compounds, which are aromatic molecules that can leach into the environment as a result of structural damage or senescence (reviewed by Arnold & Targett 2002), are involved. Seagrasses contain phenols such as condensed tannins and lignins at concentrations ranging from 3 – 11% and <1 – 5% dry mass (DM), respectively, and these concentrations can vary greatly across species and over time (Arnold & Targett 2002). These compounds are also produced by emergent vegetation, including mangroves and salt marshes, and tannins but not lignins are produced by brown algae. Salt marsh plants (measured as humic substances, Filip & Alberts 1989) and mangroves contain even greater concentrations of these compounds than seagrasses (mangrove tannin concentrations of 8.8 – 40.8% DM, Basak et al. 1998). Terrestrial

plants also produce phenolic compounds, and when located near rivers and streams, can be transported to nearby estuaries as well (Benner & Opsahl 2001). Collectively, these phenolic sources might serve as a coastal signal to larvae searching for estuarine settlement sites.

Chemoreception might be especially important for finding settlement habitat in areas where estuaries do not provide a reliable signal through other physical or environmental variables. For instance, postlarval and juvenile flounder (*Pleuronectes flesus*) prefer water of low salinity (Bos & Thiel 2006), but in regions of the western Gulf of Mexico where salinity gradients are not only unstable but sometimes result in reverse estuaries, salinity would not be a dependable signal for navigation. Thus, it is even more likely that chemoreception would be important for locating nursery habitats within these estuaries.

The present study tested the hypothesis that larvae of the estuarine dependent fish, red drum (*Sciaenops ocellatus*), use olfactory cues to locate and remain in its preferred nursery habitat, seagrass beds, when they are competent to settle.

METHODS

The hypothesis was tested in laboratory experiments that measured the responses of larvae to a variety of natural olfactory cues through changes in activity (kinesis) or preference (taxis). Environmental flow rates were measured in the field to determine an appropriate velocity to use for the taxis experiment. After analyzing the responses, water

samples collected from pre-settlement and settlement habitats were analyzed for specific chemical (lignin) concentrations that could serve as a reliable estuarine olfactory cue.

Environmental Flow Rates

To determine estuarine flow rates, 20-cm SeaHorse tilt current meters (OkeanoLog, Woods Hole, MA) were installed in Redfish Bay near Harbor Island, TX (27° 53'N, 97° 7'W). One tilt current meter was installed above the canopy of a seagrass (*Thalassia testudinum*) bed at a depth of approximately 1 m, and another tilt current meter was installed over bare substrate at a similar depth. The meters were set out at 1600 hours on September 20, 2012 and retrieved the next day at 13:30. The tilts from the zenith on each of the three planes were converted into horizontal velocity (in cm s^{-1}) with MATLAB software (Mathworks, Natick, MA).

Study species

Red drum inhabit temperate and subtropical waters from the Gulf of Mexico to North Carolina in the Western Atlantic (Hoese & Moore 1998). They spawn offshore or along the coast in late summer and fall, and larvae reach the estuaries in approximately three weeks (Holt et al. 1983, Rooker et al. 1998). They become competent to settle at 10 mm standard length (SL) and newly settled individuals are most commonly found in seagrass beds, but will occupy marsh edges or unvegetated bottoms when seagrass is not available (Stunz et al. 2002a). Red drum remain in the estuaries until they reach maturity

(three years for males and five years for females), after which they move offshore during the spawning season (Pattillo et al. 1997).

Larval Care

Larvae were raised from eggs released from broodstock maintained at the University of Texas Marine Science Institute's Fisheries and Mariculture Laboratory (FAML) in Port Aransas, TX. On the day following a spawn, approximately 5,000 (5 ml) viable (floating) eggs were placed into 150-l conical tanks filled with UV-sterilized sea water maintained at 27°C and a salinity of 35 ppt. An airstone was placed into each tank to provide a continuous supply of oxygen. Larvae were fed approximately 400,000 rotifers (*Brachionus* sp.) per day enriched with Algamac 3050 (Aqua-fauna Bio-Marine, Hawthorne, CA) for 45 min during days 1 – 11 posthatching (dph). On days 10 and 11, larvae were also fed approximately 10,000 1-day-old *Artemia* sp. nauplii. From 12 dph until testing, larvae were fed approximately 60,000 2-day-old *Artemia* sp. nauplii twice per day enriched with Algamac 3050. The hatchery was kept on a 12:12 light:dark cycle. Larvae were fed between 0800 and 1000 hours each morning.

Kinesis Experiment

This experiment measured the activity of pre-settlement-size (4-10 mm standard length, SL) red drum larvae exposed to seven different sources of water. Those sources included (1) 73- μ m filtered natural sea water collected from a seagrass bed and from a tidal inlet on (2) ebb tide and on (3) flood tide, (4) the water source used for rearing

larvae (FAML hatchery water), (5) hatchery water mixed with lignin and (6) hatchery water mixed with tannic acid, and (7) artificial sea water (Instant Ocean®, Spectrum Brands Holdings, Madison, WI). Artificial sea water and FAML hatchery water were used as a negative control and control, respectively. The seagrass treatment water was collected at the seagrass blade/water interface in a *Halodule wrightii* bed in Redfish Bay, and the ebb and flood tide water were collected from the Aransas Pass Ship Channel adjacent to the Marine Science Institute. Hatchery water was treated after being pumped in from the Corpus Christi Ship Channel and left in outdoor ponds for 1 – 3 weeks to allow for particulates to settle out. It was then filtered through a pressurized sand filter and kept in dark outdoor holding containers for at least one week. The water was UV-sterilized before use in the hatchery. While the chemical composition of the water was not tested for this experiment, other olfaction studies have found that processes similar to these remove biologically active molecules and create water with a consistent chemical composition (Rittschof et al. 1983, Chiussi et al. 2001). The concentrations of lignin ($67 \mu\text{g l}^{-1}$) and tannic acid ($148 \mu\text{g l}^{-1}$) used in the experiments were calculated from the mean dry weight of a *T. testudinum* blade ($0.092 \text{ g blade}^{-1}$; Mumby et al. 1997), the mean density of *T. testudinum* in Redfish Bay ($1,698 \text{ blade m}^{-2}$; Rooker et al. 1998), the amount of tannin and lignin in a seagrass blade (11% and 5% DW, respectively; Arnold & Targett 2002), the average water depth over *T. testudinum* in Redfish Bay (0.58 m; Rooker et al. 1998), and the leaching rate of dissolved organic carbon (DOC) from seagrass (0.5% DOC leached day^{-1} , Maie et al. 2006). These values do not take into account degradation (photo- or biogenic), but served as a rough estimate of potential

concentrations. The calculated value for lignin was on the same order of magnitude as previous studies on the nearby Nueces River ($10.6 \mu\text{g l}^{-1}$; Louchouart et al. 2000). There are no published data on tannin concentrations in sea water for the local area.

The evening before testing, larvae were transferred from the hatchery to the experimental room and kept overnight in individual 600-ml beakers filled with 300 ml of hatchery water or artificial sea water (negative control treatment only). The overnight acclimation was to ensure plasma cortisol concentrations (which increase as a stress response during transfer) returned to basal levels (Robertson et al. 1988). The beakers were placed in a water bath to maintain water temperatures of 27°C . For the negative control, lignin, and tannic acid treatments, water samples were mixed and stored in glass aquaria kept at 27°C with underwater heaters. On the morning of the experiment, water was collected from various field sources and brought back to the laboratory for same-day use. These water samples were also stored in glass aquaria and maintained at 27°C with underwater heaters. On each day of testing, two randomly selected treatments were tested.

Larvae were tested in a $15 \times 10 \times 35$ cm (length \times width \times height) glass aquarium in a window-less room with two 60-W incandescent bulbs placed 60 cm above the tank 30 cm apart. This allowed the observer to remain unseen without visual obstructions for the fish. The fish was given 5 min to acclimate to the testing chamber, after which its behavior was recorded for 1 min with a Casio High Speed EXILIM HS EX-FH25 video camera (Casio Computer Company, Ltd., Tokyo, Japan). This testing period was chosen to both capture the initial reaction to the treatments and represent at least the first hour of

settlement behavior as determined by preliminary time period studies on red drum settlement. Every fish was sacrificed with an overdose of tricaine methansulfonate (MS-222) immediately following the trial and photographed under a dissecting microscope for measurement of SL using ImageJ software (U.S. National Institutes of Health, Bethesda, MD).

Video recordings were converted to image stacks with QuickTime Pro (Apple, Inc., Cupertino, CA), and two-dimensional swimming behavior was tracked with ImageJ software. The original framing rate of the camera was 30 frames s⁻¹, and the fish were tracked using every tenth frame in the stack (three frames s⁻¹). Mean distance from the bottom of the tank (cm) and mean speed (cm s⁻¹) were calculated from the tracking data.

Taxis Experiment

Two sets of taxis experiments were conducted to test the olfactory preference for potential settlement cues in larval red drum. In one set, the experimental design included two size classes (pre-settlement [5 mm SL] and competent [10 mm SL]) and three water sources (artificial sea water mixed with 67 µg l⁻¹ lignin, sea water collected from a *H. wrightii* seagrass bed in Redfish Bay and sea water collected from the Aransas Pass Ship Channel on flood tide). In the other set of experiments, competent larvae were tested in FAML hatchery water mixed with 67 µg l⁻¹ lignin or prey (*Artemia* sp. nauplii) + artificial sea water. In all trials, artificial sea water was paired with one of the treatments (above) as a negative control. The water collected from the seagrass bed, the shipping channel, and the water with the prey were all filtered through a 73-µm filter before

experimentation. Water collected from all field sources was used within 24 h of collection, and all of the other treatments were prepared at least 12 h before trials.

The experimental setup consisted of a $20 \times 4 \times 2.5$ cm (length \times width \times height) plexiglass Y-maze (modified from Gerlach et al. 2007, for similar diagram see supplemental information in Gerlach et al. 2007; Y-maze used in this study had fine mesh placed at outflow end) fed by a peristaltic pump and silicone tubing from two 2-l beakers: one containing one of the treatments and one with the negative control (artificial sea water). Water flowed at a rate of 90 ml min^{-1} (linear velocity of 0.167 cm s^{-1}) through the chamber, and dye tests were performed at the beginning of each day to ensure smooth flow. A 30.5×30.5 cm mirror was placed above the Y-maze at a 45° angle for the researcher to observe the fish from above. Based on the location where laminar flow broke down and mixing between the two treatments began, three areas of the Y-maze were identified: a ‘no decision’ area (40 cm^2) at the downstream end of the maze and treatment and control areas (28 cm^2 each) at the upstream end on either side of the chamber.

Larvae were transferred from the hatchery to the experimental room on the evening before trials and placed into individual 600-ml beakers filled halfway with artificial sea water (negative control). The beakers were maintained at a constant 27°C in a water bath. To test the larvae, an individual was placed into the center of the Y-maze and allowed to acclimate for 5 min with both treatments flowing. The section of the maze in which the fish was located (control, treatment, or ‘no decision’) was then recorded every 10 s for 2 min, after which the treatment and control supply tubes were

each moved to the other arm of the maze (i.e., water sources were reversed). This switch controlled for side bias. The fish were then given another 5 min to acclimate, followed by recording of their position every 10 s for an additional 2 min. In total, each trial took 15 min (10 min for acclimation, 4 min for testing, 1 min for switching of tubes) and resulted in 24 observations per individual. Each larva was tested only once and was sacrificed with an overdose of MS-222 after the trial. A photo of each fish was taken under a dissecting microscope for size measurements using ImageJ software.

Statistical Analyses

Statistical analyses were performed using the R statistical package (R 3.0.2, The R Foundation for Statistical Computing, <http://www.R-project.org>). Analysis of covariance (ANCOVA) was used in the kinesis experiment to test the effects of water sample (treatment) on mean swimming speed and mean distance from the bottom of the experimental aquarium with SL as a covariate. Assumptions of the parametric statistical methods were tested by graphical representation for normality of residuals and variance comparisons. Tukey contrasts (using the “multcomp” statistical package) were applied for post-hoc analyses (Hothorn et al. 2008), and were confirmed by comparing confidence intervals of linear regressions against SL for each treatment.

For each combination of water treatment and size class in the taxis experiment, a Pearson’s chi-square test with sequential Bonferroni correction (Rice 1989) was used to determine whether the time spent by larvae in the ‘no decision’ section of the Y-maze differed from that expected by chance (uniform distribution), based on area. Since the

‘no decision’ area was 41% of the testing chamber, the null expectation was 10 out of a possible tally of 24. If the chi-square test showed that larvae spent less time in the ‘no decision’ section than expected, a paired t-test with sequential Bonferroni correction (Rice 1989) was used to determine whether there was a difference in the time spent in the treatment vs. the control areas.

A two-factor ANOVA, with size class (pre-settlement and competent) and water treatment was used to compare time spent in the treatment area from only those water treatments for which both small and large larvae were tested (lignin + artificial sea water, seagrass water, and shipping channel water). Visual inspection of the residuals and variances were performed to ensure assumptions were met. Tukey post-hoc tests were performed to identify significant differences among treatments.

Lignin Analysis

The concentration of dissolved lignin in water samples collected from seagrass beds and the tidal inlet was measured for comparison with the lignin treatment used in the experiments. Water samples (10 – 14 l) were collected from seagrass beds in Redfish Bay on November 6, 2012 (the end of the spawning season, when seagrass beds are in decline) and July 29, 2013 (immediately preceding red drum spawning, when seagrasses have the highest densities and shoot heights, Rooker et al. 1998), and 5 l of water were collected from the Aransas Pass Ship Channel at 1100 hours on May 31, 2014 (peak of high tide), and kept frozen until lignin analysis. Samples were filtered through a 0.7- μ m Whatman® glass fiber filter (Sigma-Aldrich, St. Louis, MO) to remove particulates.

Solid-phase extraction (SPE) through octadecyl carbon moieties (C_{18}) (Empore, 3M Company, St. Paul, MN) isolated the dissolved organic matter. Cartridges were pretreated with 20 ml of methanol and 10 ml of Milli-Q Plus UV water to activate the discs. Water samples were acidified with 12 N HCl to pH 2 and then pumped through the cartridges using a peristaltic pump with silicone tubing connected to an Erlenmeyer flask with headspace. After extraction, the cartridges were rinsed with 7 ml of methanol three times, and the methanol elution was stored in the freezer until processing.

The eluted samples were evaporated to 2 ml in a Hei-VAP rotary evaporator (Heidolph Instruments, Schwabach, Germany) and then sparged to dryness with N_2 at a temperature of 80°C in 6 ml square Teflon vials (Savillex Corp., Eden Prairie, MN). Lignin oxidation and phenolic compound extraction followed the procedure of Sun et al. (personal communication). The following were added to the vials containing the dry samples: 0.5 g CuO (Fisher Scientific, Fair Lawn, NJ), 0.1 g $\text{Fe}(\text{NH}_4)_2(\text{SO}_4)_2 \cdot 6 \text{H}_2\text{O}$ (Acros Organics, Fair Lawn, NJ), 10 mg glucose (Sigma-Aldrich, St. Louis, MO), and 5 ml 2 N NaOH (8%, w/w, sparged with Ar and sonicated for 30 min to remove O_2). The mixture was then sparged for 30 min with Ar and quickly capped to minimize mixing with air. The capped Teflon vials were heated for 3 h in a 150°C oven. Once cooled, the internal standard (ethyl vanillin) was added.

HCl (12 N) was added to the oxidized samples to bring acidity to pH 2. The samples were put in the dark to let particulates settle out, then they were centrifuged (Model 5810 R, Eppendorf International, Hamburg, Germany) and the supernatant was saved for further analysis. The samples were pushed through C18 cartridges (Analtech

Inc., Newark, DE) treated with 3 ml methanol and water at a flow rate of 4 - 5 ml min⁻¹. The column was dried with N₂ for 5 min, and then 2 ml of ethyl acetate was pushed through the column to elute the lignin oxidation products. The ethyl acetate was evaporated with N₂ while in a 45°C water bath, and then the dried solvent was dissolved in 2 ml 10% (v:v) methanol:water and sonicated for 1 min. The solutions were analyzed by a Shimadzu Prominence HPLC (Shimadzu Scientific Instruments, Columbia, MD) in an Alltima 5 µm, 250 × 4.6 mm C18 column (Alltech Associates, Inc., Deerfield, IL) at room temperature with a 150-µl injection volume. The separation was performed according to methods of Sun et al. (personal communication).

RESULTS

Environmental Flow Rates

Water velocity inside the seagrass bed ranged from 0.2 – 15.3 cm s⁻¹, with a mean flow of 2.4 ± 0.02 cm s⁻¹. Water velocity over the sandy bottom outside the seagrass bed ranged from 0.03 – 23.0 cm s⁻¹, with a mean flow of 6.0 ± 0.06 cm s⁻¹.

Kinesis Experiment

Testing was carried out on 193 larvae, with 19 – 43 individuals in each treatment, ranging in size from 4 – 10 mm SL. According to the overall ANCOVA for swimming speed, there was a significant size × treatment interaction (P < 0.01), where swimming speed increased more sharply with SL in the lignin treatment compared to a more gradual

increase in artificial sea water ($P < 0.05$). The rate of increase in speed with SL for lignin was also significantly greater than that for flood tide ($P < 0.01$), ebb tide ($P < 0.01$), and tannic acid treatments ($P < 0.05$, Fig. 3.1). For the latter three treatments, the rate of increase in speed with SL was not significantly different from zero ($P > 0.05$). There was also a significant increase in swimming speed with SL for artificial sea water, FAML sea water (control), and seagrass water ($P < 0.05$). There were no significant effects of water treatment on distance from the bottom of the tank ($P > 0.05$); mean distance from the bottom was 14.4 cm (Fig. 3.2).

Taxis Experiment

The taxis experiment was performed on 171 larvae divided into two size classes with 17 – 29 individuals in each trial. Pre-settlement larvae averaged 4.7 ± 0.5 mm SL and competent larvae averaged 11.0 ± 1.2 mm SL, representing extremes of the range over which changes in chemokinesis were observed.

When all size \times treatment combinations (the six used in the ANOVA plus large larvae in prey and lignin + FAML hatchery water) were considered, only competent larvae in the prey, lignin + artificial sea water, and seagrass treatments spent significantly more of the time out of the ‘no decision’ area than expected. Larvae of both sizes from all other treatments spent more time in the ‘no decision’ area than expected ($P < 0.0063$ for all treatments). Based on the positive results in the prey, lignin + artificial sea water, and seagrass treatments, preferences were tested. Time spent in the seagrass treatment

was significantly greater than time spent in the negative control ($P < 0.0167$) but there was no preference in prey or lignin + artificial sea water trials ($P > 0.05$, Table 3.1).

For the trials that included both small and large larvae (lignin + artificial sea water, seagrass water, and channel water), the size \times treatment interaction was not significant but both size ($P < 0.001$) and treatment ($P < 0.05$) had an effect on the amount of time spent in the treatment area of the Y-maze (Fig. 3.3). Competent larvae spent more time in the treatment area compared with pre-settlement-size larvae, and larvae spent more time in the lignin + artificial sea water treatment than the channel water (6.2 ± 6.88 vs. 3.5 ± 5.68 out of a potential score of 24, respectively, $P < 0.05$).

Lignin Analysis

The lignin concentration in the Aransas Pass Ship Channel was $1.0 \mu\text{g lignin l}^{-1}$, $1.1 \mu\text{g l}^{-1}$ in Redfish Bay in 2012 during seagrass decline, and $1.3 \mu\text{g l}^{-1}$ in Redfish Bay in 2013 during the height of seagrass production, assuming 53.1 – 65.6% recovery from the HPLC analysis.

DISCUSSION

Time spent in an environment is regularly used as a proxy for preference (Atema et al. 2002, Gerlach et al. 2007, Dixon et al. 2008), so results from the taxis experiment indicate that settlement-size red drum larvae prefer the olfactory cues of water from the estuary over those of artificial sea water. Results from both the kinesis and taxis

experiments indicate that red drum larvae respond to sea water taken from seagrass beds and water to which lignin was added at sizes when larvae are competent to settle. Faster swimming combined with attraction to these water sources would lead competent red drum to seagrass beds as they swim towards the chemical source. In other words, larvae should navigate (by either swimming or controlling their water column position to take advantage of currents) away from the oceanic environment and toward the estuaries, which contain both allochthonous (terrigenous plants) and autochthonous (seagrass and marsh plants) sources of lignin (Louchouart et al. 2000, Mannino & Harvey 2000).

Pre-settlement larvae did not respond to any of the treatments tested, suggesting either that they respond to these cues in ways other than the behavioral traits measured in this study, that they perceive the cues but do not or cannot respond to them, or that they are incapable of detecting olfactory cues and other sensory cues (i.e., treatments not tested) or modalities (i.e., other senses) are important during this life stage. In the taxis experiment, pre-settlement larvae spent significantly more time in the ‘no decision’ area of the testing chamber compared with either the negative control or the treatment areas. This almost certainly represents a lack of choice by the larvae, since they easily could have swum against the slow flow in the Y-maze. That flow (0.167 cm s^{-1}) is well below mean water velocities in a seagrass bed or surrounding bare substrate and similar to the lowest values measured in those habitats in this study. The maximum sustainable swimming speed (U_{crit}) of red drum larvae, even as small as 4 – 5 mm SL, is approximately 5 cm s^{-1} (Faria et al. 2009).

Larvae are capable of olfaction at early developmental stages, yet most studies on the role of olfaction in settlement have limited their observations to competent larvae (e.g., Huijbers et al. 2008, Leis 2010, Dixson 2011). Both temperate and tropical larvae have been shown to respond to chemical cues associated with predators or prey shortly after hatching, however the ontogeny of chemically mediated behavior has not been well studied (Døving et al. 1994, Dixson et al. 2010). While the olfactory morphology of red drum larvae has not been studied, behavioral and morphological studies on other species suggest that it is likely that red drum also have a functional olfactory sense early in development (Lara 2008, Dixson 2011). Results from the present study show that larvae do not respond to settlement cues until they are a size at which they are ready to settle into a benthic habitat (Lara 2008, Dixson 2011). It is not clear whether the response is innate or learned, since the chemical composition of the control water in which the larvae were reared is not known, however red drum larvae responded to estuarine-associated olfactory cues immediately prior to settlement, and probably choose not to respond to these cue at earlier stages.

Red drum swimming capabilities improve during ontogeny, with average U_{crit} values increasing from 1 to $> 22.2 \text{ cm s}^{-1}$ for larvae approximately 2.5 – 18 mm SL (Faria et al. 2009). Water velocity in the tidal inlet during the spawning season ranges from 5 – 100 cm s^{-1} , with a mean velocity of 35 cm s^{-1} (Faria et al. 2009). Therefore, it is likely that young red drum rely on selective tidal stream transport (STST), and settlement-size larvae use a combination of STST and active swimming to control their spatial position and navigate towards nursery grounds (Forward et al. 1999, Holt & Holt 2000).

Surprisingly, there were no differences in lignin concentration for water samples collected from the seagrass beds during the annual height of seagrass, seagrass beds in decline, and the tidal inlet. Levels measured in this study were approximately 10 times greater than lignin levels in offshore water in the Gulf of Mexico (90.2 ng l⁻¹), comparable to previous measurements in the same tidal inlet (3.2 µg l⁻¹), and approximately one order of magnitude less than the nearby Nueces River (10.6 µg l⁻¹, Louchouart et al. 2000). While the lignin concentration in the shipping channel matches previous findings, lignin concentrations in the seagrass bed water were expected to be higher than they were. This expectation was based on calculations, previous studies on lignin concentrations in estuaries (Louchouart et al. 2000, Mannino & Harvey 2000), and the difference in the quantities of vascular plant in estuaries compared with offshore environments (Arnold & Targett 2002). Instead, results from this study indicate that the entire nearshore environment, including the shipping channel, contains a similar lignin signal. The concentration used in the experiments was 50 times greater than that measured in the seagrass beds at the peak of production and 4 – 6.3 times greater than riverine values (Louchouart et al. 2000, Mannino & Harvey 2000). Caution must be used when making comparisons between the concentration of lignin (and tannic acid) used in the experiments and the environmental concentrations. Lignins and tannins are groups of related molecules comprised of monomers, dimers, and polymers. Up to half of all phenols in seagrasses can be simple phenolic acids (monomers, Vergeer & Develin 1997). Fish olfactory systems are known to be sensitive to small molecules such as amino acids (reviewed in Derby & Sorensen 2008), so it is likely that the concentration of

phenolic monomers is the relevant reference for larval fishes. Analytical methods for measuring lignins in seawater require lignin polymers to be hydrolyzed into monomers, which would result in higher concentrations of monomers than are actually present prior to analysis. Therefore, the concentrations of lignin monomers in the experiment could have been of the same magnitude as the actual concentration of lignin monomers in the environment. The most important conclusion is that larvae responded with both increased activity and preference to lignin, and further studies are necessary to establish the specific lignin monomers to which larvae are responding.

Larvae demonstrated a strong response to lignin but not tannin in the kinesis experiment, despite both substances being produced by nearshore plants. Both are classes of phenolic compounds, with aromatic properties that could act as potential olfactory signals (Arnold & Targett 2002). Lignins and condensed tannins are produced by terrestrial and marine vascular plants, but algae do not produce lignins (though lignins have been discovered in red algae, *Calliarthron cheilosporioides* [Martone et al. 2009]). Tannins, however, (in the form of phlorotannins) are produced by brown algae (Arnold & Targett 2002). This could make tannins, like salinity, an unreliable cue for settling fishes to Gulf of Mexico estuaries. The Gulf of Mexico contains millions of tons of brown algae (*Sargassum* sp.) that can be found in both nearshore and offshore environments, which would not provide a signal gradient towards settlement habitats (Gower & King 2009).

Responses to olfactory cues associated with settlement sites have been shown in other estuarine-dependent species and in those that settle to seagrass beds, however the

studies have been limited to quantifying taxis responses in competent individuals (Huijbers et al. 2008, James et al. 2008, Radford et al. 2012). In laboratory trials, settlement-stage sparid larvae (*Rhabdosargus holubi*) spent more time in estuarine and riverine water compared with sea water controls (James et al. 2008). Radford et al. (2012) discovered that larval snapper (*Pagrus auratus*) prefer water collected from seagrass beds but not water in which seagrass had been soaked, suggesting that snapper respond to a cue associated with seagrasses other than the seagrass blades (e.g., prey or conspecifics). In the current study, red drum larvae were found to respond to estuarine and seagrass cues, but might in fact be responding to contents of the seagrass blades, and lignin in particular.

Results from this study support recent findings that estuarine vegetation might produce olfactory cues that competent fish larvae use to locate settlement habitats (Huijbers et al. 2008, Radford et al. 2012). The results from the kinesis and taxis experiments (i.e. behavioral responses to lignin and to water from seagrass beds), combined with the lignin environmental data, indicate that in addition to being important refuge and foraging areas for newly settled individuals, seagrasses and marsh plants might be necessary for competent larvae to locate estuaries containing suitable nursery habitats. Seagrasses and marsh plants are ecosystem engineers, providing services such as oxygenating surrounding water, stabilizing marine sediments, cycling nutrients, and providing nursery habitats for many marine vertebrates and invertebrates (Hemminga & Duarte 2000). Seagrass losses around the globe have risen almost tenfold since the 1970's, which can be attributed to factors such as increased sedimentation, nutrient

loading, invasive species, physical disturbances, fishing and aquaculture practices, disease, algal blooms, and climate change (Orth et al. 2006). Therefore, even if larvae are able to occupy other habitats within an estuary (e.g. oyster reefs or unvegetated bottoms), they might not be able to find these habitats if they rely of seagrass- and marsh plant-derived olfactory cues for long distance orientation. If larvae do not successfully settle, the chances of recruiting to the adult population are slim.

This is the first study on the changes in olfactory-related responses to settlement cues in a subtropical and temperate estuarine-dependent fish species. It demonstrates that larvae are capable of responding to olfactory cues that emanate from their settlement habitat when they are competent to settle, and respond to one particular compound within seagrass but not another. While more research on specific chemical stimuli and behavioral responses is necessary, this study draws attention to the potentially necessary role of live seagrasses not only in providing nursery habitats for newly settled larvae, but in attracting competent larvae toward estuaries prior to settlement.

Table 3.1. Results of the taxis experiment. Mean percentage of time (\pm SE) in the ‘treatment’, ‘negative control’, and ‘no decision’ areas of the Y-maze for each size class. (n) represents negative control and (c) represents control. * indicates significantly less times spent in the no decision area; † indicates significantly more time spent in a treatment area compared with the control.

Treatment	Size class	Time in no decision	Time in negative control	Time in treatment
channel	small	99.8 \pm 2.1	1.5 \pm 0.9	0.3 \pm 0.3
lignin (n)	small	72.5 \pm 8.1	12.5 \pm 5.3	15.2 \pm 5.7
seagrass	small	86.8 \pm 5.9	6.8 \pm 4.0	6.4 \pm 2.9
prey	large	36.8 \pm 7.0*	27.9 \pm 4.9	35.3 \pm 5.6
channel	large	53.0 \pm 6.0	21.4 \pm 3.7	27.0 \pm 5.0
lignin (n)	large	40.0 \pm 8.0*	22.2 \pm 5.4	37.7 \pm 6.6
lignin (c)	large	41.1 \pm 5.4	27.7 \pm 3.2	31.0 \pm 4.2
seagrass	large	35.2 \pm 5.4*	24.6 \pm 3.4	40.8 \pm 4.7†

Fig. 3.1. Results from kinesis experiment. Swimming speed for larva spanning a range of sizes in (a) flood tide, (b) ebb tide, (c) control, (d) lignin, (e) tannic acid, and (f) seagrass water. Black points and regression line represent treatment, gray points and regression line represent artificial sea water (negative control).

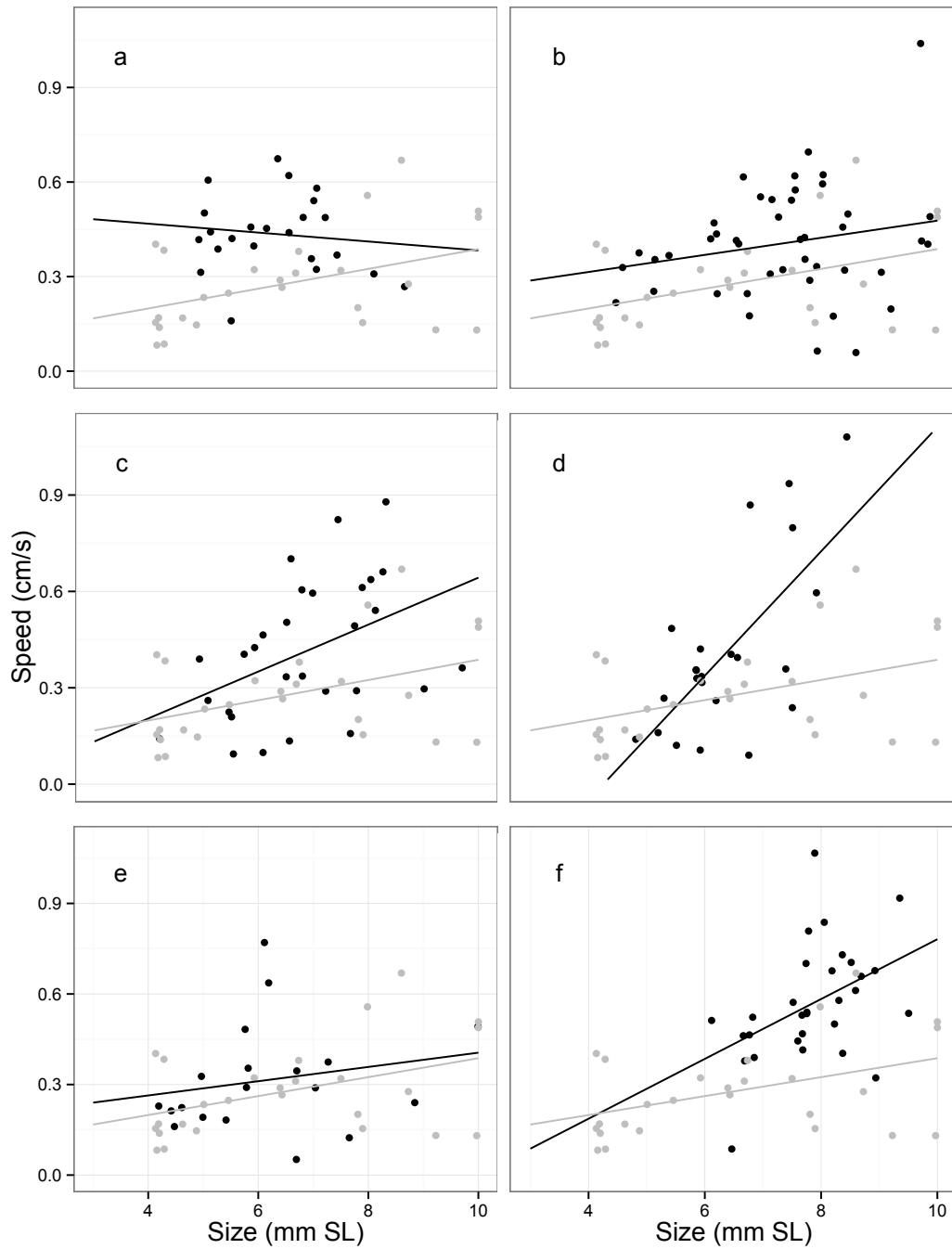


Fig. 3.2. Results from the kinesis experiment. Mean distance from the bottom was not significantly affected by size, treatment, or the interaction between them (ANCOVA, $P > 0.05$). Median and the first and third quartiles are represented by the box, diamonds are the mean, whiskers are 1.5 times the interquartile range.

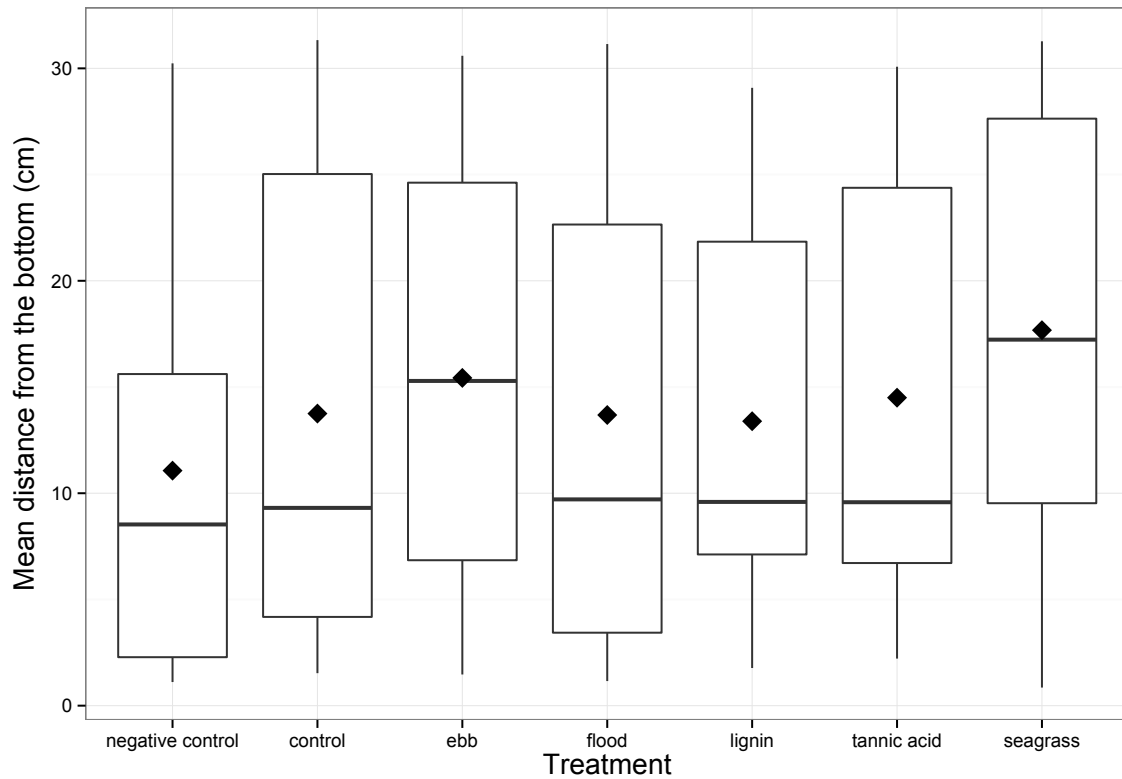
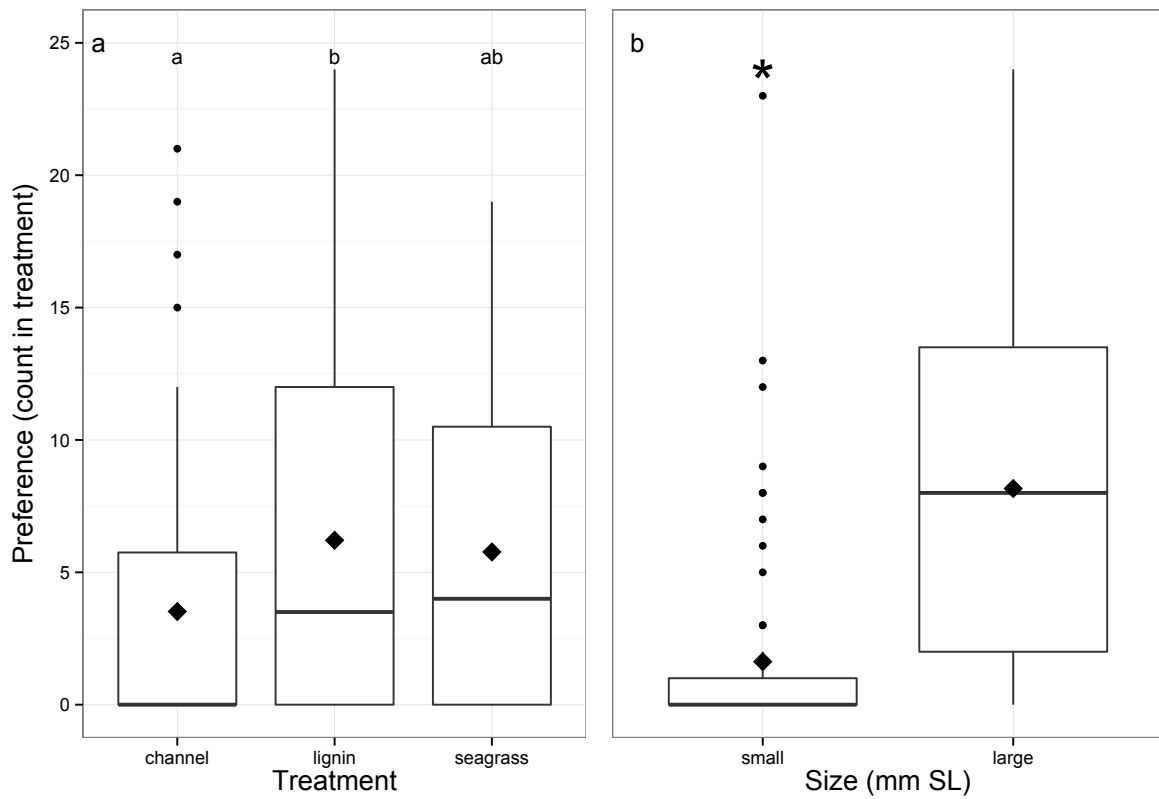


Fig. 3.3. Results from the taxis experiment. Preference for treatment (tallies in treatment area) was (a) greater for lignin compared with channel water and (b) greater for large larvae than small larvae ($P < 0.05$, ANOVA with Tukey post-hoc comparison). Median and the first and third quartiles are represented by the box, diamonds are the mean, whiskers are 1.5 times the interquartile range, and closed circles represent outliers.



Chapter 4: Depth preference in settling red drum (*Sciaenops ocellatus*) larvae in relation to benthic habitat color and water column depth

ABSTRACT

A variety of sensory cues could be operating to aid larval fishes in finding benthic settlement habitat. Visual cues are thought to be the most important when adequate light is available, even though they are only effective over short distances. The effects of substrate color (black, sand, and green) at two water column heights (85 and 33.3 cm) were tested on settlement behavior (distance from bottom and activity) of red drum, *Sciaenops ocellatus*, at pre-settlement, settlement, and post-settlement sizes. There was no effect of substrate color or water column height on mean distance from bottom or activity for settlement- and post-settlement-size fish. Pre-settlement larvae in the deeper water column exhibited greater activity (variability in distance from bottom) than those in the shallower water column (9.6 ± 7.1 vs. 5.6 ± 4.1 cm, respectively). Pre-settlement larvae were closer to the bottom in the shallower water column compared with the deeper water column (18.5 ± 9.1 vs. 44.3 ± 27.6 cm, respectively), since larvae reside close to the water surface at this stage. Larvae over green and sand substrates were closer to the bottom in the shallower water column compared to the same color substrate in the deeper water column (19.4 ± 9.0 vs. 53.1 ± 27.7 cm over green, and 15.1 ± 9.6 vs. 41.4 ± 26.4 cm over sand). However, there was no difference in mean depth over the black substrate

for the pre-settlement larvae (21.0 ± 7.9 and 39.1 ± 27.9 cm for shallow and deep, respectively). These results suggest that presettlement-size larvae modify their behavior according to benthic visual cues, but are not affected by the same cues after reaching settlement size. Larvae avoid the lighter colors found in shallow estuaries (green and sand) at sizes smaller than competency to settle, but do not change their depth in the presence of dark bottoms, which they would encounter in an offshore environment.

INTRODUCTION

The majority of marine fishes spend their egg and larval stages in the pelagic environment, and their juvenile and adult stages associated with benthic habitats. The transition between the pelagic and benthic stages is known as settlement – a period that can take minutes to weeks depending on the species, habitat requirements, and environmental conditions (Victor 1982, 1986; McCormick & Makey 1997; White et al. 2013). It is a period of high mortality, leaving new settlers vulnerable to predation and starvation (Doherty et al. 2004, Fuiman et al. 2010). To maximize their chances of survival, individuals must seek out or be transported to suitable settlement habitat.

Many fishes settle actively, sensing, orienting, and moving towards habitat cues by controlling their spatial position through swimming and current selection (Leis & Carson-Ewart 1999, Armsworth 2000, Kingsford et al. 2002, Montgomery et al. 2006). Most of what we know about the use of cues by larvae is conjecture, but studies over the past few decades have begun to resolve some of the signals to which larvae are

responding (Kingsford et al. 2002, Dixson et al. 2008, Simpson et al. 2008). There are multiple potential settlement cues available for late-stage larvae, including olfactory, auditory (both biogenic and non-biogenic), magnetic, mechanosensory, and visual. It is also likely that these signals do not operate in isolation, but rather simultaneously or consecutively (Huijbers et al. 2012). Cues operate over different spatial scales. For example, magnetic and celestial cues may be transmitted over entire ocean basins, while particle displacement and acceleration might be detectable over much smaller distances (Kingsford et al. 2002).

Vision operates effectively over the smallest spatial scale. Even in clear waters, it is unlikely that fishes would be able to see objects more than 50 m away (Kingsford et al. 2002). Vision is probably most important for discriminating microhabitats once a larva has settled, and it is the dominant sensory system on small spatial scales (McFarland 1991, Lecchini et al. 2005). The majority of experiments on the use of vision in habitat selection have been on coral reef fishes, which typically experience clear water and a variety of substrates in a small area (Lecchini et al. 2007a, McCormick et al. 2010). In contrast, estuarine fishes are often found in turbid systems that have less variety in benthic habitat. Little is known about their visual response to different substrates.

This study examined the influence of vision on settlement behavior of a subtropical and temperate estuarine fish (red drum, *Sciaenops ocellatus*) using laboratory experiments. The effects of substrate color and water-column depth on mean vertical position and activity of *S. ocellatus* at three early life stages: pre-settlement, settlement, and post-settlement, were tested to determine both the changes in behavior through

ontogeny and the effects of habitat characteristics on settlement. Substrate colors were chosen to represent the three general colors red drum larvae would encounter when moving from the pelagic environment (black, to represent a dark, deep water control) to shallower estuaries: sand (unvegetated) and green (seagrass beds). In addition to the variety of substrate colors, larvae may also settle from a variety of water depths. Two depths (33.3 and 85 cm) were chosen to better understand settlement behavior across realistic estuarine depth gradients (Rooker et al. 1998). It was hypothesized that settlement-size larvae would be closer to the bottom over green and yellow substrates compared with black. It was also hypothesized that pre-settlement larvae would be close to the surface of the water column regardless of benthic color, and post-settlement larvae would be close to the bottom regardless of bottom color. Activity would be lowest for post-settlement larvae compared with pre-settlement- and settlement-size larvae since they have taken up a benthic residence.

METHODS

Study species

Red drum is a common estuarine fish species that inhabits Western Atlantic temperate and subtropical waters. Off Texas, it spawns in late summer and early fall offshore or near tidal inlets, and the eggs and larvae spend approximately three weeks offshore and/or in the inlets before reaching the bays and estuaries (Holt et al. 1983). Recent arrivals of larvae in the estuary are most commonly associated with seagrass beds,

but will associate with salt marsh edges or shallow unvegetated habitat when seagrass is not available (Stunz et al. 2002a). Red drum can first appear in estuaries as small as 4 mm standard length (SL) and as large as 10 mm SL, although their precise size at transition to the benthos cannot be determined from these studies (Holt et al. 1989, Rooker et al. 1998). Red drum stay in estuaries through the juvenile stage, and reach maturity at 3 to 5 years (Rooker & Holt 1997, Hoese & Moore 1998).

Larval care

Red drum eggs were collected from the Texas Parks and Wildlife Department's Marine Development Center in Corpus Christi, TX on September 24, October 2, and October 23, 2013 and transported in a covered bucket with 15 l sea water to the University of Texas Marine Science Institute's Fisheries and Mariculture Laboratory for rearing. Approximately 10,000 (10 ml) viable eggs from each collection were divided between two 150-l conical tanks (5,000 eggs each) the afternoon after spawning the previous evening. Each tank was filled with 100 l of UV-sterilized sea water, and provided a continuous supply of oxygen through an airstone. Larvae were raised on a 12:12 light:dark cycle and water was maintained at a salinity of 35 ppt and temperature of 27°C. Larvae hatched later that same afternoon. Larvae were fed once a day between 0800 and 1000 hours. For the first 11 days, larvae were fed rotifers (*Brachionus* sp.; approximately 2,667 l⁻¹) enriched for 45 min in the fatty acid supplement Algamac 3050 (Aqua-fauna Bio-Marine). On days 10 and 11 posthatching, larvae were also fed 1-day-old *Artemia* sp. nauplii at a concentration of approximately 67 l⁻¹. Twelve days

posthatching (dph), larvae were switched to a diet of 2-day-old *Artemia* sp. nauplii (approximately 400 l⁻¹) enriched for 16 h in Algamac 3050. Larvae were tested at three separate size classes: pre-settlement (4 – 8 cm standard length, SL), settlement (11 – 13 cm SL) and post-settlement (16 – 21 cm SL). These sizes were chosen based on the experimentally determined settlement size (Chapter 5).

Behavioral Assays

Most studies on the role of vision in the settlement process consist of binary or multi-choice experiments for coral reef fishes in arenas that are less than two meters in length (Booth 1992; Lecchini et al. 2005, 2007a; McCormick et al. 2010, Huijbers et al. 2012). This is a reasonable scale; Lecchini et al. (2005) discovered that the coral reef fish *Chromis viridis* only responds to substrate less than 75 cm away. However, for estuarine fishes, having multiple habitats within their field of view is less likely, therefore it is more appropriate to test the strength of attraction towards one substrate at a time.

Experiments were conducted to determine the effect of water-column depth and substrate color on mean distance from the bottom and activity of young red drum. On the experimental days, larvae were transferred from the conical tanks to the experiment room. They were kept individually in 600-ml beakers filled with 200 ml of sterilized sea water and placed in a water bath maintained at 27°C for at least three hours to lower stress (cortisol) levels (Robertson et al. 1988) until testing.

The testing chamber was a cylindrical acrylic tube (25 cm × 95 cm diameter × height) filled with sterilized sea water to a depth of either 33.3 or 85 cm. A 1000-W

halogen lamp hung 1.2 m above the top of the tank to mimic natural sunlight intensity (approximately 100,000 lux mean intensity, measured with an SM700 Smart Lux Meter [Milwaukee Instruments, Rocky Mount, NC] in natural sunlight at sea level) during the red drum spawning and settlement period on the Texas coast (August to November). A black (CMYK scale: 72, 66, 74%), olive green (80, 44, 100, 47%), or sand (17, 56, 100, 3%) colored paper was placed underneath the chamber to mimic different colored substrates red drum might encounter in nature (deep bottom, seagrass, and unvegetated bottom, respectively). Five-cm increments were marked on the chamber for determining fish depth. Water temperature was maintained with submersible heaters whenever an experiment was not taking place, and experiments ran for 2 h, followed by a half hour break to avoid overheating the surface water with the overhead lamps. These time periods were sufficient to maintain the surface water temperature under 30°C. There were two chambers 30 cm apart, which allowed for two fish to be tested at a time (one in each tank).

To test the fish, an individual was transferred from the beaker to the testing chamber and allowed to acclimate for 5 min. Its distance from the bottom was recorded every 10 s for 5 min by noting the nearest 5-cm increment on the chamber. This testing period was chosen to capture the initial reaction to the treatments, while representing at least the first hour of settlement behavior as determined by preliminary studies on red drum settlement. From these data its mean distance from the bottom was computed. The standard deviation (SD) of its vertical positions over the 5-min period was calculated as a measure of activity. At the end of the 5-min trial the fish were sacrificed with an

overdose of tricaine methansulfonate (MS-222). The SL of pre-settlement fish was measured under a dissecting microscope using Image Processing and Analysis in Java software (ImageJ, U.S. National Institutes of Health, Bethesda, MD). The settlement and post-settlement fish were measured to the nearest mm using a ruler.

Statistical Analyses

Statistical analyses were performed in R (R 3.0.2, The R Foundation for Statistical Computing, <http://www.R-project.org>) to determine the effects of color and depth on activity and mean distance from the bottom. The three size classes (pre-settlement, settlement, and post-settlement) were analyzed separately. The variances were unequal between the two depth treatments and could not be equalized with transformations. Therefore, a nonparametric Kruskal-Wallis one-way ANOVA by rank was used to test for overall effects of color and water-column depth, followed by a Mann-Whitney U-Test to test for pairwise differences among colors within each depth (three comparisons within both the shallower and deeper water-columns) and between water-column depths within each color (1 comparison for each of the 3 colors). In total, there were 11 statistical comparisons, which required a sequential Bonferroni correction (Rice 1989) to be considered significant.

RESULTS

There were 139 pre-settlement-, 160 settlement-, and 121 post-settlement-size fish tested, with 19 to 40 individuals in each treatment. For pre-settlement fish, mean

distance from the bottom \pm SD was significantly less in the shallower than the deeper water column (18 ± 9 cm vs. 44 ± 28 cm, respectively, Table 4.1). Substrate color did not have an overall effect on mean distance from the bottom (37 ± 27 , 31 ± 23 , 29 ± 24 cm for green, black, and sand, respectively), however water-column depth did affect the larval distance from the bottom with the green and sand substrate colors but not the black substrate (Table 4.2, Fig. 4.1).

Water-column depth had a significant effect on activity in pre-settlement fish, with a mean activity of 5.6 ± 4.1 cm in the shallower water and 9.6 ± 7.1 cm in the deeper water, respectively (Table 4.3). The effect of bottom color on activity was not significant and there was no significant effect of color within depth treatments, nor effects of depth within color treatments (Table 4.3).

Neither water column depth nor substrate color had an effect on mean distance from the bottom or activity for settlement-size and post-settlement-size fish (Fig. 4.1, Tables 4.1 and 4.3). The effects of color within depth treatments and the effects of depth within color treatments were also not significant for both mean distance from the bottom and activity. Mean distance from the bottom was 8 ± 8 cm and 4 ± 5 cm for the settlement- and post-settlement-size fish, respectively. Mean activity was 5.8 ± 4.1 cm and 3.4 ± 4.2 cm for the settlement- and post-settlement-size fish.

DISCUSSION

Water-column depth and benthic color did not affect the position or activity of settlement-, or post-settlement-size fish, as they had low activity levels and were closely associated with the bottom. This was predicted for post-settlement fish, however the similar behavior in settlement-sized fish was not expected. The 11 – 13 mm SL range was chosen for settlement size because of previous studies on settlement size to a sand substrate. It was discovered that while red drum enter the estuaries at approximately half that size (Rooker et al. 1998), they do not move out of the water column until 12 – 13 mm SL (see Chapter 5). The fish in the current study displayed less variability around their preferred depth than predicted, but the settlement results are consistent with previous work (Chapter 5).

Pre-settlement larvae were influenced by water-column depth; they occupied a position farther from the bottom (closer to the surface) and were more active in the deeper water column. Since pre-settlement larvae are pelagic, it makes sense that they would take advantage of the larger volume of water column associated with the deeper water. Their pre-settlement niche also explains the observed patterns in their responses to benthic color. Pre-settlement larvae showed no difference in depth preference when the substrate was black (control), the treatment they would most closely be exposed to in nature at this stage of life. However, in the presence of the unvegetated- and seagrass-associated colors, they moved further from the bottom when the water column allowed it (in the deep water treatment). This implies that they might not be ready for those shallow water habitats and avoid associating with them, using benthic color as a cue for

positioning themselves in the water column. It would be interesting to see if there is an intermediate size between pre-settlement and settlement where larvae switch from avoidance to attraction in the presence of sand and green colors.

This study was performed using water that had been filtered to remove suspended particles, however estuaries can be more turbid during periods of heavy precipitation, winds, or riverine discharge. During the 2013 red drum spawning season (August 30 – November 30), turbidity ranged from 0 – 99 nephelometric turbidity units (NTU) in the Aransas Pass Ship Channel (pelagic environment), and from 1 – 188 NTU in Aransas Bay (estuarine settlement site) (data collected by the Mission-Aransas National Estuarine Research Reserve and obtained from cdmo.baruch.sc.edu). Effects of turbidity on feeding have been studied extensively (Benfield & Minello 1996, Rowe et al. 2003). For example, turbidity of 160 NTU has been shown to reduce growth rates in rainbow trout, *Oncorhynchus mykiss*, without interfering with feeding rates (Herbert & Richards 1963, Rowe et al. 2003). This is because turbidity reduces the size of prey the fish will attack, resulting in less total food intake. Turbidity can affect spatial distribution of adult fishes and has an effect on settlement behavior at much lower levels for coral reef fishes. Studies found that < 10 NTU resulted in modifications in the habitat choice by *Pomacentrus moluccensis*, and a loss of ability to discern chemosensory habitat cues (Roberts et al. 1992, Rowe et al. 2001, Wenger et al. 2011, Wenger & McCormick 2013). The role of turbidity in habitat selection by estuarine fishes is still unclear.

In a study on red drum phototactic behavior, Stearns et al. (1994) found that despite turbidity in the local environment, downwelling light levels during midday are 35

to 350 times the minimum thresholds required for larval red drum feeding at the bottom of Lydia Ann Channel in Port Aransas, Texas (depth = 8 m). Due to the limitations of using artificial lighting in the laboratory, caution should be taken when comparing results to natural conditions. In particular, this study did not attempt to recreate in the laboratory a natural angular light distribution, and behavioral responses can be different under those conditions (Swift & Forward 1988). Nevertheless, most of the visible light spectrum is available in the local environment at the depths tested in this study (Stearns et al. 1994). Red and blue spectral bands are absorbed more rapidly at depths > 1 m compared with mid-spectrum wavelengths (green and yellow). The colors used in the current study represent the mid-spectrum levels, which transmit greater distances into the water column (Stearns et al. 1994). The water depths used were both shallow enough for the benthic color to be seen from the surface of the water column but deep enough to represent actual local estuarine water depths (Stearns et al. 1994, Rooker et al. 1998).

Larval red drum undergo substantial improvements in the visual system during ontogeny (Fuiman & Delbos 1998, Poling & Fuiman 1998). There is an increase in visual acuity (resolution), which can explain the increased responsiveness and decreased response latency to predators through development (Fuiman et al. 1999). Visual acuity is still poor in individuals first entering the estuaries, and though it is necessary for effectively escaping predators, sufficient improvements do not coincide with the movement from offshore to inshore (Poling & Fuiman 1998). Significant improvements in visual resolution do coincide with settlement, when red drum enter the seagrass beds (Poling & Fuiman 1998). Visual sensitivity, which is determined by the lowest

detectable light intensity, also improves around the time of settlement (Fuiman & Delbos 1998, Poling & Fuiman 1998). Rods appear in the retina around the time larvae enter the estuaries, and photoreceptor density increases around settlement size. These changes to the visual system might be necessary when transitioning from well-lit surface waters to the poorly-lit seagrass beds, where irradiance can be 10% of the levels found outside of the beds (James & Heck 1994). Prior to this developmental stage, red drum larvae are capable of visually mediated responses to prey or habitat, but might not be competent to settle.

Changes to the visual system in relation to settlement have been studied in other fishes as well. Eye development does not differ greatly among species, though the timing and rate of change can be correlated with lifestyle (Shand 1997, Lara 2001). For scarids and labrids that bury themselves in the sediment during settlement, rods only begin to appear during the burial period (Lara 2001). In these same fishes, retinal organization and increased acuity also coincide with settlement, and this pattern holds true for *P. moluccensis* and *Upeneus tragula* (Mullidae) as well (Shand 1993, 1994, 1997; Lara 2001). For fishes that do not bury themselves in sediment but have a distinct metamorphosis, rods first begin appearing during this phase (Blaxter & Staines 1970). It is therefore possible that the ability to see at low light levels (in structured habitat) is a factor in competency to settle for many fish species, while color perception is important starting at an earlier life stage (in the water column, with higher light intensities).

This is one of the first studies to combine the influence of benthic color and water-column depth on the vertical position and activity of a subtropical and temperate

estuarine fish. While color or depth did not influence behavior for settlement- or post-settlement-size fish, colors associated with an estuarine habitat did evoke a behavioral response in pre-settlement larvae. Future research could incorporate various turbidity and light levels to mimic a more realistic environment and determine the time of day that these larvae might be transitioning to the benthos.

Table 4.1. Significance of pairwise tests for differences in mean distance from the bottom (cm) as a function of water-column depth and substrate color for pre-settlement-, settlement-, and post-settlement-size red drum. (s), and (d) represent shallower and deeper water columns, and (B), (G), and (S) represent black, green, and sand substrate colors, respectively. Bold indicates significant difference according to sequential Bonferroni correction.

Effect	pre-settlement P-value	settlement P-value	postsettlement P-value
depth	<0.001	0.091	0.407
G(s vs. d)	<0.001	0.812	0.829
S(s vs. d)	<0.001	0.187	0.553
B(s vs. d)	0.012	0.150	0.069
color	0.337	0.318	0.651
s(G vs. S)	0.155	0.596	0.260
s(G vs. B)	0.503	0.069	0.509
s(S vs. B)	0.038	0.508	0.091
d(G vs. S)	0.093	0.391	0.690
d(G vs. B)	0.149	0.851	0.286
d(S vs. B)	0.749	0.395	0.648

Table 4.2. Mean distance from the bottom (cm \pm SD) for pre-settlement-, settlement-, and post-settlement-size red drum in shallower (s) and deeper (d) water columns over green (G), sand (S), and black (B) substrates.

Color	pre-settlement		settlement		post-settlement	
	s	d	s	d	s	d
G	19 \pm 9	53 \pm 28	7 \pm 6	8 \pm 7	3 \pm 5	3 \pm 3
S	15 \pm 10	41 \pm 26	10 \pm 9	6 \pm 7	4 \pm 6	5 \pm 6
B	21 \pm 8	39 \pm 28	10 \pm 6	9 \pm 10	2 \pm 3	5 \pm 7

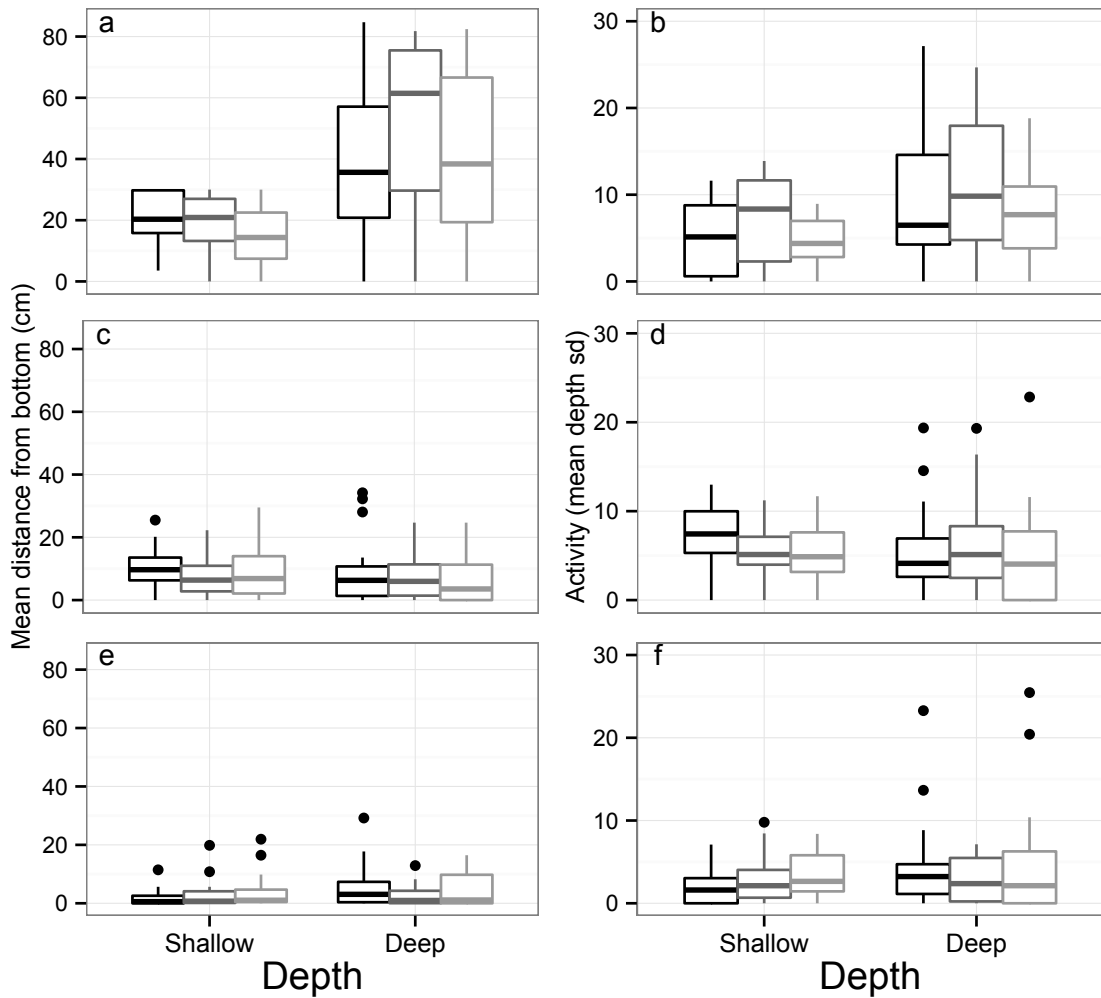
Table 4.3. Differences in activity (SD of mean distance from bottom) as a function of water-column depth and substrate color for pre-settlement-, settlement-, and post-settlement-size red drum. (s) and (d) represent shallower and deeper water columns, and (B), (G), and (S) represent black, green, and sand substrate colors, respectively. Bold indicates significant difference according to sequential Bonferroni correction.

Effect	pre-settlement P-value	settlement P-value	post-settlement P-value
depth	< 0.001	0.189	0.375
G(s vs. d)	0.104	0.887	0.760
S(s vs. d)	0.016	0.549	0.480
B(s vs. d)	0.082	0.058	0.039
color	0.080	0.247	0.565
s(G vs. S)	0.025	0.930	0.243
s(G vs. B)	0.117	0.018	0.364
s(S vs. B)	0.422	0.075	0.030
d(G vs. S)	0.170	0.406	0.968
d(G vs. B)	0.337	0.714	0.542
d(S vs. B)	0.823	0.714	0.659

Table 4.4. Mean activity (cm \pm SD) for pre-settlement, settlement-, and post-settlement-size red drum in shallower (s) and deeper (d) water columns over green (G), sand (S), and black (B) substrates.

Color	pre-settlement		settlement		post-settlement	
	s	d	s	d	s	d
G	7.4 \pm 4.8	11.5 \pm 7.9	5.3 \pm 2.9	6.0 \pm 4.8	2.8 \pm 2.9	2.9 \pm 2.5
S	4.4 \pm 2.9	8.2 \pm 5.6	5.4 \pm 3.7	5.2 \pm 5.5	3.6 \pm 2.7	4.9 \pm 7.1
B	5.2 \pm 4.0	9.3 \pm 7.5	7.2 \pm 3.4	5.8 \pm 4.8	1.8 \pm 1.9	4.5 \pm 5.6

Fig. 4.1. Mean distance from the bottom and activity of pre-settlement- (a, b), settlement- (c, d), and post-settlement-size (e, f) red drum as a function of water column depth and color. Black represents black, dark gray represents green, and light gray represents sand substrates. Median and first and third quartiles are represented by the boxes, and whiskers are 1.5 times the interquartile range with black points representing extreme points. Significance of statistical comparisons is shown in Tables 1 and 3.



Chapter 5: Benthic habitat properties can delay settlement in a temperate and subtropical estuarine fish (*Sciaenops ocellatus*)

ABSTRACT

Settlement is arguably the last stage of high mortality in the life cycle of demersal marine fishes, making the number of larvae that successfully settle to a benthic habitat a reasonable predictor of future population size. Habitat selection during settlement is likely an active process, however, much of what we know about settlement processes comes from research focused exclusively on coral reef ecosystems. This study used laboratory and field experiments to examine the relationship between larval size and settlement over various substrates in red drum (*Sciaenops ocellatus*), a temperate and subtropical estuarine-dependent species. In the laboratory, vertical position was recorded every 10 s for larvae spanning a range of sizes (4.3 – 40.0 mm SL) in the presence of sand, oyster shells, or seagrass to determine median settlement size. Median settlement size was 12.9 mm SL for seagrass, 15.8 mm SL for sand, and 20.5 mm SL for oyster shells. To determine settlement size in the wild, vertically partitioned field enclosures were used to separate and quantify individuals in the water column from those that settled into the seagrass. Larvae in the water column were smaller than larvae in the seagrass (9.3 vs. 14.3 mm SL), with a median settlement size of 12.2 mm SL. Previous studies reported that red drum reach nursery habitats at 6 – 8 mm SL, but this study suggests that

they do not use the complex habitats at first. Further, red drum settled at different sizes to sand, oyster shells, and seagrass. These findings are interpreted as evidence for habitat preference and active settlement in an estuarine-dependent fish species.

INTRODUCTION

Many marine organisms have bipartite life histories consisting of a pelagic larval phase followed by demersal juvenile and adult phases. The shift from the pelagic to the benthic environment, known as settlement, occurs when an individual outgrows the ability to exploit water-column resources, thus changing to a substrate-associated niche (Werner 1988). Settlement is usually the last stage of high mortality in the life cycle, at times exceeding 50% within the first 24 hours of association with the benthos (Doherty et al. 2004, Fuiman et al. 2010, McCormick & Meekan 2010). Therefore, success or failure around the time of settlement contributes to the order-of-magnitude variations in adult population sizes across spatial and temporal scales, which greatly impact fisheries and local ecology (Cowan & Shaw 2002).

Settling individuals first associate with juvenile habitats after transitioning to the benthos. Juvenile habitats include all areas occupied by individuals prior to moving to the adult habitat, usually occurring at the onset of reproduction (Beck et al. 2001). Most juveniles associate with nursery grounds (though not all nurseries are benthic) or effective juvenile habitats (EJH), which provide shelter from predators and food for growth. Habitats are considered nurseries if they produce more juveniles that recruit to

the adult population than a different habitat of the same size that also contain juveniles, and EJH are habitats that contribute a greater proportion of individuals to the adult stock than the mean level contributed by all juvenile habitats (Beck et al. 2001, Dahlgren et al. 2006). While specific habitats may be critical for the survival of some species, it is more likely that fishes can use a range of habitats during the juvenile phase (Butler & Jernakoff 1999, Petrik et al. 1999). Wetlands (i.e., salt marshes and mangroves) and seagrass beds are the most commonly studied nursery habitats, but oyster reefs, rocky substrata, or sand flats might be occupied by juveniles as well (Orth et al. 1984, Beck et al. 2001, Neahr et al. 2010).

Settlement is generally considered to be a swift process in order to reduce mortality risk (Wilbur 1980, Victor 1982, Bell & Westoby 1986). Nevertheless, a few studies on coral reef fishes have found that the settlement transition can last for several weeks (Kaufman et al. 1992, McCormick & Makey 1997, Lecchini et al. 2012, White et al. 2013). These fishes may not have developed juvenile behavior or undergone metamorphosis and therefore do not immediately utilize the juvenile habitat and recruit to the juvenile population (here, recruitment is defined as the establishment of an individual in the juvenile stock, Kaufman et al. 1992).

Bell & Westoby (1986) suggested that while different environments within nursery grounds could result in varying degrees of fitness for inhabitants, an individual that is competent to settle should settle onto the first structured substrate it encounters, regardless of quality. According to this ‘settle-and-stay’ hypothesis, rejecting the first site would result in an increased risk of predation, since any benthic structure is safer than

the water column (Bell & Westoby 1986). Organisms are expected to seek out more suitable microhabitats within the expanse of substrate after initial settlement, even though moving across unvegetated areas could also increase predation risk (Bell & Westoby 1986).

Aside from the few studies in coral reef fishes, most studies on habitat preference during settlement in marine fishes have consisted of observations and collections of settled individuals (Lubbers et al. 1990, Gray et al. 1996, Nagelkerken et al. 2000, Jenkins & Hamer 2001, Nuraini et al. 2007, Espino et al. 2011). While these studies are useful for understanding recruitment, they cannot explain the factors that influenced settlement and the resulting spatial distribution of juveniles. For example, the distribution of juveniles in benthic habitats could be the result of individuals actively searching for a specific habitat during the settlement process, or settling randomly regardless of habitat, with those in higher quality habitats profiting from greater resistance to hydrodynamics (i.e. less likely to be flushed out of the system) or lower post-settlement mortality compared to those in surrounding habitats. The ‘settle-and-stay’ hypothesis predicts a swift transition from the water column to the benthos when an individual outgrows its ability to exploit water-column resources. If a beneficial (structured) habitat is available, an individual should settle as soon as it becomes ontogenetically competent. Settling to an inferior habitat (bare substrate) should take place only when beneficial habitat is not available, and that would occur at a larger size because the fish postpones settlement as long as possible to find beneficial habitat.

The main objective of this study was to determine if the settle-and-stay hypothesis proposed by Bell and Westoby (1986) applies to a temperate and subtropical estuarine fish species, the red drum, *Sciaenops ocellatus*, as suggested by Stunz et al. (2002b). The vertical distribution of larvae over a range of sizes was tested both in the field and laboratory to determine mean size at settlement and settlement behavior over several potential nursery substrates. These findings fill in a critical gap in the understanding of marine fish settlement, and have implications for management of estuarine fisheries.

METHODS

Study species

Red drum occur in the Gulf of Mexico and Atlantic waters of North America as far north as North Carolina (Beckman 1988, Hoese & Moore 1998). Males reach maturity in three years and females in five years, at about 70 cm fork length (Hoese & Moore 1998). In Texas waters, they form spawning aggregations offshore or along the coast during the fall. Eggs and young larvae are brought into estuaries by tidal currents (Holt et al. 1983, Holt et al. 1989). Larvae spend approximately three weeks in the pelagic phase before appearing in shallow (0-1.5 m depth) seagrass beds, marsh edges, or unvegetated bottoms (at about 7 mm SL; Holt et al. 1983, Stunz et al. 2002a, Pérez-Domínguez 2004). They spend the remainder of their subadult phase in these estuaries and move offshore as adults in the fall and winter (Pattillo et al. 1997).

Laboratory Study

A laboratory experiment was conducted to test the settle-and-stay hypothesis for red drum larvae by determining settlement size for each of three substrates (sand, seagrass, and oyster shells). The hypothesis predicts no difference among substrates in size at settlement. Differences in settlement size among the three substrates would indicate delayed settlement and the potential for active habitat selection in this species.

Larval Care

Four batches of eggs were collected from captive adults maintained at the Texas Parks and Wildlife Department's Marine Development Center in Corpus Christi, TX (August 19, 29 and September 9, 26 in 2011), and a fifth batch was collected from captive adults maintained at the University of Texas Marine Science Institute's Fisheries and Mariculture Laboratory (FAML) in Port Aransas, TX (October 27, 2011). Spawning occurred in the evening and eggs were collected the following morning. Samples collected from the Marine Development Center were transported in a covered bucket with 15-l sea water to FAML for rearing. A subsample of approximately 10,000 (10 ml) viable (floating) eggs from each spawn was equally divided into two 150-l fiberglass conical tanks filled with sea water. All water for rearing and experimentation was maintained at a temperature of 27°C and salinity of 35-40 ppt with a continuous supply of oxygen through an airstone. The photoperiod was 12:12 light:dark. Larvae hatched the same afternoon and were fed rotifers (*Brachionus* sp.) enriched for 45 min in the fatty acid supplement Algamac 3050 (Aqua-fauna Bio-Marine) from 1 day post hatching (dph) until 11 dph at a concentration of approximately 2,667 l⁻¹. At 10 and 11 dph, larvae were

also fed 1-day-old *Artemia* sp. nauplii at a concentration of approximately 67 l⁻¹. At 12 dph, the larvae switched to a diet of 2-day-old *Artemia* sp. nauplii enriched for 16 h in Algamac 3050 at a concentration of approximately 400 l⁻¹. Feeding took place once a day between 0800 and 1000 hours.

Experiment procedure

The afternoon before testing, larvae were transferred from the rearing facilities to the experimental laboratory (approximately 20 m) in a covered 15-l bucket filled with sea water. This overnight acclimation was implemented to bring stress (plasma cortisol) levels back to basal levels after transfer (Robertson et al. 1988). The larvae were then put into individual 1-l beakers filled with 300 ml of sea water and placed in a water bath to maintain constant temperature. The testing chamber consisted of a glass aquarium 150 × 31 × 50 cm (length × width × depth) divided into three sections (50 × 31 × 50 cm) by sturdy black plastic so that three larvae could be tested at one time. The chamber was filled with filtered sea water (temperature was maintained by two underwater heaters that were removed during the experimental procedure). The bottom of the tank was covered by one of three substrate materials: *Halodule wrightii* (shoalgrass), *Crassostrea virginica* (Eastern oyster) shells, or sand (control). *H. wrightii* and *C. virginica* reached 14 and 4 cm into the water column from the sandy bottom of the chamber, respectively. Three sides of each chamber were covered in black plastic and the fourth side was transparent glass for surveillance. Depth was marked by black lines which extended 4 cm from both the left and right sides of the tank in 5-cm increments. The room was dark except for two

60-Watt incandescent bulbs placed 60 cm above the tank 30 cm apart to allow for the fish to see its surroundings without seeing the observer. The substrate and water were changed every four days and the tank was cleaned three times with fresh water. Dry sand was collected from the local beach in Port Aransas, and the seagrass (including roots, rhizomes, and surrounding sediment) and oyster shells were collected from Harbor Island, Texas (27° 53'N, 97° 7'W). The sand and seagrass were used for trials immediately following collection, and replaced every four days. Oyster shells were collected at the beginning of the experiment, washed with fresh water three times, and dried for two days in the sun. They were washed and reused after a four-day span.

Larvae were transferred to the testing chamber by slowly immersing a beaker containing an individual into each of the three chambers of the tank. The fish were allowed to acclimate for 5 min, after which their depth was recorded to the nearest 5 cm every 10 s for an additional 5 min (31 observations total). Five minutes was chosen for the testing period to capture the initial settlement behavior of the individual as opposed to post-settlement behavior and because preliminary trials indicated that there were no differences in vertical distribution between 5 min and 1 h. From these observations the median distance from the bottom (cm), the variability between individuals in median distance from the bottom, and whether or not they settled were calculated. After the experiment each larva was sacrificed with an overdose of tricaine methanesulfonate (MS-222) and photographed under a dissecting microscope and standard length (SL) was measured using Image Processing and Analysis in Java (ImageJ, U.S. National Institutes of Health, Bethesda, MD) software.

Field Experiment

A field experiment was conducted off Harbor Island, Texas, to determine the mean settlement size of wild red drum to seagrass beds *in situ*. Experiments were conducted six times during the spawning season, from October 6 to November 18, 2010, between 1300 and 1600 hours. The site is a typical low energy environment with shallow water and a flat bottom. Substrates transition from sand/mud and *H. wrightii* in shallower areas to *Thalassia testudinum* (turtle grass) in deeper areas. The study site was chosen because it was both easily accessible and had a steady supply of newly recruited red drum in previous years (personal observation), which identified it as a suitable settlement habitat.

Red drum larvae were collected from nearby seagrass beds using an epibenthic sled (1 × 1 × 20 m; width × height × net length) and then transferred to a 60 × 32 × 25 cm (length × width × depth) bucket for sorting. Ten larvae were placed in a petri dish and photographed on shore, and size measurements were taken using ImageJ in the laboratory. These ten larvae were carried to and placed within one of the three small field enclosures and left to acclimate for 30 min.

The small field enclosures were designed to separately sample larvae above and below the seagrass canopy. They were constructed from four vertical 3/4" (1.90 cm) diameter × 100 cm long steel rods and three 1/2" (1.27 cm) steel rods bent into circles (92 cm diameter) positioned at 11, 27, and 91 cm from the bottom to form a cylindrical

structure (Fig. 5.1). Gray mesh with 1.3× 1.1 mm (length × width) openings was attached to the lower two steel circles, with excess mesh flaring out of the bottom to minimize escape of larvae from below. Mesh was also fastened to the top iron circle and allowed to drape down past the middle circle. When in the water, the draped mesh was temporarily attached to the lower screen to seal the field enclosure. A rope was sewn into a loop at the bottom of the draped screen to act as a drawstring. These field enclosures were placed in *H. wrightii* beds, where the water depth was 20-45 cm above the seagrass canopy. The drawstring of the enclosure was positioned at the top of the *H. wrightii* bed, at the substrate/water column interface such that the upper half enclosed the water column, while the lower half covered the seagrass bed.

After 30-min acclimation, the temporary attachments on the draped mesh were removed, the drawstring was pulled, and a net made of gray mesh was set at the air/water interface to catch any fish in the water column that might have escaped from the drawstring. Immediately after the upper water column was enclosed, all larvae found within both halves of the enclosure were collected with dip nets, kept separate, and taken to shore and photographed (for measurement of SL using ImageJ in the laboratory). Fish that were not recovered from the field enclosure were excluded from the analyses.

Statistical Analyses

Statistical analyses were performed with the R statistical package (R 3.0.2, The R Foundation for Statistical Computing, <http://www.R-project.org/>). In the laboratory experiment, small fish occupied a position high in the water column and larger fish

occupied a position close to the bottom, regardless of substrate type. Piecewise regressions for vertical position (median distance from the bottom, in cm) against SL were applied to identify subsets of the data for each treatment to be used for analysis. To do so, the programs “rgl,” “car,” “Cairo,” and “qpcR” were used (Ritz & Spiess 2008, Fox & Weisberg 2011, Adler & Murdoch 2012, Urbanek & Horner 2013). The break point between two linear segments of the piecewise regression separated settled larvae (SL > break point) from transitioning larvae. The slope of the line for SL < break point represented the rate of change in vertical position relative to size. Data for transitioning individuals were used in an analysis of covariance (ANCOVA, treatment = substrate, covariate = size) to test for differences in settlement rate (slope) among the three substrates.

To test for a change in variability in vertical position with size, the residuals from the regressions of vertical position on size for each treatment were used. Because the residuals were normally distributed with a mean of zero, their absolute value, which was log-transformed to achieve normality (Fuiman 1993), was then used in another ANCOVA.

For both ANCOVAs, parametric assumptions were tested by both graphical representation (normality of residuals) and the Fligner-Killeen test for homogeneity of variances ($P > 0.05$). Tukey contrasts were used for post-hoc analyses of the ANCOVAs if the interaction term was not significant. Differences between slopes of vertical position vs. SL were compared using confidence intervals when interaction terms were significant. A logistic regression was applied to the three substrates separately to

determine the probability of settlement at a given fish size, where settlement was defined when a fish's median distance from the bottom was ≤ 2 cm above the top of the substrate (therefore settlement was defined as < 16 cm for seagrass, < 6 cm for oyster, and < 4 cm for sand). This was performed with the "aod" package (Lesnoff & Lancelot 2012).

For the field experiment, a Welch's t-test was used to test for a size difference in larvae collected in the water column vs. the seagrass (Ruxton 2006). A logistic regression (with the "aod" package, Lesnoff & Lancelot 2012) was applied to the individual fish to determine the probability of settlement for a fish at a given size in the field enclosures. To determine if there was a difference in settlement size for seagrass substrate between the laboratory and field experiments, a general linear model was used (binary dependent variable = settled, treatment = experimental location, covariate = size).

RESULTS

Laboratory Experiment

There were 369 fish tested in the laboratory experiment (98 in seagrass, 132 in oyster shells, 138 in sand), with sizes ranging from 4.3 to 40.0 mm SL. The overall piecewise regression models applied to vertical position vs. SL were all significant ($P < 0.001$), and the breakpoints in SL for seagrass ($R^2 = 0.38$), oyster shells ($R^2 = 0.43$), and sand ($R^2 = 0.58$) were 25.2, 21.6, and 11.3 mm SL, respectively (Fig. 5.2).

Differences in slopes between substrates indicated that median vertical position in the water column decreased faster with SL over sand compared to seagrass and oyster

shells for transitioning larvae ($P < 0.001$, Fig. 5.2). Variability between individual in vertical position generally decreased with increasing SL for the sand and oyster shell substrates, but more rapidly over sand (slope -0.10 for sand vs. -0.018 for oyster shells, $P < 0.01$, Fig. 5.3). Variability in vertical position did not change with size for the seagrass treatment ($P > 0.05$, slope = -0.005).

Both size and substrate had highly significant effects on whether an individual settled ($P < 0.001$). The responses of fish in the oyster shell habitat were different from those in the sand and seagrass habitats ($P < 0.01$), however the individuals in the seagrass treatment did not exhibit different settlement behavior from those in the sand ($P > 0.05$, Fig. 5.4). For a fish of 14.3 mm SL (the mean size of the fish tested in this experiment), the probability of being settled in the oyster substrate was 0.24, 0.43 on sand, and 0.57 in seagrass. The predicted median sizes at settlement were 20.5 mm SL in oyster shells, 15.8 mm SL in sand, and 12.9 mm SL in seagrass.

Field Experiment

Water temperature ranged from 19.4 – 28.2°C (average = 24.5) during the sampling period. There were 273 fish tested in the field experiment, of which 143 (52.4%) were recovered. Eighty fish from the water column and 63 fish from the seagrass beds were recovered. Mean size of fish recovered from the water column was smaller than those recovered from the seagrass (9.3 ± 2.53 mm SL vs. 14.3 ± 7.39 mm SL, $P < 0.001$, Fig. 5.5).

Size had a highly significant effect on settlement ($P < 0.001$, Fig. 5.5). For every 1-mm increase in SL, the odds of settling to the seagrass beds increased by 1.30. There was a 50% probability that a fish 12.2 mm SL would be found in the seagrass beds (median size at settlement).

Laboratory and Field Comparison

Larvae settled to seagrass beds at a similar size in the laboratory and the field ($P > 0.05$, Figure 5.6). Size was the only significant factor in the model ($P < 0.001$; experiment location and the interaction were not significant, $P > 0.05$).

DISCUSSION

Coral reef environments are overwhelmingly represented in studies of settlement in fishes (Sale 1980, Victor 1982, Sweatman 1985, Kaufman et al. 1992, Sponaugle & Grorud-Covert 2006). This work represents the first combined laboratory and field study of habitat selection during settlement for an estuarine-dependent subtropical and temperate species (but see Neuman & Able 1998 for a laboratory study). These findings show that red drum larvae are selective about the habitat to which they settle in that they delay settlement and extend their pelagic larval duration in the presence of certain (presumably less favorable) benthic conditions. Explanations for larvae delaying settlement include increasing their chances of encountering suitable settlement habitat and possibly expanding their geographic range (Victor 1986, Sponaugle & Cowen 1994, McCormick 1999, Plaza et al. 2010). Because of this, dispersal models can be improved

by considering delays in settlement depending on habitat substrate present (Lacroix et al. 2013).

These results show that larvae transition to different habitats at different ontogenetic stages depending on the benthic structure. If they followed the 'settle-and-stay' hypothesis, they should have settled to oyster shells and seagrass at the same size, and to sand at either the same or larger size. The experimental data do not support this, so this hypothesis as the settlement model for red drum can be rejected.

Settlement behavior of red drum larvae in the presence of a sand substrate differed from other substrates in several ways. Larvae moved closer to the bottom at a faster ontogenetic rate over sand, variability among individuals diminished faster with size, and the probability of being settled at a given size was higher than for seagrass or oyster substrates. The faster reduction in inter-individual variability demonstrates more uniform settlement behavior at larger sizes. It is possible that the lack of physical structure does not allow for variation in vertical position the way that a structured habitat does; moving up from a sand substrate increases the vulnerability of larger, more visible individuals.

Results from the field study support the hypothesis that red drum larvae delay settlement. All individuals had access to suitable habitat, and yet the smaller fish did not enter the seagrass beds. This could have been due to intraspecific competition within the enclosures, fear of predators within the structure, or not reaching competency. In a previous study on juvenile red drum, smaller individuals were found around the edges of seagrass beds while larger juveniles were located closer to the center (Pérez-Dominguez

2004). The author suggested the edges might be a transient habitat for new settlers, or that there is size-selective mortality within the seagrass beds. The horizontal distribution pattern could be influenced by the same factors that impacted the vertical distribution patterns we found. In both studies, red drum sort themselves both horizontally and vertically according to size, associating more closely with deeper seagrass as they grow. Approximately 50% of the larvae tested in the field were not successfully recaptured. This could have been due to escape from the enclosures, predation (either intra- or inter-specific), or the inability of the researchers to recover all individuals from the seagrass. Because the contribution of each of these alternatives is not known, analysis was restricted to the individuals that were recovered.

According to stable isotope analysis, larvae switch from a diet of particulate organic matter end-members (pelagic and detrital) to a diet with marsh plants and macroalgae end-members (benthic) at around 6-8 mm SL (Herzka et al. 2002). This indicates that larvae change their diet relatively rapidly after entering the estuaries, but the experiments in the current study suggests they might not utilize the substrate fully until approximately 12.5 mm SL. This size is associated with rapid changes in eye development that allow for vision in the lower light conditions associated with living in a shaded benthic habitat (Poling & Fuiman 1998). These changes include an increase in the eye and lens diameter, and increase in the rod photoreceptor density, and a rapid increase in the photoreceptor/ganglion summation ratio. Eye development could then be an ontogenetic milestone that needs to be met before red drum larvae can fully exploit structured habitats.

In this study, larvae moved out of the water column over sand at a faster ontogenetic rate than they did over seagrass and oyster shells, and they settled at a smaller size to sand than they did to oyster shells. This could be interpreted as either a preference for sand over seagrass and oyster shells, or as behavior to increase security because sand does not provide shelter, and thus they cannot venture far from the bottom. In contrast, oyster shells and seagrass provide structure that allows individuals to be bolder and exposing themselves to a greater risk of predation by occupying a higher position in the water column adjacent to the shelter of a structured habitat. This is supported by both their movement to the benthos at a larger size and the greater variability in distance from the bottom at the larger sizes. It is more likely that the larvae do not prefer sand, but move to a sandy substrate at a smaller size because it is safer than the water column, but not safe enough to allow for variability in vertical position. The lack of structure reduces the boldness an individual could otherwise exhibit, reducing risky behavior by moving closer to the bottom (Wilson et al. 1994). Similar results were observed in an *in situ* study on temperate estuarine fishes in Lake Macquarie, New South Wales, Australia (Trnski 2002). Settlement-size species that settle to unvegetated substrates (i.e. *Pagrus auratus*) swam along the bottom of the water column, while the species that settle to seagrass beds (i.e. *Acanthopagrus australis*, *Rhabdosargus sarba*, and *Girella tricuspidata*) traveled near the surface of the water column (Trnski 2002). These observations were attributed to selective tidal stream transport behavior, with the faster surface currents transporting larvae to seagrass beds nearshore. Previous studies indicate that when individuals have a choice of substrate, they are more commonly found

in structured habitats (Stunz et al. 2002a). Mean densities of new recruits are highest in seagrass beds compared with oyster shells, marsh beds (*Spartina alterniflora*), and unvegetated bottoms. When seagrass beds are not available, red drum are most commonly found along the marsh edge (Stunz et al. 2002a).

Larvae settle to oyster shells at a larger size than to either sand or seagrass, which indicates that oyster shells are a less favorable settlement habitat. While both seagrass and oyster shells provide structure, larvae delayed settlement longer over oyster shells. In the wild, this could be due to the predators and/or prey associated with each habitat, the height or complexity of the structure, or possibly the differences in acoustic properties (Laegdsgaard & Johnson 2001, Gullstrom et al. 2008, Wilson et al. 2013). Oyster reefs have higher sound pressure levels than surrounding soft-bottom habitats, while sound transmission travels shorter distances in seagrass beds compared with bare substrate (Wilson et al. 2013, Lillis et al. 2014). It is possible that new settlers would avoid noisy habitats and delay settlement for the opportunity to reside in a quieter habitat. Though predators were not included in this experiment, the oyster shells used in the laboratory were completely dried before the trials to remove prey prior to the trials. The seagrass, however, did not go through this process and might have contained some prey. Further studies are needed to determine the reasons for delayed settlement over oyster shells.

In the natural environment, larvae are likely locating these different microhabitats using visual, auditory, and chemical cues. These cues operate on different spatial scales, and operate simultaneously to aid larvae in navigating to settlement habitats (reviewed by Kingsford et al. 2002). Estuarine-derived chemical signals, which could combine both

terrestrial and marine influences, might act as a cue for larvae to orient towards the coast, and position themselves in the water column to take advantage of particular currents (Atema et al. 2002, Dixson et al. 2008). Additionally, specific microhabitats generate different acoustic profiles, potentially aiding in navigation towards suitable settlement sites (Kennedy et al. 2010, Radford et al. 2010). On the smallest spatial scales, which were the focus of this study, larvae can rely on vision in addition to their other senses to select microhabitats (Stearns et al. 1994). Chemical, auditory, and visual cues from conspecifics, prey, predators, as well as abiotic and biotic characteristics of the habitat could attract or deter potential settlers (reviewed by Montgomery et al. 2001, Kingsford et al. 2002).

While this research answers fundamental questions about an important period in larval fish ecology, it also has implications for fisheries management and conservation. Seagrass beds around the world are in decline from natural and anthropogenic effects, including those used by red drum (Short & Wyllie-Echeverria 1996). For instance, Galveston Bay in Texas has seen an 80% decrease in seagrass area since the 1970s, resulting in a reduction of structurally complex habitats for postsettlement larvae (Adair et al. 1994). Therefore, availability of settlement habitat could limit recruitment. This study shows that red drum larvae prefer seagrass habitat for settlement. A reduction in preferred habitat could increase predation mortality (both by extending the pelagic larval duration or increasing susceptibility over unvegetated bottoms), competition, and reduced availability of prey.

Fig. 5.1. Small field enclosure made of four vertical 1.9-cm diameter \times 100-cm long steel rods and three 1.3-cm steel rods bent into circles (92 cm diameter) positioned at 11, 27, and 91 cm from the bottom. Gray mesh with 1.3 \times 1.1 mm (length \times width) openings was attached to the bottom two steel circles. Mesh was also attached to the top steel circle and draped down, with a rope sewn into the bottom to act as a drawstring. When in the water, (a) the draped mesh was temporarily attached to the lower screen to seal the field enclosure, and (b) the drawstring was pulled shut to separate larvae in the water column from those in the seagrass bed.

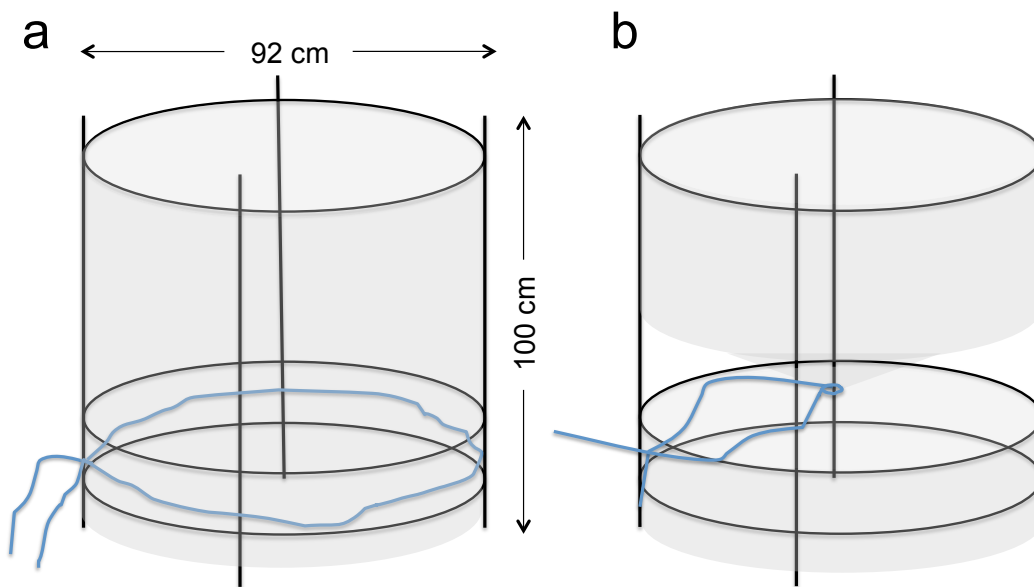


Fig. 5.2. Vertical position in the water column as a function of size for three substrates: (a) seagrass (n = 98), (b) oyster shells (n = 132), and (c) sand (n = 138). Each point represents the median vertical position of 31 measurements made during a 5-minute period for an individual fish.

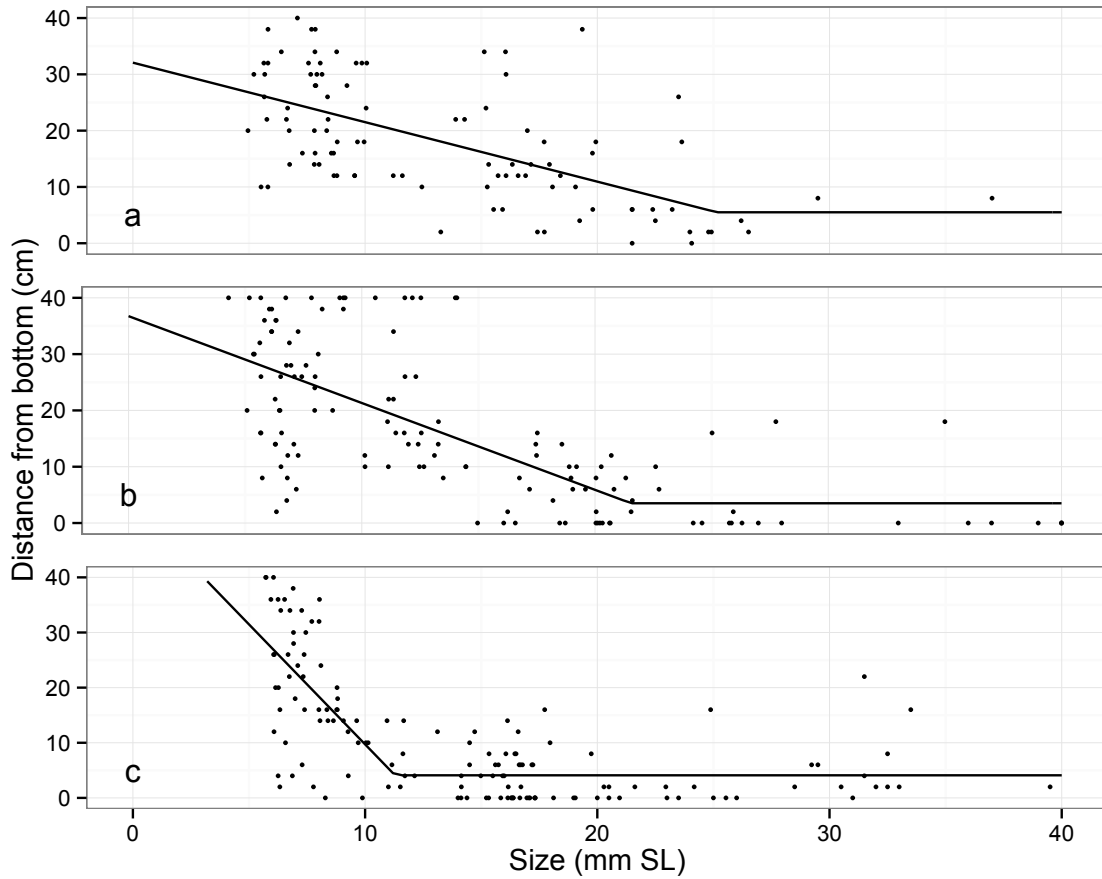


Fig. 5.3. Variability in median vertical position with fish size. Variability is defined as the log(absolute value(residuals from the ANCOVA on median distance from the bottom)).

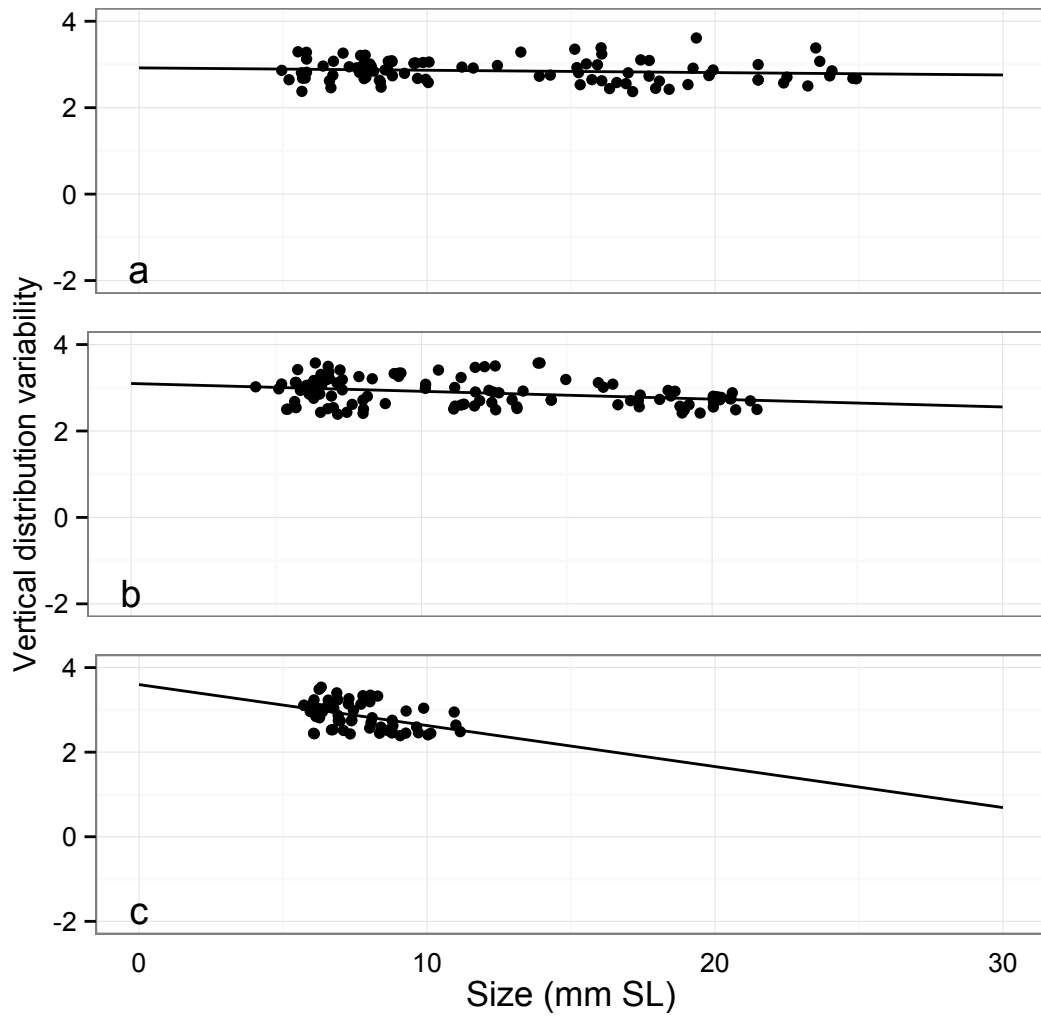


Fig. 5.4. Probability of settlement as a function of size for seagrass, oyster shells, and sand. Median size at settlement (50% probability) is 20.5, 12.9, and 15.8 mm SL for fish in oyster shells, seagrass, and sand, respectively. Shading represents 95% confidence intervals.

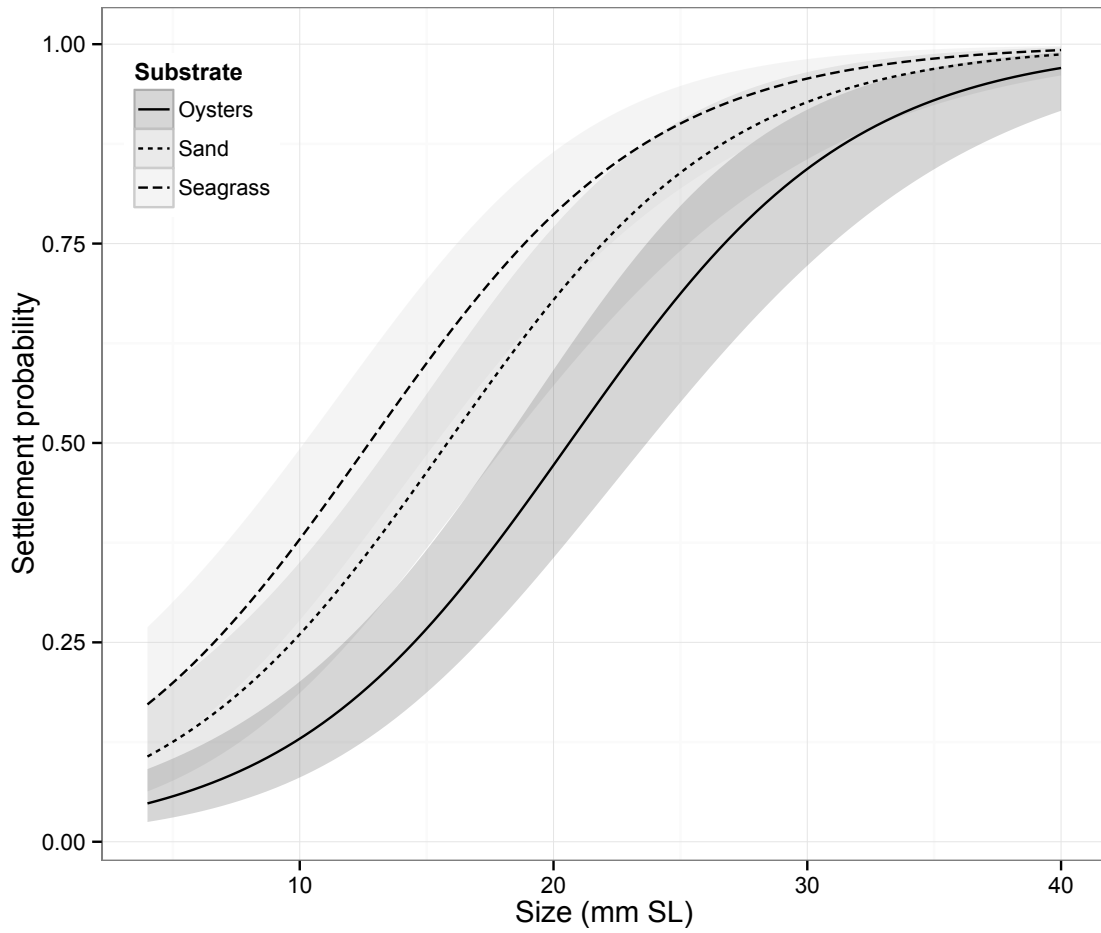


Fig. 5.5. Probability of settlement from the water column to a seagrass bed as a function of size ($p < 0.001$). Median size at settlement (50% probability) is 12.2 mm SL. Points represent individuals collected from the seagrass bed (settlement probability = 1) and the water column (settlement probability = 0).

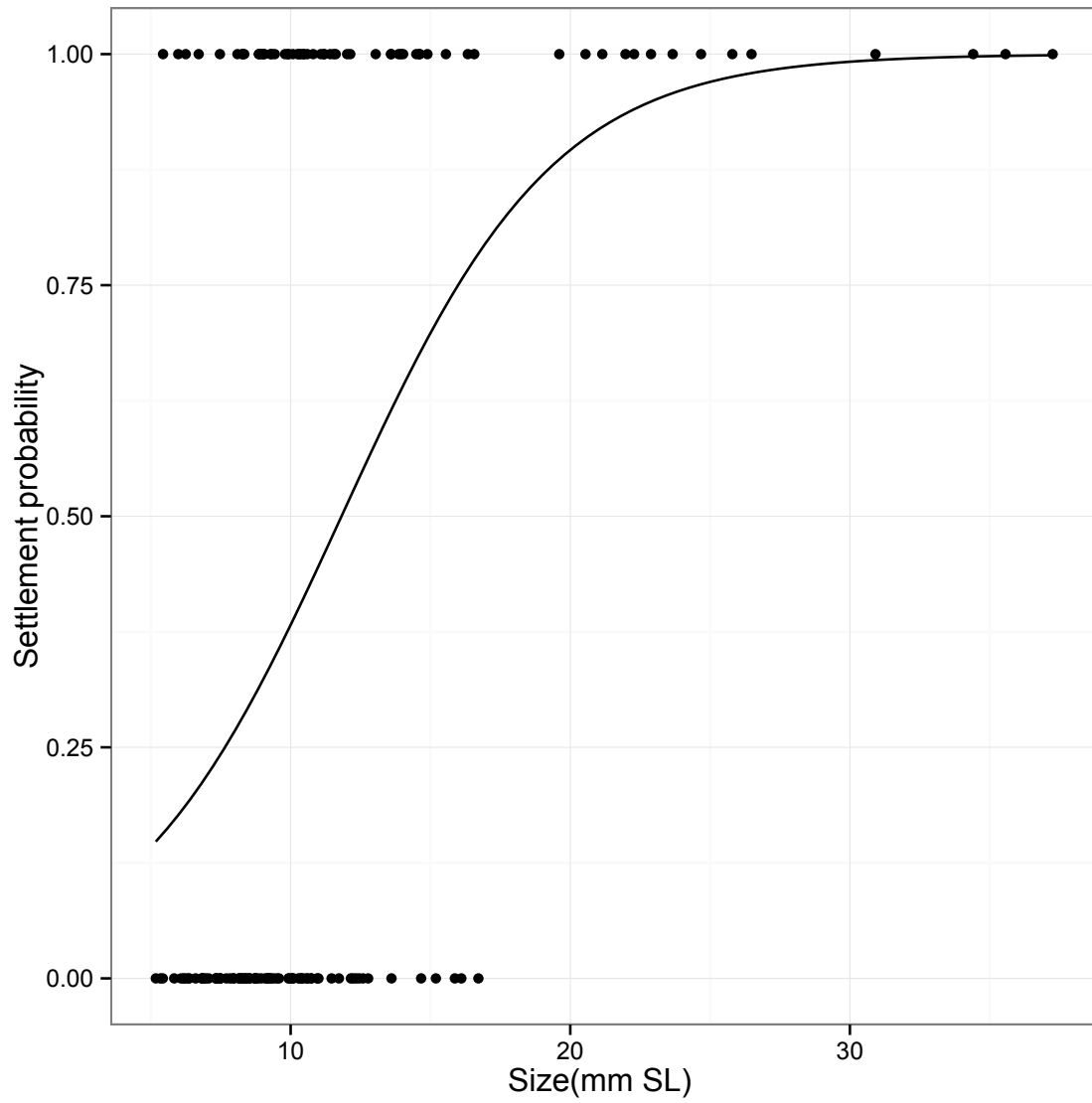
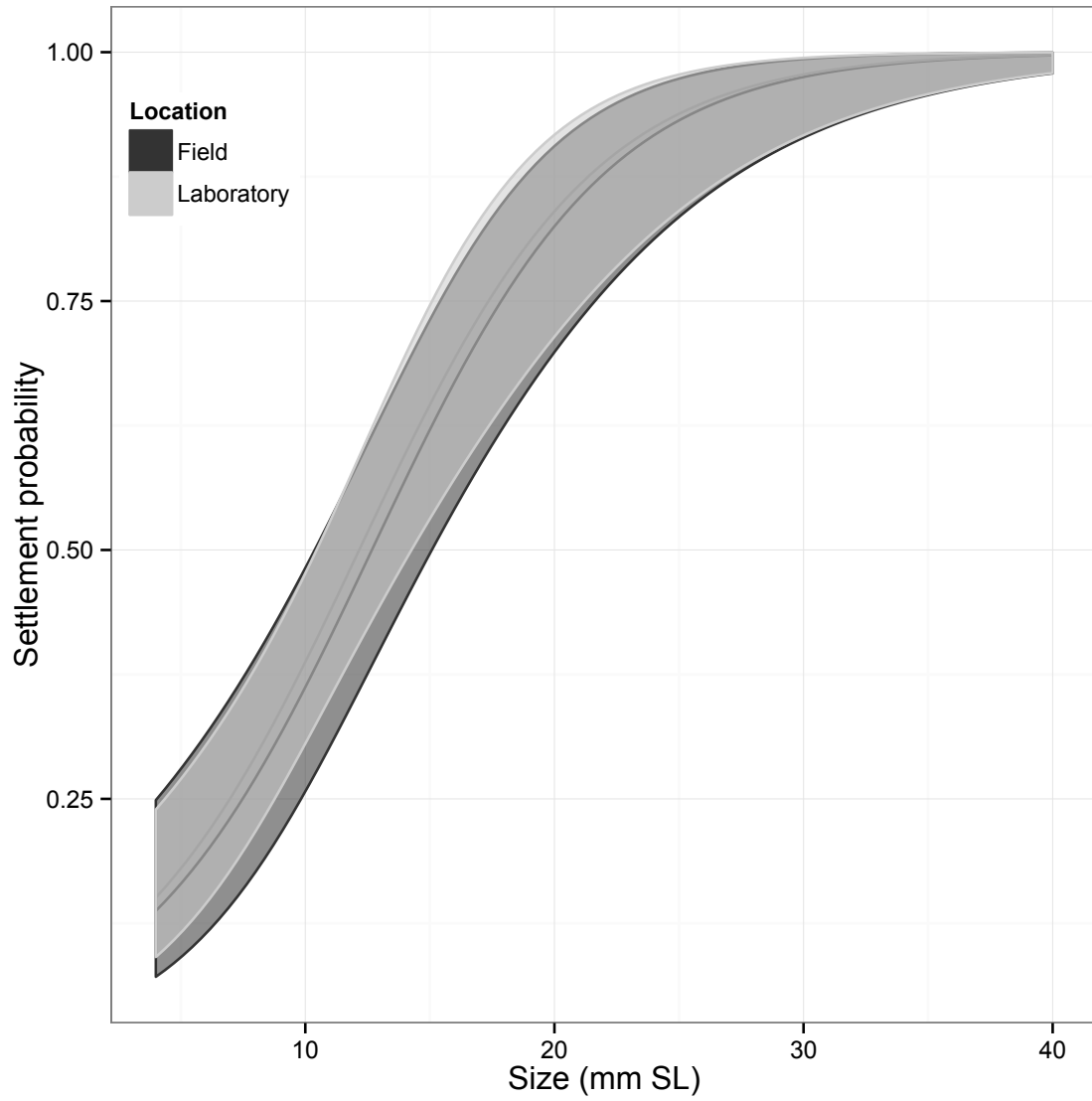


Fig. 5.6. Probability of settlement from the water column to a seagrass bed as a function of size in the laboratory vs. field.



Chapter 6: Conclusions

The studies contained in this dissertation serve as the foundation for understanding the behavioral response of red drum larvae to settlement cues through development. This is the first comprehensive examination of settlement behavior in a temperate and subtropical estuarine-dependent species. From these experiments we now know that red drum are capable of detecting, deciphering, and responding to auditory, olfactory, and visual stimuli that promote settlement and survival during their early life stages. They move less when exposed to anthropogenic noise, and this response might persist even after the auditory stimulus ceases. They react to both pure tones and white noise at amplitudes that could occur in their pelagic environment. As shipping and human activities continue to expand worldwide, understanding the behavioral responses and subsequent impact on survival is becoming more important. When red drum are competent to settle, they increase their activity and swim toward olfactory cues associated with their primary nursery habitat. The research in this dissertation is the first to identify a specific class of natural compounds that is associated with the settlement of estuarine-dependent fish, and the first to analyze kinesis and taxis behaviors during settlement for subtropical/temperate fish. This work also demonstrates that pelagic larvae can use benthic color to position themselves in the water column. In particular, they moved away from colors associated with estuarine habitats when given the opportunity, while depth and activity were not influenced by benthic colors or water column depth

when larvae reached settlement and post-settlement-sizes. Lastly, this research is the first to discover a delay in settlement of a subtropical and temperate species, counter to the expected rapid settlement to structured habitat once a larva reaches competency embodied by the settle-and-stay hypothesis. In reality, larvae settle to sand and seagrass at a smaller size than they do to oyster shells, demonstrating habitat preference.

Studying settlement behavior leads to a better understanding of the factors that influence recruitment and adult population size. In addition to defining habitat requirements for maintaining healthy population levels of juvenile fishes, these studies help identify specific cues that are likely required to direct larval fish to those suitable nursery sites. This research suggests that drivers associated with locating and selecting habitat should not be overlooked when designing habitat and fisheries management plans, as population replenishment might not be possible without reliable sensory signals to attract settlers. For example, the results in this dissertation research suggest that a steady supply of lignin should flow from estuaries to maximize recruitment. Lignin is released from seagrass beds, emergent vegetation, and terrestrial plant material deposited into riverine sources, therefore productive seagrass beds, healthy salt marsh and mangrove habitats, and/or a steady supply of freshwater inflow might be necessary for estuarine-dependent larvae to locate settlement sites. Also, if managers are considering restoring and protecting settlement habitat for red drum, seagrass restoration should be better than oyster beds.

The larvae tested in this dissertation were spawned from broodstock or directly collected from the waters surrounding Port Aransas, Texas. It is unclear from these

results whether larvae respond to the same cues in other geographic areas, or whether these behaviors are local adaptations. Red drum in the northern Gulf of Mexico (from southern Texas to Tampa, Florida) exhibit a genetic isolation-by-distance effect, with geographic neighborhood (subpopulation) size spanning 700 – 900 km (Gold & Turner 2002). Within these ranges the available settlement sites range widely. Along the Texas coast, freshwater inflow decreases from north to south (Texas Department of Water Resources 1982) and seagrass availability increases from north to south (approximately 200 hectares in Galveston Bays to 46,000 hectares in Lower Laguna Madre, Dunton et al. 2010). While the settlement cues across this region might be different, red drum across this range are genetically similar. It is therefore unlikely that red drum, at least along the Texas coast, are locally adapted to respond to different signals. Similar studies on red drum in other regions, including the eastern Gulf of Mexico and the Atlantic Coast, would elucidate the extent of local adaptation in settlement behavior across subpopulations.

These results may be applicable to other subtropical or temperate species that require estuarine juvenile habitat. Species that use estuarine habitats other than seagrass, such as the water column (e.g., bay anchovy, *Anchoa mitchilli*; gulf menhaden, *Brevoortia patronus*; scaled sardine, *Harengula jaguana*) or shell substrates (e.g., skillettfish, *Gobiesox strumosus*; naked goby, *Gobiosoma bosc*) should be studied to understand the sensory cues to which they might be responding (Baltz et al. 1993). Since some signals might change seasonally (e.g., seagrasses proliferate in summer), it would be valuable to study the signals needed for species that move into estuaries at times other

than the fall (e.g., spot, *Leiostomus xanthurus*, is most abundant in the winter, and southern flounder, *Paralichthys lethostigma*, immigrate to estuaries in the late winter and early spring) (Baltz et al. 1993). Though less widely studied than olfactory, auditory, or visual cues, other orientation stimuli, such as currents (via rheotaxis) or possibly geomagnetic fields might aid young fish in locating settlement sites (Kingsford et al. 2002 and citations therein). Additionally, environmental variables (e.g., turbidity and water flow) can vary both predictably (e.g., diurnal or semidiurnal) and unpredictably, and future studies should identify how these kinds of variability can enhance or interfere with estuarine cues. These follow-up studies would clarify the extent to which estuarine cues are species- or location-specific, or whether they act as a universal signal for all estuarine-dependent fishes. This will lead to a more comprehensive understanding of estuarine fish ecology.

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Vita

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