

The Harvard Trauma Questionnaire:  
Reliability and Validity Generalization Studies of the Symptom Scales

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For Raja  
et nos deux princesses,  
Sophia et Mina

## Abstract

The cross-cultural applicability of the PTSD diagnosis has been widely disputed in recent years. Consequently, an examination of the psychometric properties of instruments that are used to assess traumatized individuals of various cultures is of utmost importance. To respond to this need, the overall goal of this dissertation was to evaluate the psychometric properties of the Harvard Trauma Questionnaire (HTQ; Mollica et al., 1992), a measure that was developed to assess trauma symptoms across cultures. In the first study, I conducted a search of all publications and dissertations that used the symptoms scales of the HTQ. This search revealed that the HTQ is commonly used by trauma researchers, however only a minority of them reported using established translation and cultural adaptation procedures to adapt the instrument for their specific sample. In addition, of the 384 studies considered for inclusion, only 44% of them reported internal consistency estimates of their sample. I then performed reliability generalization analyses on Cronbach's alpha coefficients to assess the reliability properties of the HTQ symptom scales. Overall, 103 samples were included in the analyses, representing various cultures, languages and countries of study. The findings of this study indicated that both the HTQ-16 and 30 symptom scales are likely to provide reliable scores across diverse populations. However, the evidence supporting the reliability of scores produced for the re-experiencing, avoidance/numbing and arousal subscales is less strong. Significant moderating effects were found for various sample and methodological variables, such as the gender composition of the sample, cultural group, cultural orientation of the country of origin and trauma type. Building upon the findings of study 1, I performed validity generalization (VG) analyses to assess the overall construct validity of the HTQ symptom scales in Study 2. Seventy-five independent samples were included in the VG that evaluated the convergent and discriminant validity

properties of both the HTQ-16 and HTQ-30. The findings revealed that the convergent validity properties of the HTQ-16 are supported to some extent, but the discriminant validity properties are not. Furthermore, there was limited support for either the convergent or discriminant validity of the HTQ-30. Several significant moderating effects were also found for both scales (i.e. age, gender, cultural group, recruitment site, trauma type, being an original sample). Although these studies shed some light into the overall psychometric strength of the HTQ symptom scales, the decision whether to use this instrument for the assessment of PTSD should also be guided by evidence-based assessment guidelines.

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### **Statement of Co-Authorship**

The two manuscripts included in this dissertation were prepared in collaboration with my dissertation supervisor. I was primary author and Dr. John Hunsley was the secondary author for the first manuscript, entitled “The Harvard Trauma Questionnaire: A Reliability Generalization Study”, and the second manuscript entitled “A Validity Generalization Study of the Harvard Trauma Questionnaire.” As the primary author on all manuscripts, I was responsible for the conceptualization of the research questions and methods, coding, planning and execution of statistical analyses, and preparation of manuscripts. Dr. Hunsley was involved in coding and providing guidance and assistance in all aspects of the project, especially in the refinement of the research questions and methods, and editing of the manuscripts.

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## General Introduction

Evidence-based practice (EBP) has become increasingly prominent in health care, including mental health services (Hunsley & Mash, 2007). Although the focus of EBP in mental health has been mainly on treatment, evidence-based assessment (EBA) has been highlighted in various EBP guidelines (e.g., American Psychological Association Presidential Task Force on Evidence-Based Practice, 2006; Canadian Psychological Association Task Force on Evidence-Based Practice of Psychological Treatments, 2012). A key principle underlying EBA is that research and theory should be used to guide decision-making regarding assessment targets, methods and measures, and the assessment process itself (Hunsley & Mash, 2007). This can lead to more consistency regarding diagnosis across clinicians, researchers, and settings, and also to the provision of higher quality treatment (Speroff et al., 2012). An important element of EBA is using psychometrically strong measures, that is, ensuring that the reliability and validity properties of an instrument are sound when used with a specific sample for a specific purpose (Hunsley & Mash, 2007). For example, if a clinician is planning to use an instrument in the process of determining whether a Vietnamese refugee meets criteria for a diagnosis of PTSD, the clinician must ensure that the instrument he or she decides to use has supporting psychometric evidence for diagnostic purposes within a Vietnamese refugee population.

Psychodiagnostic assessments rely heavily on the conceptualization of the construct they are intended to measure. If the conceptual underpinnings of the construct were not solid, then the psychometric properties of the instrument would be compromised (Haynes, Smith, & Hunsley, 2011). One psychological construct that has been heavily debated in regards to its conceptualization is the posttraumatic stress disorder (PTSD) diagnosis based on the various editions of the American Psychiatric Association (APA)'s Diagnostic and Statistical Manual of

Mental Disorders (DSM). A central argument is that the PTSD construct was developed according to Western cultures' conceptualizations of trauma and its sequelae, and may not be applicable to other cultures (e.g., Jones et al., 2003). Consequently, it is not surprising that there has also been much questioning regarding the cross-cultural applicability of screening tools used to assess PTSD. Although research in cross-cultural assessment in general has received increased attention and several authors have proposed guidelines for validating instruments for different cultures (e.g., International Test Commission, 2005), the assessment of PTSD across cultures is especially challenging due to variations in trauma symptom expression (e.g., Pole, Gone, & Kulkarni, 2008).

A trauma assessment instrument specifically developed to be used and adapted across cultures is the Harvard Trauma Questionnaire (HTQ; Mollica et al., 1992). Systematic reviews of tools used to assess the health of refugees have found that the HTQ has been quite extensively used by researchers to assess trauma and its sequelae (Gagnon, Tuck, & Barkun, 2004; Hollifield et al., 2002). Among the various PTSD instruments evaluated in these reviews, the HTQ was described as being a scientifically strong measure because of the procedures used in its development and its psychometric properties. In addition, the HTQ has been recommended by other experts in the field of trauma assessment such as Keane, Silberbogen, and Weierich (2008) and Nakeyar and Frewen (2016). Although this instrument has been recommended above others to assess PTSD in different cultures, a more detailed investigation of individual studies that have used the HTQ suggests that its psychometric properties may not be so robust across populations (e.g., Jakobsen, Thoresen, & Johansen, 2011; Rasmussen, Verkuilen, Ho, & Fan, 2015; Silove et al., 2007). Given the popularity of this instrument and the inconsistent findings among the few

validation studies available on the HTQ, a further investigation into the overall psychometric strength of the HTQ is needed.

### **Overview of the Dissertation**

This dissertation contributes to the EBA literature by examining the empirical evidence of the psychometric properties of the HTQ symptom scales. The goal of this research is to provide information regarding the cross-cultural applicability of the HTQ symptom scales, thereby helping clinicians and researchers make evidence-based decisions regarding their use of this instrument with individuals from different cultures. The data for two meta-analytic studies were extracted from empirical publications and dissertations that used the HTQ symptom scales in their own data collection. These studies were coded and the information obtained was used to (a) provide descriptive statistics of how the HTQ symptom scales were used in the empirical literature and (b) evaluate its psychometric properties, specifically reliability and validity. The first study included a reliability generalization (RG) study in which I calculated overall mean reliability estimates (i.e., Cronbach's alpha) across studies. The second study is a validity generalization (VG) study in which I examined the evidence for convergent and discriminant validity properties of the HTQ symptom scales across the identified primary studies. I conducted moderator analyses in both studies to assess whether sample and/or methodological characteristics had a significant impact on the mean estimates of these psychometric properties.

The general introduction of this dissertation is comprised of six sections. The first is an overview of the psychometric properties of clinical assessment instruments, which is then followed by a discussion on the psychometric evaluation of clinical instruments. In the third section, I discuss important features of cross-cultural assessment. This section is followed by an overview of PTSD, which includes the prevalence of the disorder and of traumatic events,







***Internal consistency.*** Internal consistency refers to the degree to which all the items in a test measure the same construct. Cronbach's alpha is a measure of the inter-relatedness of test items and has a value between 0 and 1. If the items of a test are highly correlated to each other, Cronbach's alpha will be higher (Tavakol & Dennick, 2011). Calculating Cronbach's alpha is particularly useful when examining the reliability properties of an instrument that does not have right or wrong answers (Salkind, 2012), such as the HTQ-symptom scale. It is important to note, however, that Cronbach's alpha is affected by the number of items on a test. Therefore, there can be high internal consistency for other reasons, such as redundant items or using a large number of items (Haynes et al., 2011). Other difficulties and criticisms associated with coefficient alpha include: (a) it is often based on unmet assumptions (e.g. assuming that the true score variance is the same across all items); (b) these assumptions can inflate alpha, thus providing imprecise estimations of internal consistency; (c) alpha cannot be generalizable if an item is deleted, and (d) variability is not accounted for in a point estimate of alpha (e.g. Dunn, Baguley, & Brunsten, 2014). Some strategies have been developed to address these concerns about alpha. For instance, researchers can use bootstrapping methods to produce confidence intervals around the alpha point estimate to provide a range of probable values (Dunn et al., 2014). Preferably, researchers are recommended to calculate omega (McDonald, 1999), a different measure of internal consistency, which has shown to be a more accurate index as compared to alpha (e.g. Zinbarg, Revelle, Yovel, & Li, 2005). However, until this alternative becomes more accessible and used in the literature, Cronbach's alpha currently remains the best available measure of internal consistency that can be examined by meta-analysis.

Establishing internal consistency is important as it can affect the accuracy of interpretation of scores and is relevant in both clinical and applied research settings. Calculating











RG vary considerably in their methodology (López-López, Botella, Sánchez-Meca, & Marín-Martínez, 2013). In her dissertation, Henchy (2012) summarized RG best practice guidelines found in the existing literature (e.g., Howell & Shields, 2008; Thompson, 1999; Warne, 2008). These guidelines are presented in Table 2. Essential recommendations include important RG practices that have been commonly found and recommended in previous research, whereas optimal recommendations are somewhat newer practices that should be considered. Thus, these guidelines can help researchers conduct RG that are consistent with best practices. They served as a roadmap for the present RG and, with the exception of the use of a power analysis (because of the availability of scores of studies), are included in the methodology of this dissertation.

**Table 2**

*Summary of Recommendations for Conducting RG*

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Essential recommendations

- Conduct thorough searches for studies
- Determine criteria for inclusion of primary studies
- Address file-drawer problem or publication bias
- Code both instrument and sample characteristics
- Use multiple raters and report inter-rater reliability
- Use box and whisker plots or Confidence Intervals to present variability

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Optimal recommendations

- Do not combine multiple types of reliability
  - Do not combine multiple subgroups in one analysis
  - Conduct separate analyses for multiple subscales or instruments
  - Examine homogeneity of population correlations
  - Conduct a power analysis before conducting the RG
- 

*Note.* Adapted from “Review and Evaluation of Reliability Generalization Research”, by A. M. Henchy, 2012, *Unpublished Doctoral Dissertation*, pp. 34-36.

RG analyses for the field of psychology can help those who use a given test make decisions regarding the applicability of the test to a specific group in research settings or to individuals in clinical settings (Vacha-Haase et al., 2000). Another potential benefit of



or weak? Although  $r$ -values range from -1 to +1 (-1 = perfect inverse correlation, 0 = no correlation, +1 = perfect positive correlation), it is difficult to establish the strength of values across the full range of possible values. However, Cohen (1992) proposed the following  $r$ -value effect-size benchmarks to help with interpreting correlations: .10 = small effect, .30 = medium effect, .50 = large effect. Although these benchmarks have been widely used in various fields of research, Hemphill (2003) suggested other benchmarks based on 380 meta-analytic studies in applied psychological research that are more appropriate for psychology (i.e.  $<.20$  = small effect,  $.20-.30$  = medium effect,  $>.30$  = large effect).

The VG method has been primarily used in the field of employment selection and testing (e.g., Dye, Reck, & McDaniel, 1993; Ones, Viswesvaran, & Schmidt, 2003) and it has been recommended in the Standards for Educational and Psychological Testing as a general approach to evaluate validity (AERA et al., 2014). According to these standards, VG should preferably be used when the following conditions are met: a large meta-analytic database of data representing more or less the type of situation to which the use of scores on the instrument will be generalized, and when statistical artefacts are corrected and this correction produces validity evidence that is consistent (AERA et al., 2014).

### **Cross-Cultural Assessment**

A central aspect of cross-cultural assessment is the question of whether test scores can be interpreted the same way across various cultural groups. Thus, in order for a psychological assessment instrument to be used among different cultures and sub-groups, many elements need to be considered. Two concepts that are critical to this issue are bias and equivalence (e.g. He & van de Vijver, 2012).

Bias refers to various elements that can impact the accuracy and validity of instruments across cultures and it occurs when score differences between cultural groups do not reflect the actual cultural differences of the underlying construct being measured (van de Vijver & Tanzer, 2004). As He and van de Vijver (2012) summarized in their review, the several types of bias can be classified as being either construct bias (i.e. a construct being measured is different across cultural groups), method bias (i.e. bias deriving from the sampling procedures, structure of an instrument, different response styles or the administration process), or item bias (i.e. an item that has a different psychological meaning across cultures).

Measurement equivalence implies that a same instrument measures the same construct across diverse cultural groups. There are several types of equivalence, including: (a) content equivalence; (b) linguistic and semantic equivalence; (c) conceptual equivalence; (d) scale and technical equivalence; (e) normative equivalence (Keane et al., 1996). Content equivalence refers to the notion that the items of a measure reflect the ways that individuals of a culture experience the phenomenon that is being measured. Using the psychological effects of a traumatic event as an example, if several items measure the presence of numbing symptoms, but numbing symptoms are not a typical posttraumatic reaction within the culture in question, the instrument would be lacking in content equivalence. Linguistic and semantic equivalence ensures that the grammar, syntax, and meanings of a translated instrument are comparable to the original version. The most commonly recommended method to ensure this type of equivalence is to use both a blind-back translation and a consensus approach to determining the phrasing of items (Brislin, 1970, 1986). Conceptual equivalence refers to the idea that a concept is equivalent across cultures. More specifically, it addresses the question of whether a translated expression assesses the same aspect or construct in different cultures. Scale and technical equivalence ensures that

the methods used to assess a construct are comparable across cultures and yield scores on an instrument that mean the same thing across groups. Lastly, normative equivalence pertains to the establishment of normative standards specific to the culture in question, instead of relying on pre-established norms developed in a different culture.

The assessment of the quality of adapted instruments must take into account the methods that were used to ensure these types of equivalencies, as well as verify the psychometric properties of the instrument. A methodological review of 47 instrument translation studies revealed that there was great variability in the methods used to translate and validate instruments used for cross-cultural research (Maneesriwongul & Dixon, 2004). In addition, Sperber (2004) noted that the process of translating and cross-culturally validating instruments is usually not considered important in research protocols and that the most common translation procedure used is the simple forward translation.

Many different guidelines and recommendations for the cross-cultural adaptation of instruments exist, however very few of them have been empirically investigated. A cross-disciplinary review of cross-cultural adaptation guidelines identified 31 of them and found no consensus in their methodology (Epstein, Santo, & Guillemin, 2015). However, this review showed that most guidelines included the recommendation of using committees, focus groups, and back translations. Although the empirical evidence regarding these guidelines is lacking, it appears that the use of back translations may not have much added value if a consensus approach is already used (e.g. da Mota Falcão, Ciconelli, & Ferraz, 2003; Epstein, Osborne, Elsworth, Beaton, & Guillemin, 2013). Although currently there is limited empirical support for these guidelines, using strategies to help minimize bias remains unquestionably essential in cross-

cultural measurement. If the adaptation process is not carefully implemented, the results may be invalid (Epstein et al., 2015).

### **Posttraumatic Stress Disorder: An Overview**

The psychological responses after experiencing traumatic life events have been of interest for many centuries. For instance, authors such as Homer and Shakespeare wrote about combat stress that appears to closely resemble current PTSD symptomatology (Trimble, 1981). Following these early depictions, such post-trauma reactions became more recognized as syndromes in the 19<sup>th</sup> and 20<sup>th</sup> centuries, with a diverse nomenclature being used, including spinal concussion, soldier's heart, traumatic neurosis, and shell shock, to name a few (Parry-Jones & Parry-Jones, 1994).

Although early editions of the Diagnostic and Statistical Manual of Mental Disorders (DSM) included disorders that occurred as a consequence of a stressful event such as “gross stress reactions” (DSM-I: APA, 1952), and “anxiety neurosis/transient situational disturbance” (DSM-II: APA, 1968), PTSD was first recognized as a distinct diagnosis in the third edition of the DSM (DSM-III: APA, 1980). Since then, the PTSD diagnosis has undergone many changes throughout the subsequent DSM editions, with most modifications involving the stressor criterion, specific symptoms and specifiers. In the DSM-5 (APA, 2013), the PTSD diagnosis was moved from the “Anxiety Disorders” chapter to a newly created one entitled “Trauma and Stress-Related Disorders.” The stressor criterion was significantly changed (e.g., Criterion A<sub>2</sub> that required that the individual must have reacted to the traumatic event with “fear, helplessness, or horror” (APA, 2000, p. 467) was removed, and dissociative and preschool subtypes were added. Because the HTQ symptom scales are based on the 17 symptoms of Criteria B, C and D

of the DSM-IV (APA, 1994) PTSD diagnosis, I will specifically focus on these symptoms and describe them in light of the changes in the current DSM-5 (APA, 2013).

One major change to the PTSD symptoms in the DSM-5 was a new conceptualization of the factor structure of the disorder. The DSM-IV edition described a three-factor model, or three symptom clusters: (a) Criterion B: re-experiencing, (b) Criterion C: avoidance/numbing, and (c) Criterion D: hyperarousal (APA, 1994). However, numerous confirmatory factor analyses revealed that this three-factor model was not the best to capture the underlying symptoms structure of PTSD (e.g., Baschnagel, O'Connor, Colder, & Hawk, 2005; Elklit & Shevlin, 2007; Krause, Kaltman, Goodman, & Dutton, 2007). Although a range of one to seven-factor models have been proposed, the model that has received the most support in the literature is a four-factor conceptualization, and the APA sub-group included this one in the DSM-5 (Friedman, 2013). This model is primarily based on King, Leskin, King, and Weathers' (1998) conceptualization, which separates avoidance and numbing into two clusters. The DSM-5 model includes the following symptom clusters: (a) Criterion B: intrusion (e.g., "recurrent, involuntary, and intrusive distressing memories of the traumatic event," APA, 2013, p. 271), (b) Criterion C: avoidance (e.g., "avoidance of or efforts to avoid distressing memories, thoughts, or feelings about or closely associated with the traumatic event(s)," APA, 2013, p. 271), (c) Criterion D: negative alterations in cognitions and mood (e.g., "feelings of detachment or estrangement from others," APA, 2013, p. 272) and (d) Criterion E: alterations in arousal and reactivity (e.g., "exaggerated startle response," APA, 2013, p. 272). More recently, a seven-factor hybrid model that includes re-experiencing, avoidance, negative affect, anhedonia, externalizing behaviours, and anxious and dysphoric arousal symptom clusters has preliminary evidence of being a superior fit as compared to all other models (Armour, Müllerová, & Elhai, 2016).

The DSM-5 edition contains all the 17 symptoms of DSM-IV, however some descriptions have been revised and reworded to provide further clarification. Three new symptoms were also added. One example of an added item is “reckless or self-destructive behaviour” (APA, 2013, p. 272) in the Criterion E section. This is intended to reflect the fact that externalizing behaviours such as risk-taking and suicidal behaviours are common in individuals with PTSD (e.g., Miller, Kaloupek, Dillon, & Keane, 2004; Nock, Hwang, Sampson, & Kessler, 2010). This increase in the number of symptoms to 20 expanded the possible criteria to meet the diagnosis and, consequently, increased the number of possible symptom profiles. In addition, although the diagnostic thresholds were not changed (i.e., a minimum of six symptoms is still needed to meet criteria), they have been redistributed among the new symptom clusters. In other words, instead of requiring one re-experiencing symptom, three avoidance/numbing symptoms, and two arousal symptoms to meet full PTSD criteria, an individual must experience one intrusion symptom, one avoidance symptom, two negative alterations in cognitions and mood symptoms, and two alterations in arousal and reactivity symptoms.

Studies that have compared the DSM-IV and DSM-5 PTSD diagnostic criteria have found differences in prevalence rates between the two (e.g., Calhoun et al., 2012; Gentes et al., 2014; Kilpatrick et al., 2013). A study conducted of a community sample in Wales found that the DSM-IV prevalence was 14.3%, but only 8% when DSM-5 criteria were applied (White et al., 2015). The change in prevalence was attributed to the exclusion of DSM-IV stressor criterion qualifying events such as life-threatening illnesses for DSM-5. Changes in diagnostic rates in other studies were also attributed to the splitting of avoidance and numbing symptoms into two distinct clusters (e.g., Gentes et al., 2014).

Studies on the rate of PTSD across different cultures using DSM-5 criteria are limited

due to the recency of its publication. Therefore, it is too early to establish the cross-cultural applicability of the new criteria. However, considerable data regarding the prevalence rates across world have been gathered with the past versions of DSM. These studies are summarized in the following section.

**Prevalence of trauma and PTSD.** It is important to note that the methods used to collect data, the diagnostic criteria used to evaluate trauma and PTSD (e.g., DSM-IV vs. DSM-5), and the composition of research samples (e.g., war veterans vs. civilians; refugee vs. non-refugee) can all have a tremendous impact on the rates of trauma and PTSD reported in the literature. Thus, it is critical that these factors are kept in mind when interpreting results of epidemiological studies. There are also three different concepts to be aware of when studying the epidemiology of trauma: (a) the prevalence of being exposed to traumatic events, (b) the conditional prevalence, or the risk of having PTSD after being exposed to a traumatic event, and (c) the total prevalence of PTSD in the population (Norris & Slone, 2014).

With regards to the lifetime prevalence of trauma exposure, studies have shown that experiencing traumatic events is quite common among general populations across the world. For example, Kilpatrick and colleagues (2013) found in a national probability study of approximately 3,000 U.S. adults that 89% of participants reported being exposed to at least one DSM-5 qualifying event. One study on the exposure to trauma in four post-conflict, low income countries/regions (i.e., Algeria, Cambodia, Ethiopia, and Gaza) found that the rates of trauma according to various trauma categories (e.g., torture, youth domestic stress, and conflict-related events) varied across countries, but that overall exposure to severe trauma was consistently high (de Jong et al., 2001). The most recent data collected from the World Mental Health Surveys that









2006; Hinton et al., 2008). Therefore, such missing symptoms from the PTSD construct may also play a role in the variation of prevalence rates.

As reported by Hinton and Lewis-Fernández (2011), studies demonstrating cross-cultural variations of posttraumatic symptomatology were considered in the development of the new DSM-5, and the recommended changes to the PTSD diagnosis were based on the results of these studies. As they noted, the decision to have a threshold of only one symptom for the distinct avoidance cluster was, in part, based on the lower rate of avoidance reported in certain cultures. The additions of a new section on culture-related diagnostic issues illustrate other efforts made to ensure that the DSM-5 classification of PTSD is both culturally sensitive and culturally appropriate (Lewis-Fernández, Hinton, & Marques, 2014).

In addition to studies examining the PTSD construct across different cultures, there have been studies that define posttraumatic responses according to more emic approaches. For instance, some researchers have found distinctive posttraumatic symptom expressions in different cultures that are quite dissimilar to the symptoms of PTSD. One of these cultural trauma syndromes is *baksbat* or “broken courage” in Cambodia (Chhim, 2012), which can include symptoms such as an inability to trust others, becoming more submissive, feelings of cowardliness, and becoming deaf and mute. Another example of a cultural syndrome is *ihahamuka* among Rwandan genocide survivors which is mainly characterized by a shortness of breath (Hagengimana & Hinton, 2009).

In summary, researchers are still trying to understand whether, when, or how posttraumatic psychological symptoms are more indicative of a culturally bound disorder, a universal construct, or something in between. Although further studies are warranted to clarify this situation, it is important to remember that these symptoms affect thousands every year and









characteristics and likely value when used in subsequent research. In addition, because the assessment of traumatized individuals can have major clinical and societal implications (e.g., access to treatment, obtaining disability compensation, obtaining refugee status), an in-depth analysis of instruments designed for use in these evaluations is of the utmost importance.

### **The Harvard Trauma Questionnaire**

The Harvard Trauma Questionnaire (HTQ) was originally developed by the Harvard Program in Refugee Trauma (HPRT) and the Indochinese Psychiatry Clinic in Massachusetts after years of extensive research and clinical experience with refugee populations (Mollica, McDonald, Massagli, & Silove, 2004). After unsuccessful attempts at assessing trauma with an Indochinese population using another standard instrument, staff at the clinic decided to create the HTQ by following the format of the Indochinese versions of the Hopkins Symptom Checklist-25 (HSCL-25; Mollica, Wyshak, de Marneff, & Lavelle, 1987), an instrument that assesses symptoms of anxiety and depression. The HTQ was developed as a cross-cultural, clinician-administered instrument to assess trauma and torture related to mass violence and their psychological impacts. It was intended to be used with clinical and community refugee populations, in both research and clinical settings (Mollica et al., 2004). Although the developers initially recommended its use for refugee populations, they have also used the HTQ among non-refugees (e.g., Silove et al., 2007).

The HTQ was the first cross-cultural trauma assessment instrument to be created and validated across various cultural groups. The first versions of the HTQ were validated among three Indochinese refugee populations: Cambodian, Vietnamese, and Lao (Mollica et al., 1992). Mollica and colleagues (1994) specified that the HTQ should not simply be translated into another language and then administered to traumatized individuals. Instead, they recommended a

rigorous adaptation and revision process supported by detailed knowledge of the culture, relevant life events of those who would complete the measure, and culture-specific symptoms for each new form of the HTQ and for each distinct group of trauma and torture survivors. In the HTQ manual, Mollica and colleagues (2004) described evidence-based approaches to the cross-cultural adaptation of instruments that can help researchers and clinicians develop their own versions of the questionnaire. These adaptation procedures included essential components of cross-cultural adaptation such as guidance on establishing a group of experts to consult on the adaptation process, gathering qualitative information on cultural expressions of distress, translating items, and evaluating cross-cultural equivalency. They particularly noted the importance of cross-cultural equivalency by describing Flaherty et al.'s (1988) five equivalence dimensions (i.e., content, semantic, technical, criterion, and conceptual equivalence), which are similar to the ones described in the previous section. They also emphasized the use of focus groups to gain a better understanding of the cultural meaning and symptomatology of traumatic experiences of a specific group.

Systematic reviews of the literature have shown that the HTQ is commonly used in studies among refugee populations (Gagnon et al., 2004; Hollifield et al., 2002), and can be useful to exclude PTSD non-cases in specific forcibly displaced populations (Nakeyar & Frewen, 2016). The HTQ has also been recommended as a tool to be used across cultures by trauma experts (e.g. Keane et al., 2008). However these assertions were not supported by sufficient empirical evidence that would justify qualifying it as a culturally sensitive measure of PTSD symptoms. Nor have evidence-based assessment guidelines such as Hunsley and Mash's ever been used to evaluate the HTQ and support these claims. Because of these factors, it is imperative that a thorough review of its psychometric properties be conducted. The results of a









factorial models examined were: (a) 3-factor DSM-IV model, (b) 4-factor “numbing” model, and (c) 4-factor “dysphoria” model. The results showed that all models had an adequate fit for the DRC and Burma samples. The 4-factor “numbing” model was the best fit for the DRC sample and the 4-factor “dysphoria” model for the Burma sample. None of the models had an adequate fit for the Northern Iraq sample. These important findings speak to the potential limitations of construct validity of the HTQ-16. Further research is also needed to evaluate other dimensions of its construct validity, such as convergent and discriminant validity.

### **Potential Moderators of Reliability and Validity**

As mentioned previously, the data provided by an assessment instrument can be more reliable and valid for some populations than others. To obtain a clearer picture of the heterogeneous quality of this variance, it is important to examine its potential causes. In the event that the reliability and validity coefficients produced by the current RG and VG analyses show signs of heterogeneity (i.e. significant  $Q$  statistic), moderator analyses were conducted to assess the potential impact of sample and methodological characteristics on scores produced by the HTQ symptom scales, thus further examining the potential sources of variance. Commonly reported variables that have shown mean differences between groups with and without PTSD, or that have often been found to moderate reliability or validity estimates, were examined.

The sample characteristics examined as moderators included: (a) culture/ethnicity, (b) cultural context of the country of origin (individualist vs. collectivist), (c) type of trauma experienced, (d) being forcibly displaced, (e) age, and (f) gender. These moderator analyses were exploratory in nature due to the limited information available regarding their impact on the reliability and validity of HTQ scores. As described in detail throughout the introduction of this dissertation, there is evidence of the variation of PTSD symptomatology across cultures and

ethnicities (e.g., Kessler et al., 1999; Pole et al., 2008). Because of these findings and the fact that the studies using the HTQ were conducted across many cultures and ethnicities, this factor is considered a potential source of variance in the reliability and validity of HTQ scores.

As an extension of this potential moderator, the cultural background of the sample in terms of the construct of collectivism versus individualism was examined for its possible moderating effects. Individuals in collectivist cultures tend to be interdependent within their reference group (e.g. family, community, tribe), give more importance to the goals of this group, and behave according to the norms established within the group (Mills & Clark, 1982). On the other hand, people from individualist cultures are mostly independent from their reference group, give high priority to their own personal goals and behave according to their personal attitudes as opposed to the norms of their reference group (Triandis, 2001). Some studies have shown that a cultural group's orientation (i.e. collectivist or individualist) can have an impact on individual response styles on Likert-type questionnaires (e.g. Harzing, 2006), which may impact symptom endorsement. Specifically regarding posttraumatic stress, research has shown that individuals that come from more independent cultures and who have developed PTSD are more likely to have a change in self-definition and personal identity following a trauma that individuals from more interdependent cultures (Jobson & O'Kearney, 2008). In addition, Elsass (2001) found that, among South American cultures, individualist cultures tended to view PTSD avoidance symptoms as maladaptive coping, whereas collectivist cultures tended to consider avoidance symptoms to be adaptive. Such differences in perspective may have an impact on symptom reporting, and consequently, on score reliability. Because this qualitative study included a small sample, it only offers preliminary findings. Larger quantitative studies are needed to further examine the role of collectivism and individualism on the psychological effects of trauma. To

categorize cultures as collectivist or individualist in the current study, Hofstede's dimensions of national culture was used (Hofstede, Hofstede, & Minkov, 2010). As a result, only the countries in Hofstede's research studies were categorized and included in the moderator analyses using this cultural distinction.

Another variable that may contribute to the variance of the psychometric evidence of the HTQ is the type of trauma experienced by research participants. This variable was considered because the prevalence rate of PTSD varies according to the type of trauma experienced by individuals. For instance, the National Comorbidity Survey revealed that rape, childhood physical abuse, and childhood neglect were the traumas the most related to PTSD (Kessler et al., 1999). In a more recent study, the probability of developing PTSD after experiencing a sexual assault was 40.2%, as compared to 9.6% for severe accident, 9% for unexpected death of someone close, and 5.1% for natural disaster (Breslau, Troost, Bohnert, & Luo, 2013). The types of traumas in the RG and VG data were merged into two broader categories (i.e., intentional trauma and non-intentional trauma). Studies have shown that individuals who are exposed to intentional traumas had worse health outcomes than did individuals who experienced traumas that were not deliberate, such as natural disasters or accidents (Lange et al., 2003; Matthieu & Ivanoff, 2006; Van der Velden et al., 2006).

Being a forcibly displaced person (FDP), which includes refugees, asylum seekers and the internally displaced was also considered as a potential moderator. These individuals flee their home to escape traumatic events such as war, civil conflict, or persecution. The impact of these pre-migration stressors can also be compounded by resettlement difficulties or other post-migration problems such as detention (Ichikawa, Nakahara, & Wakai, 2006; Porter & Haslam, 2005). Researchers tend to find higher rates of psychological difficulties among individuals who

have survived war and have been displaced as compared to non-refugee groups (Fazel et al., 2005; Porter & Haslam, 2005; Steel et al., 2009). However, research has also found that estimates of PTSD prevalence among refugee populations can vary greatly, from 4% (Hauff & Vaglum, 1994) to 86% (Carlson & Rosser-Hogan, 1991).

Age was considered a relevant potential source of variance as some studies have found that rates of PTSD can differ according to age. For instance, Kessler and colleagues (1999) found in the National Comorbidity Survey in the USA that there were age differences in the prevalence of PTSD according to gender. Among women, there was no significant association between age and PTSD. Among men, there was a significant association between age and PTSD, however, there was no association after controlling for trauma exposure or risk of PTSD after trauma among the different age cohorts. Furthermore, analyses conducted on data from this survey indicated that the conditional risk for PTSD declined as age increased (Kessler et al., 1995; Norris, 1992).

A final sample characteristic that was considered as a potential moderator is gender, as it has also been frequently studied as a risk factor for the development of PTSD and may play a role in any heterogeneity of variance. Overall, the main findings of epidemiological research show that, although women tend to experience fewer traumatic events, they are more likely to develop PTSD than are men (Breslau et al., 1998; Perkonig, Kessler, Storz, & Wittchen, 2000). More specifically, women had a significantly higher prevalence of PTSD than did men in large national community surveys in the US (Kessler et al., 1999; Pietrzak et al., 2011). These findings have also been replicated in other parts of the world (e.g., Creamer & Parslow, 2008; Ditlevsen & Elklit, 2010).

The methodological variables included as potential moderators were: (a) type of sampling

used (general community sample; sample seeking mental health services; sample seeking medical treatment), (b) the study sample was one for which the HTQ was validated, and (c) type of adaptation procedure used. The moderator analyses of the type of sampling variable were exploratory, and the analyses of the other two variables were hypotheses driven.

The sites from which the samples were recruited were examined. Psychometric properties of instruments can be dependent on the severity of the disorder measured (Haynes et al., 2011) and, as symptoms are generally more severe in individuals who are seeking mental health services or medical treatment than they are in individuals from non-clinical samples, the effect of recruitment site (i.e., clinical, community, or mixed clinical and community) were considered.

In previous sections of this introduction I discussed the important notions of equivalency when adapting instruments for different populations or purposes. Although Mollica and colleagues (2004) also explicitly highlighted this notion and provided recommendations with regards to adaptation procedures for other researchers in their manual, early in the article search, I noticed that many authors used original versions of the HTQ with populations that had not undergone a previous validation process. To illustrate, Gorst-Unsworth and Goldenberg (1998) used the HTQ symptom scale with a sample of male Iraqi refugees resettled in the United Kingdom. When they described their use of the HTQ, they stated only that it was administered in Arabic and Kurdish with the help of an interpreter. There was thus no indication that the researchers followed any adaptation guidelines. Internal consistency and convergent validity estimates should be highest in studies involving samples for which the HTQ was originally intended to be used, and discriminant validity estimates lower in such samples. Accordingly, moderator analyses were conducted to determine whether having a study sample that was culturally similar to the samples used in the development of the HTQ affected reliability and

validity estimates.

### **The Current Studies**

The assessment of PTSD is a rather challenging endeavour due to the continuously evolving conceptualization of the construct and the absence of clear differentiation from other disorders. The cross-cultural variations in posttraumatic symptom expression further add to this complexity, thus making the assessment of PTSD across diverse cultural groups an even more challenging task. The various sources of cultural bias and measures to address them further complicate the development and validation of instruments, making the evaluation of the psychometric properties of these instruments highly imperative.

The available evidence regarding the psychometric properties of the HTQ symptom scales is inconsistent, and its overall psychometric characteristics across populations remain unknown. Because this instrument is intended to be used across cultures, a comprehensive analysis of the reliability and validity properties of this instrument across different populations is warranted. The current studies are the first attempt at aggregating empirical findings across studies and cultures to assess the cross-cultural applicability of the HTQ in light of evidence-based assessment guidelines. The results from these RG and VG meta-analyses will provide pertinent information that will help clinicians and researchers decide whether or not it is an appropriate assessment tool for their clients/participants of different cultural backgrounds.

























































































Table 2

*Descriptive Statistics of Studies Included in the RG*

Scale	Items	Total sample size	Mean sample size	Mean age (years)	Gender composition (% males)
HTQ-30	30	9,322	259	37.14	44.04
HTQ-16	16	23,721	339	35.47	50.62
Re-experiencing	4	6,731	306	36.69	38.28
Avoidance/numbing	7	7,910	293	35.01	38.05
Arousal	5	8,094	289	34.87	38.13





## Study 2

A Validity Generalization Study of the Harvard Trauma Questionnaire

### **Abstract**

The current study examined the construct validity of the symptom scales of the Harvard Trauma Questionnaire (HTQ; Mollica et al., 1992), a commonly used cross-cultural instrument that aims to assess the psychological effects of trauma. A cited-reference search was conducted to locate all publications and dissertation that used the symptoms scales of the HTQ. Random-effects validity generalization (VG) meta-analyses were performed on discriminant and convergent validity coefficients. These analyses included 125 validity coefficients from 78 studies, representing data from 21,156 individuals. The variance of score validity was characterized by considering sample and methodological variables that are predictive of the validity coefficients. The findings of the VG analyses indicate that the convergent validity properties of the HTQ-16 are supported to some extent, and that this scale performs rather questionably in terms of discriminant validity. Furthermore, there is limited support for either the convergent or discriminant validity of the HTQ-30. Despite some encouraging results, more work is needed to establish the validity of these scales. We discuss the complexities involved in establishing the construct validity PTSD assessments in light of the high comorbidity rates and overlapping symptoms with other disorders.

Keywords: posttraumatic stress disorder, assessment, cross-cultural assessment, construct validity, meta-analysis



reviews of tools used to assess the health of refugees have found that the HTQ has been extensively used by researchers to assess trauma and its sequelae (Gagnon, Tuck, & Barkun, 2004; Hollifield et al., 2002), and has been shown to be useful to exclude PTSD non-cases in certain forcibly displaced populations (Nakeyar & Frewen, 2016). In addition, in their review of PTSD measures, Keane, Silberbogen, and Weierich (2008) recommended the use of the HTQ for the assessment of PTSD across cultures. They described the HTQ as having “linguistic equivalence across the many cultures and languages with which it has been used thus far” (p. 297). However, they did not provide sufficient empirical evidence to support the cross-cultural applicability of the instrument, or evaluate it according to established evidence-based assessment guidelines, such as Hunsley and Mash’s (2008).

We recently conducted a reliability generalization (RG) study to evaluate the internal consistency of HTQ scores through meta-analysis (see Darzi & Hunsley, 2017). The results of this RG showed that the HTQ-16 and HTQ-30 are likely to provide reliable scores across a large diversity of populations. However, the re-experiencing, avoidance/numbing and arousal subscales should be used with caution due to lower overall mean reliability estimates and inadequate performance in certain samples. With regards to the validity of the HTQ symptom scale, some authors have suggested that it may not be generalizable due to having been developed from a psychiatric outpatient population (Hollifield et al., 2002). In a more recent study, Rasmussen and colleagues (2015) found that the configural invariance, or overall four-factor structure of the PTSD construct as measured by the HTQ-16, was acceptable among individuals of various cultural groups. However, there were significant response-style differences across cultures, as well as variations in the clinical thresholds of PTSD. Another study on the factor structure of PTSD using the HTQ-16 compared three models across three groups living in











To ensure the statistical independence of the studies to be included in the analyses, multiple publications on the same data set were identified. The detection heuristic developed by Wood (2008) was used as a guideline to help identify same samples. This strategy included determining whether the study and sample characteristic were similar in the suspected multiple publications and verifying if the same authors were included in the studies. To help decide which study to eliminate, several factors were considered, such as the amount of descriptive data reported and the reporting of validity coefficients. Furthermore, when studies provided validity coefficients of different samples within the same study, each sample was identified as separate and retained for the analyses.

In summary, there was a total of 384 studies that matched the inclusion criteria and a total of 116 studies that had convergent and/or discriminant validity estimates. After removing studies using the same samples, studies that included modified versions of the HTQ symptom scales (i.e., added or removed items), and unusable validity information (such as a lack of information necessary to compute a validity coefficient), there were a final total of 78 studies and 79 samples to be included in the analyses.

**Coding of descriptive data and moderators.** The relevant articles were coded for convergent and discriminant validity information (i.e., construct, measure, format, values), sample characteristics, and methodological characteristics for the HTQ symptom scales. The initial coding was performed by the first author (a clinical psychology doctoral student with previous coding experience) and was facilitated by a coding manual (see Appendix A) that was based on a review of the literature on trauma, cross-cultural measurement and validity generalization. The data were entered on a coding sheet (see Appendix B). The study and sample variables were used in the primary descriptive statistics of the overall pool of studies

included in the meta-analyses. Some variables were also examined as potential moderators. Study and methodological characteristics included the following categorical variables: (a) language of administration of the HTQ; (b) country of study; (c) type of sampling (community; seeking mental health services; seeking medical treatment sample); (d) version of the HTQ (original or adapted version), (e) whether an adaptation was needed for the sample (yes or no); (f) adaptation procedure used (cultural adaptation only, translation only, or both); (g) adaptation procedure followed the developers' recommendations (yes or no), (h) adaptation procedure followed other experts' recommendations (yes or no); (i) translation procedure used (oral translation; forward translation; back translation; blind back translation); (j) a consensus approach was used during adaptation (yes or no); (k) the adaptation included a pre-test (yes or no); and (l) type of administration (clinician administered; non-clinician administered; self-report).

Sample characteristics included the following continuous variables: sample size, the mean age of participants, and gender composition of the sample (percentage of males). Sample characteristics also included the following categorical variables: (a) country of origin of participants; (b) country of origin's cultural orientation (individualist or collectivist) based on Hofstede's categorization (only countries classified by Hofstede were coded); (c) type of trauma experienced (intentional or unintentional); and (d) sample consisted of forcibly displaced persons (yes or no).

The second author (a clinical psychologist and professor with extensive experience in meta-analysis) coded 20% of the studies that were included in the meta-analyses to assess the reliability of the coding procedures. The inter-coder reliability analyses were performed using SPSS version 20. The kappa ( $k$ ) statistic was used for the categorical variables and intraclass

coefficients (ICC) were used to assess the inter-coder reliability of continuous variables. These analyses were important to help eliminate random variation and increase the reliability and power of the results (Dieckmann, Malle, & Bodner, 2009). The inter-coder reliability had a rating of “good” as per Hunsley and Mash’s (2008) criteria with a mean  $k = 0.77$  and ICC coefficient of 0.85. Several discrepancies between coders were caused by unclear reporting of data in the studies, and vague descriptions of the methodological and adaptation procedures. Discrepancies were discussed until a unanimous agreement between the coders was reached.

### **Data Analysis**

**Calculating mean effect sizes.** Several steps were followed to develop convergent and discriminant validity coefficient estimates for the HTQ-16 and HTQ-30s. The first step was to enter the validity information of each sample (sample identifier, sample size, and validity coefficient) into the Comprehensive Meta-Analysis software version 2.2.064 (CMA; Borenstein, Hedges, Higgins, & Rothstein, 2005). Values of indexes that reported the variance shared between a HTQ symptom scale and a convergent or discriminant validity construct (e.g.,  $r$ ,  $d$ ) were included in the analyses. Effect sizes that were not reported as correlations were converted into  $r$  with the CMA software. A Fisher’s  $r$ -to- $z$  transformation was then performed to compensate for the high level of skewness and non-normal distribution usually found with correlations. All further analyses were performed with these transformations (see Borenstein, Hedges, Higgins, & Rothstein, 2009).

A relative weight and a 95% confidence interval were calculated for each sample. This relative weight, or inverse-variance, is primarily based on the sample size (i.e., smaller sample sizes are generally assigned less weight). An overall mean effect size, its statistical significance and upper and lower 95% confidence intervals were then calculated. The Fisher’s  $z$  values were

then converted back to their original  $r$  values to facilitate interpretation.

**Random-effects model.** A random-effects model was chosen to allow for the true effect to be different across samples. This model is in contrast to the fixed-effect model that assumes that the samples have a common true effect and that the differences in observed effects are due entirely to sampling error alone (e.g., Borenstein, Hedges, Higgins, & Rothstein, 2010). Because the random-effects model considers that the effect sizes may come from different heterogeneous populations, this model was considered the most suitable to include in the VG analyses which were comprised of highly heterogeneous samples.

**Heterogeneity of effects.** A  $Q$  test of homogeneity (or Cochran's  $Q$ ; Cochran, 1954) and  $I^2$  index (Higgins & Thompson, 2002) were used to evaluate the degree of dispersion of validity estimates around the mean validity coefficients. The  $Q$  statistic evaluates the null hypothesis that the studies included in the meta-analysis have a common effect size and a significant  $Q$  statistic suggests that moderator analyses should be conducted to help find the sources of heterogeneity. The  $I^2$  index assesses the proportion of the observed variance that is caused by true heterogeneity rather than being due to chance alone (Higgins & Thompson, 2002). The following benchmarks proposed by Higgins and Thompson (2002) were used to assess the different levels of heterogeneity and interpret the  $I^2$  index: 25% = low, 50% = moderate, and 75% = high.

**Possibility of publication bias.** To examine the possible influence that unpublished effects may have on the mean validity values obtained in the meta-analyses, an Orwin's fail-safe  $N$  (Orwin, 1983) was calculated for each meta-analysis. The result of this procedure is an estimate of the number of studies with estimate of .01 would be needed lower the average validity estimate to under .2 (which is the cut-off for a small effect). Funnel plots were not used

to assess publication bias because of the risk of visual misinterpretation (Lau, Ioannidis, Terrin, Schmid, & Olkin, 2006).

**Analysis of moderators.** Moderator analyses were performed to examine possible sources of variance on estimates that had statistically significant heterogeneity. Mixed effects (method of moments) meta-regression analyses were performed to examine the relations between continuous variables and score validity. For categorical variables, a series of random-effects subgroup analyses were used to examine the relations between the validity coefficients and study and sample characteristics. Because some of the variables had an insufficient number of studies per subgroup (i.e., less than 2) some categories were merged together to help increase the power of the analyses. For instance, “culture” was separated according to larger geographical cultural groups according to continent of origin (e.g., Asian, European, African), and “types of trauma” were categorized as “intentional trauma” and “unintentional trauma”.

## **Results**

### **Overview of Studies included in the Meta-Analyses and Descriptive Statistics of Potential Moderators**

The present meta-analyses included 79 samples from 78 studies, representing data from 21,156 individuals (see Appendix D for the list of studies included). The mean sample size was 280, the mean age of participants was 37 years, and, on average, 46.6% of study participants were male. The studies were conducted in over 36 countries, with samples coming from over 35 different countries. Consistent with this, the HTQ symptom scales were administered in over 42 different languages and dialects.

Of the study samples that reported the sampling procedure ( $n = 79$ ), 67.1% recruited participants from the community, 22.8% recruited participants who were seeking mental health

services, 6.3% recruited participants who were seeking medical treatment, and 3.8% recruited from multiple sources. Fifty-nine percent of the samples were composed of forcibly displaced individuals (i.e., refugees, asylum seekers, internally displaced people) as opposed to natives or immigrants. The most common types of traumatic events were intentional traumas, with 79.7% of samples having all or most individuals who experienced these traumas. Unintentional traumas were experienced by 12.7% of the samples and the remainder 7.6% of samples consisted of individuals who had been exposed to both types of traumas or none.

In terms of how the HTQ symptom scales were used within the independent samples, 74.7% ( $n = 59$ ) involved adaptations, although 86.1% ( $n = 68$ ) required the use of an adapted version of the measure because of the nature of the samples. Of those study samples that used adaptations, 66.1% were translations only, 10.2% were both translation and cultural adaptation, and 23.7% made no mention of how they adapted the measure. Of the studies that did not culturally adapt the instrument, none of them reported a cultural verification process that would ensure that the measure was suitable for their sample. Regarding translation procedures, of those that reported translating the instrument ( $n = 45$ ), 20% indicated using a forward translation, 24.4% used in vivo oral translations, 35.6% used a back translation approach, and 20% used a blind back translation approach. Among the studies that reported their adaptation procedures ( $n = 45$ ), 6.7% reported using the adaptation recommendations provided by the developers of the HTQ and 26.7% reported following the recommendations from other authorities on measure adaptation. With regards to steps taken to ensure the validity of the translation or adaptation, 37.8% used a consensus approach and 22.2% conducted a pre-test. Finally, of those who reported the administration procedures used ( $n = 75$ ), 40% were administered via self-report, 24% were administrations done by non-clinicians, and 37.3% were clinician-administered.

## Validity Generalization Analyses

**Convergent validity.** Altogether, there were 72 convergent validity coefficients entered in the meta-analyses. When a study reported two or more correlations for the same construct, they were averaged to ensure statistical independence. Consistent with procedures used in other VG studies (e.g., Kinicki, McKee-Ryan, Schriesheim, & Carson, 2002), the various correlates were grouped into broad correlate categories. These categories included the following constructs: (a) cumulative trauma (e.g., number of different trauma types experienced as per the HTQ events scale); (b) other posttraumatic stress measure (e.g., Impact of Event Scale [IES; Horowitz, Wilner, & Alvarez, 1979]); (c) cultural expressions of trauma (e.g., additional cultural items of the HTQ symptom scales); and (d) dissociation measure (e.g., dissociation subscale of the Trauma Symptom Checklist [TSC; Briere & Runtz, 1989]). Descriptive statistics and results of the convergent VG of each of these categories are presented in Table 1. The mean convergent validity coefficients for the HTQ-16 ranged from  $r = .32, p < 0.001, 95\% \text{ CI } [0.26, 0.38]$  for the “cumulative trauma” category to  $r = .75, p < 0.001, 95\% \text{ CI } [0.59, 0.86]$  for “cultural expressions of trauma.” For the HTQ-30, a meta-analysis could only be conducted with the “cumulative trauma” category because of the insufficient number of correlations available for the other constructs. The mean convergent validity estimate for this category was  $r = .26, p < 0.001, 95\% \text{ CI } [0.17, 0.34]$ .

All convergent VG analyses had a significant  $Q$  statistic. The significant  $Q$  statistics and high  $I^2$  suggest that there is an important amount of variance across samples for those specific VG results, therefore further examination of potential study and sample characteristics that account for this variability was warranted.

**Discriminant validity.** A total of 53 discriminant validity coefficients were entered in the meta-analyses examining discriminant validity. The various correlates were separated into the following correlate categories: (a) depression and anxiety symptoms measures (e.g., Hopkins Symptom Checklist-25 [HSCL-25; Mollica, Wyshak, de Marneff, & Lavelle, 1987]); (b) anxiety symptoms measures (e.g., anxiety subscale of the General Health Questionnaire (GHQ; Goldberg & Williams, 1988); (c) depression symptoms measures (e.g., Beck Depression Inventory-II [BDI; Beck, Steer, & Brown, 1996]); and (d) somatization measures (e.g., HSCL somatization scale). Descriptive statistics and results of the discriminant VG of each of these categories are presented in Table 2. The mean discriminant validity coefficients for the HTQ-16 ranged from  $r = .43, p < 0.001, 95\% \text{ CI } [0.28, 0.56]$  for the “somatization measures” category to  $r = .80, p < 0.001, 95\% \text{ CI } [0.77, 0.83]$  for “depression and anxiety symptoms measures.” The mean discriminant validity coefficients for the HTQ-30 ranged from  $r = .45, p = 0.239, 95\% \text{ CI } [-0.31, 0.86]$  for the “somatization measures” category to  $r = .79, p < 0.001, 95\% \text{ CI } [0.61, 0.89]$  for “depressive and anxiety symptoms measures.”

The “depression and anxiety symptoms measures” category for the HTQ-16 and the “depression symptoms measures” category for the HTQ-30 had nonsignificant  $Q$  statistics. The significant  $Q$  statistics and high  $I^2$  of the other discriminant VG analyses indicated a need to further examine the role of potential moderators.

**Publication bias.** Because the CMA software cannot compute an Orwin’s fail-safe  $N$  for meta-analyses with fewer than 3 studies, three of the VG studies could not be tested for publication bias. A generally accepted interpretation of fail-safe  $N$  is that a meta-analytic result is unlikely to be affected by publication bias if the calculated  $N \geq 5k + 10$  (Orwin, 1983). Based on this formula, none of the VG results in this study are robust to publication bias, and should be

considered as preliminary results until additional data on these categories of validity coefficients are available.

**Moderator analyses.** A summary of the moderating effects is presented in Table 3. Samples that had missing information for a specific variable were excluded from these analyses. Meta-regression analyses (mixed effects method of moments) were conducted to assess the potential impact of age (mean age of sample) and gender (percentage of participants who were males). Age was a significant moderator for the association between the “somatization measure” category and HTQ-16,  $\beta = -0.092$ ,  $Q_{model} = 4.60$ ,  $p < 0.05$ , 95% CI [0.00, 0.03]. Specifically, higher associations between the HTQ-16 and somatization measures were found with lower mean ages of study samples. Gender was also a moderator for this association,  $\beta = -0.014$ ,  $Q_{model} = 10.49$ ,  $p < 0.05$ , 95% CI [-0.02, -0.01], with study samples comprised of mainly female participants having higher validity estimates.

With regards to the subgroup analyses, the “forcibly displaced sample” and “country of origin’s cultural orientation” variables were not significant moderators for any VG result. The “cultural group” variable was significant for the convergent association between the “cumulative trauma” variable and the HTQ-30. Specifically, “African cultures” had the lowest mean validity coefficient ( $r = 0.06$ ,  $p = 0.100$ , 95% CI [-0.01, 0.13]) and “Asian cultures” had the highest mean validity coefficient ( $r = 0.40$ ,  $p < 0.001$ , 95% CI [0.32, 0.48]). The summary effect sizes for “cultural group” were also significantly different from each other for the discriminant association between the “somatization measures” variable and the HTQ-16. In this case, “European culture” had the lowest coefficient ( $r = 0.17$ ,  $p = 0.088$ , 95% CI [-0.03, 0.35]) and “Asian culture” the highest ( $r = 0.60$ ,  $p < 0.001$ , 95% CI [0.56, 0.64]). The “recruitment setting” variable had a significant effect for two convergent VG results: (a) the association between “cumulative

trauma” and the HTQ-30, where the lowest mean coefficient was for “medical setting” ( $r = 0.04$ ,  $p = 0.356$ , 95% CI [-0.05, 0.12]) and the highest for “community setting” ( $r = 0.33$ ,  $p < 0.001$ , 95% CI [0.26, 0.40]), and (b) the association between the “other posttraumatic stress measure” correlate and the HTQ-16, with “mental health setting” having the lowest coefficient ( $r = 0.28$ ,  $p < 0.05$ , 95% CI [0.07, 0.47]) and “medical setting” the highest ( $r = 0.86$ ,  $p < 0.001$ , 95% CI [0.67, 0.94]). The “type of trauma” variable was also a moderator for both of these convergent VG associations. Specifically, “intentional traumas” had the highest coefficient for the association between “cumulative trauma” and the HTQ-30 ( $r = 0.28$ ,  $p < 0.001$ , 95% CI [0.20, 0.35]), with a lower coefficient found for “unintentional traumas” ( $r = 0.04$ ,  $p = 0.717$ , 95% CI [-0.17, 0.25]). However, for the association between “other posttraumatic stress measures” and the HTQ-16, the coefficient for “intentional traumas” was significantly lower ( $r = 0.58$ ,  $p < 0.001$ , 95% CI [0.43, 0.70]) than the coefficient for “unintentional traumas” ( $r = 0.78$ ,  $p < 0.001$ , 95% CI [0.65, 0.86]). Finally, for the association between the “cumulative trauma” correlate category and the HTQ-30, the coefficient for “original HTQ sample” was higher ( $r = 0.43$ ,  $p < 0.001$ , 95% CI [0.26, 0.57]) than the coefficient for the “not original sample” ( $r = 0.21$ ,  $p < 0.001$ , 95% CI [0.12, 0.29]).

## **Discussion**

The goals of this study were to summarize the available data regarding the convergent and discriminant validity of the HTQ symptom scales. Of the 116 studies that provided validity estimates, 78 of them were included in the analyses. These studies represented 79 independent samples. The samples had very diverse compositions, with many languages, cultures, and countries of origin represented in the data. Although most authors recognized the need to adapt the HTQ, the manner in which they proceeded to make changes to the original did not generally

follow the adaptation recommendations set by the developers of this measure (i.e., culturally adapting the instrument, using blind-back consensus approach to translation, pre-testing the adapted version). These observations are similar to the studies included in the RG of Study 1 and reflect the frequent findings that (a) the process of translating and cross-culturally validating instruments is usually not a priority in clinical research protocols (Sperber, 2004) and (b) simple forward or back translations without a consensus approach are common in clinical cross-cultural research (Maneesriwongul & Dixon, 2004).

Overall, the results of the meta-analyses suggest that there is some evidence supporting the convergent validity of the HTQ-16. Unfortunately, the same cannot be said for the convergent validity of the HTQ-30. Because of the limited number of convergent correlates found in the research literature for this scale, there was only one category (i.e., cumulative trauma) that had sufficient data to compute effect size statistics. However, even for the HTQ-16, the results of the publication bias analysis indicated that substantially more research is required before one can consider that evidence for this measure's convergent validity has been established.

According to Hemphill's (2003) *r*-value effect size benchmarks for psychology, all the convergent validity correlates for the HTQ-16 had large effect sizes (i.e.,  $r > .30$ ). The strongest association was with cultural expressions of trauma and the weakest was with cumulative trauma. Although there were only three studies included in the cultural expressions of trauma analyses, the relatively high mean correlation indicates that the cultural symptoms endorsed by the samples represented in these studies (i.e., Quechuan/Peruvian, Cambodian, and West Papuan survivors of civil war) are closely related to the DSM-IV (APA, 1994) PTSD symptoms presented in the HTQ-16. However, because of the low power of these results we cannot assume

that cultural expressions of trauma in other cultures are also closely related to PTSD as measured by this scale. On the other hand, the high mean correlations found with other posttraumatic stress measures and dissociation measures provide evidence of the convergent validity of the HTQ-16 across various cultures. Similar to other PTSD measures (e.g., Dermichyan, Goenjian, & Khachadourian, 2015; Ruggiero, Del Ben, Scotti, & Rabalais, 2003), “cumulative trauma” had low correlations for both HTQ symptom scales. Because of variability in the conditional risk of PTSD across cultures, measures of traumatic events may not provide an optimal convergent validity correlate. In addition, it appears that trauma subtypes, rather than cumulative trauma, may better predict mental health outcomes (Arnetz et al., 2014).

With regards to the discriminant validity properties of the HTQ symptom scales, the results of the VG analyses are not as encouraging. More specifically, the evidence of discriminant validity of the HTQ-30 was rather weak. The associations between these related constructs and the HTQ-30 were very strong, especially with depressive symptoms with a mean correlation of .78. However, these results are not entirely surprising considering that several items of the HTQ-30 are characteristic of symptoms of depression (e.g. “hopelessness”, “feeling guilty for having survived”). These findings suggest that the HTQ-30 may be a more general measure of psychological distress rather than a specific measure of PTSD symptoms.

In terms of the HTQ-16, all discriminant correlates of this scale had large effect sizes, according to Hemphill’s (2003) benchmarks. Although it is expected that the HTQ-16 would have a certain degree of association with measures of depression and anxiety due to high rates of comorbidity and symptom overlap, the associations between these constructs and the HTQ-16 were quite strong (i.e., mean  $r > .60$ ). However, these results appear to be comparable to other commonly used DSM-IV correspondent measures of PTSD, such as the Posttraumatic

Diagnostic Scale (PDS; Foa, Cashman, Jaycox, & Perry, 1997) and the PTSD Checklist-Civilian version (PCL-C; Weathers, Litz, Herman, Huska, & Keane, 1993). These instruments have shown variable performances in terms of discriminant validity in separate single studies, with some showing weaker associations than the HTQ-16 with constructs such as depression and anxiety (e.g., Adkins, Weathers, McDevitt-Murphy, & Daniels, 2008; Kornblith et al., 2003), and others showing very strong associations, especially with depression symptoms (i.e.  $r > .70$ ) (e.g., Carter-Visscher et al., 2010; Foa et al., 1997). However, until meta-analytic investigations are also conducted with these instruments, it is difficult to assess whether the discriminant validity properties of the HTQ-16 are indeed statistically comparable.

Nevertheless, questions about the discriminant validity of PTSD measures have been raised for many instruments, as PTSD measures have been found to be highly correlated with measures of anxiety and, especially, depression symptoms (e.g., Foa et al., 1997). This pattern appears to be reflective of Miller, Fogler, Wolf, Kaloupek and Keane's (2008) model of psychiatric comorbidity that posits that PTSD shares more in common with depressive symptoms such as melancholy, apathy and rumination than it does with anxiety and avoidance. Consistent with this model, relatively weaker associations have been found between PTSD and alcohol abuse and psychopathy, than anxiety and depressive symptoms (e.g., Bovin et al., 2016), suggesting that these types of constructs may be more appropriate for examining the discriminant validity of a PTSD measure. Unfortunately, we were unable to examine this possibility, as there were so few reports in the literature of correlations between these specific constructs and the HTQ symptom scales.

In order to assess the overall construct validity of the HTQ-symptoms scales, it is important to consider the evidence of both the convergent and discriminant validity together.

Unfortunately, there was an insufficient amount of convergent validity correlates for the HTQ-30 to fully evaluate its construct validity. Although there are no specific benchmarks to evaluate and compare convergent and discriminant validity coefficients in meta-analysis, it is expected that similar constructs would have significantly higher correlations than would theoretically less related constructs (Campbell & Fiske, 1959). This is not the case for some correlates of the HTQ-16. For instance, the mean estimates for this scale with depressive symptom measures and other posttraumatic stress measures were almost identical, with considerable overlap among the confidence intervals for these two associations. The lowest mean estimates for the discriminant validity of the HTQ symptom scales were with somatization measures, but even here the values were relatively high. This strong association appears to be consistent with results from other research not involving the HTQ that have found high associations between PTSD and somatization (e.g., Beckham et al., 1998; North, Kawasaki, Spitznagel, & Hong, 2004). However, because other measures of DSM-IV PTSD have shown to have significantly higher correlations with other measures of posttraumatic symptoms (e.g. Ruggiero et al., 2003) than with measures of depression and anxiety symptoms, this calls into question the overall construct validity of the HTQ-16. Despite these findings, further data is needed before drawing definite conclusions, as the VG results in this study are not robust to publication bias.

### **Moderators**

The statistically significant  $Q$  and high  $I^2$  tests found with some of the mean validity estimates suggest that the variability among them is heterogeneous and is not due to sampling error alone. The high degree of variance that is caused by true heterogeneity of the mean estimates indicated that the examination of sample and methodological characteristics could help explain this variability.

Overall, the moderator analyses indicated that some study and sample characteristics had a moderating or predictive effect on both the HTQ symptom scales. In summary, cultural group, recruitment site, type of trauma, and whether the sample was a sample for which the HTQ was originally validated affected some of the convergent validity estimates (i.e. cumulative trauma and other posttraumatic stress measures). In terms of discriminant validity, age, gender, and cultural group played a role in the heterogeneity of the estimates for the somatization measures correlate category. Contrary to the hypotheses, neither having a sample comprised of forcibly displaced individuals nor the participants' country of origin's cultural orientation had a moderating effect on the convergent and discriminant validity of either scale.

Specifically regarding the HTQ-16, there were moderating effects when the scale was correlated with other posttraumatic stress measures and measures of somatization. More precisely, the convergent validity of the scale when correlated with other posttraumatic stress measures was higher with samples recruited in medical settings and samples that had experienced unintentional traumas. As for the discriminant validity of this scale when it is compared to somatization measures, lower estimates were obtained with older participants, samples with European backgrounds, and samples mostly comprised of men. These moderating effects appear to be consistent with other research that has shown that age, gender, and culture play a role in the development of PTSD (e.g., Ditlevsen & Elklit, 2010; Kessler et al., 1995; Kessler et al., 1999; Norris 1992; Pietrzak, Goldstein, Southwick, & Grant, 2011) and somatization (e.g., Kirmayer & Young, 1998; Leiknes, Finset, Moum, & Sandanger, 2007). Lower estimates were also found with samples of European background, meaning that the discriminant validity was weaker in non-European samples. Because of the high risk of publication bias and the low power of these moderating effects due to relatively small numbers

of studies included in the analyses, these results should be considered as preliminary; they do not indicate that there are significant limitations with the HTQ-16. However, further research is needed to make inferences regarding the convergent validity of the HTQ-16, especially in samples that have been recruited in community or mental health treatment settings, and with individuals who have experienced intentional traumas. Similarly, more research is needed to assess the discriminant validity of the HTQ-16 in younger samples, samples comprised mostly of women and with individuals of non-European backgrounds that had weaker results in this study.

As for the HTQ-30, moderator analyses could only be conducted with the cumulative trauma correlate and significant effects were found for this variable. The convergent validity estimates were higher in samples comprised of individuals of Asian backgrounds, in samples that were recruited in community settings, with individuals who had experienced intentional trauma, and with samples similar to those on which the HTQ was originally validated. These results are not surprising due to the fact that the 14 cultural items of the HTQ-30 were based on cultural expressions of trauma in Asian samples (specifically Indochinese populations) who had experienced intentional traumas such as war. These results further justify the importance of adapting the cultural items for the each specific culture. On the other hand, these estimates were high in samples recruited in community settings, whereas the original validation samples were recruited in mental health treatment settings. Once again, because of the risk of publication bias and low power of the moderator analyses, these findings should be interpreted with caution. However, researchers should note that it may be possible that the convergent validity of the HTQ-30 is compromised in non-Asian samples, samples that are recruited in clinical settings, samples that have experienced unintentional traumas, and samples for which the HTQ was not originally validated (i.e., non-Indochinese refugee populations).

### **Limitations of the Study**

Some of the limitations of this study stem from the cited reference search strategy, that may not have identified all of the studies and dissertations that have used the HTQ symptom scales. In addition, the coding process was challenging at times because the information reported in the studies was often vague and difficult to locate. More specifically for the VG, problems of inconsistent reporting and lack of data were evident when extracting validity coefficients from identified studies. For instance, correlation values and/or matrices were not always available, and supplementary analyses were sometimes required to calculate the bivariate associations. In many cases, authors reported conducting bivariate analyses but did not provide sufficient information to include these findings in the meta-analyses.

Another limitation of this study comes from the grouping of estimates into larger correlate categories. Although this procedure helped increase statistical power, the correlates included in these broad categories were somewhat dissimilar because of the wide variety of instruments that were used to measure them. For instance, there were several depression symptom instruments that were used to measure the correlates included in the broad category of “Depression symptoms measures,” such as the Indochinese versions of the HSCL-25 (Mollica et al., 1987) and the BDI-II (Beck et al., 1996). Thus, the correlate categories did not represent completely homogeneous constructs, which may have affected the results.

In addition, because the VG analyses included correlations, it is important to note that it was the ability of the HTQ symptom scales to assess posttraumatic symptom severity and not PTSD caseness that was evaluated. Future users of the HTQ in research settings are thus encouraged to evaluate the ability of the scale to diagnose PTSD by conducting receiver

operating characteristic (ROC) curve analyses, for instance, to establish appropriate cut-off scores for their specific sample.

### **Implications and Recommendations**

In conclusion, several important implications and recommendations stem from this study. First, consistent with established publication standards, authors are strongly encouraged to report relevant and sufficient information in the methods and results sections of their study. As the accuracy of meta-analyses is dependent on the primary studies on which they are based, missing information and data in primary studies ultimately has a negative impact on the quality of meta-analyses.

Furthermore, the results obtained from the VG analyses focused on discriminant validity raise doubts about the overall construct validity of HTQ symptom scales and the construct validity of PTSD as conceptualized in the DSM. The main concern was the high degree of similarity between the convergent and discriminant validity estimates across a wide range of disparate variables. Although some studies support the hypothesis that removing symptoms of other constructs theorized to overlap with PTSD, such as mood and other anxiety disorders, increases the validity of the PTSD construct (e.g., Elhai et al., 2015; Spitzer, First, & Wakefield, 2007), others have found that removing these symptoms does not reduce comorbidity with these other disorders (e.g., Grubaugh, Long, Elhai, Frueh, & Magruder, 2010).

Given that PTSD is highly comorbid and has several symptoms that overlap with depression and anxiety, convergent and discriminant measures of PTSD should perhaps be mapped on a continuum, rather than be considered as distinct categories. Westen and Rosenthal (2003) proposed methods of quantifying construct validity based on contrast analysis that provide effect sizes that indicate the degree to which an observed pattern of convergent-

discriminant correlations is similar to a theoretically based predicted pattern of correlations. These pattern-mapping approaches to the evaluation of construct validity are particularly relevant in the case of PTSD, where patterns of relationships with other constructs have been identified in the literature (e.g., Bovin et al., 2015; Miller et al., 2008). Aggregating the findings of these studies could help establish theoretically based patterns of relationships between PTSD and other constructs and serve as benchmarks to interpret the magnitude of observed correlations.

Because the theoretical conceptualization of PTSD and the understanding of its relation to other constructs are in continuous development, it is difficult to evaluate whether poor psychometric properties of an instrument are truly a function of the scale, or rather the PTSD construct. Nonetheless, the aggregate findings of the VG analyses, although preliminary, indicate that the convergent validity properties of the HTQ-16 are supported to some extent, and that this scale may perform questionably in regards to discriminant validity. The present results, combined with the findings of other studies that have evaluated the validity of the HTQ symptom scales (e.g., Rasmussen et al., 2015), raise some doubts upon the overall construct validity of this scale. In addition, the moderator analyses suggest that caution should be taken in designing future studies that include variables that had significant moderating effects (e.g., convergent validity estimates were higher in samples that experienced unintentional traumas and samples that were recruited in medical treatment settings). Furthermore, there is limited support for either the convergent or discriminant validity of the HTQ-30. Because of the mixed results for the HTQ-16 and the fact that the HTQ symptom scales are commonly considered as strong cross-cultural measures of trauma symptoms in the literature, considerably more work is needed to establish the validity of these scales.

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Figure 1

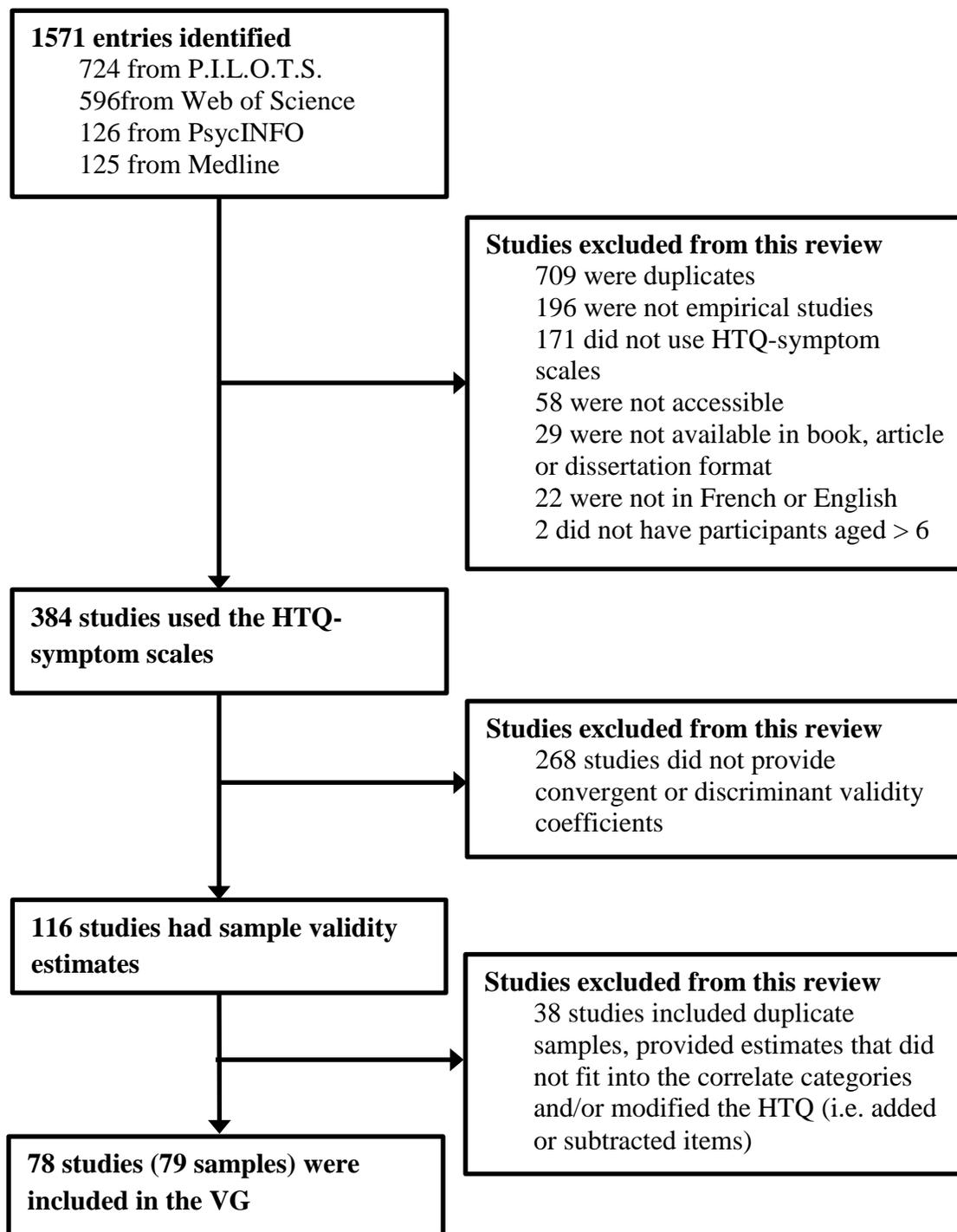
*Literature Search Flow Chart*

Table 1

*Descriptive Statistics for the Convergent Validity of the HTQ Symptom Scales*

Validity estimate	k	95% confidence interval			Min.	Max.	Q	I <sup>2</sup>	Orwin's N
		Mean r	Lower	Upper					
<i>Cumulative trauma</i>									
HTQ-16	43	.32	.26	.38	-.28	.90	546.53*	92.32	17
HTQ-30	12	.26	.17	.34	.04	.53	48.16*	77.16	1
<i>Posttraumatic stress measures</i>									
HTQ-16	11	.66	.52	.76	.24	.86	154.92*	93.55	41
<i>Cultural expressions of trauma</i>									
HTQ-16	3	.75	.59	.86	.55	.83	29.38*	93.19	15
<i>Dissociation Measures</i>									
HTQ-16	3	.53	.32	.70	.18	.69	33.46*	94.03	8

*Note.* Min. = Minimum correlation reported in the literature; Max. = Maximum correlation reported in the literature; *k* = number of samples included in the analyses; N/A = Insufficient number of samples to compute Orwin's N  
 \**p* < .001, \*\**p* < .01

Table 2

*Descriptive Statistics for the Discriminant Validity of the HTQ Symptom Scales*

Validity estimate	k	Mean <i>r</i>	95% confidence interval		Min.	Max.	<i>Q</i>	<i>F</i> <sup>2</sup>	Orwin's <i>N</i>
			Lower	Upper					
Depressive and anxiety symptoms measures									
HTQ-16	3	.80	.77	.83	.79	.86	1.73	0	15
HTQ-30	2	.79	.61	.89	.71	.85	12.84*	92.21	N/A
Anxiety symptoms measures									
HTQ-16	12	.62	.56	.68	.44	.78	61.47*	82.10	31
HTQ-30	2	.66	.16	.89	.43	.80	18.49*	94.60	N/A
Depression symptoms measures									
HTQ-16	21	.64	.57	.69	.16	.84	199.78*	90.00	58
HTQ-30	5	.78	.72	.82	.64	.82	9.02	55.67	23
Somatization measures									
HTQ-16	6	.43	.28	.56	.17	.62	47.11*	89.37	11
HTQ-30	2	.45	-.31	.86	.08	.72	37.24*	97.32	N/A

*Note.* Min. = Minimum correlation reported in the literature; Max. = Maximum correlation reported in the literature; *k* = number of samples included in the analyses; N/A = Insufficient number of samples to compute Orwin's *N*

\* $p < .001$

Table 3

*Summary of Moderating Effects for the Construct Validity of the HTQ Symptom Scales*

Moderator	Cumulative trauma		PTSD measures	Anxiety symptoms measures	Depressive symptoms measures	Somatization measures
	HTQ-16 <i>N</i> = 43	HTQ-30 <i>N</i> = 12	HTQ-16 <i>N</i> = 11	HTQ-16 <i>N</i> = 12	HTQ-16 <i>N</i> = 21	HTQ-16 <i>N</i> = 6
Age	No (34*)	No (10)	No (8)	No (10)	No (18)	Yes (5)
Gender	No (40)	No (12)	No (9)	No (12)	No (20)	Yes (6)
Cultural group	No (34)	Yes (9)	No (10)	No (11)	No (19)	Yes (5)
Cultural orientation	No (19)	No (5)	No (5)	No (4)	No (9)	N/A
Forcibly displaced sample	No (43)	No (12)	No (11)	No (11)	No (20)	No (5)
Recruitment site	No (41)	Yes (12)	Yes (10)	No (11)	No (20)	No (6)
Trauma type	No (39)	Yes (12)	Yes (11)	N/A	No (19)	N/A
Original sample	No (43)	Yes (12)	Yes (12)	No (12)	No (21)	N/A

*Note.* \*Total samples included in the moderator analyses are in parentheses; Yes = Significant moderating effect; No = No significant moderating effect; N/A = Insufficient information available to conduct moderator analyses

## **General Discussion**

The Harvard Trauma Questionnaire (HTQ; Mollica et al., 1992) is a measure that was intended to assess traumatic events and related symptoms of trauma across cultures. The HTQ has been found to be commonly used in the literature (Gagnon et al., 2004; Hollifield et al., 2002), and has been shown to be useful to exclude PTSD non-cases in certain forcibly displaced populations (Nakeyar & Frewen, 2016). This instrument also has a reputation of being a culturally sensitive measure of PTSD symptoms (Keane et al., 2008). However, to date, there has been no thorough review that supports this reputation and evaluates the overall psychometric strength of the HTQ symptom scales as measures of PTSD across cultures. This dissertation was designed to fill this gap by: (a) gathering descriptive information regarding the use of the HTQ symptom scales in the empirical literature, (b) evaluating the reliability properties of this measure by calculating mean internal consistency estimates, (c) evaluating whether the HTQ symptom scales have produced valid scores across studies found in the literature by calculating mean construct validity estimates, and (d) examining sample and methodological characteristics that may influence the reliability and construct validity of scores produced by these scales. The following sections include a summary of both meta-analytic studies included in this dissertation, as well as the implications and recommendations stemming from their findings while taking into account potential limitations.

### **The Psychometric Properties of the HTQ**

The literature search of studies that used the HTQ symptom scales in Study 1 shows no signs of a decline in the frequency of use of the scales. Researchers across the globe have used the HTQ to assess posttraumatic stress symptoms in refugee and nonrefugees, clinical and nonclinical populations, and across a wide range of cultural and ethnic backgrounds. Researchers

have also made attempts at adapting the HTQ for their specific sample. However, in the majority of studies, the reported adaptation procedures they used did not follow the translation and cultural adaptation guidelines provided by the original developers, nor did they follow commonly known adaptation recommendations (e.g., International Test Commission, 2005). Although the current study could not evaluate the efficacy of following these adaptation procedures, several studies offer support for the use of carefully implemented adaptation strategies in cross-cultural assessment to help minimize bias (e.g. Dolnicar & Grün, 2007, Harzing, 2006). Furthermore, those who used the HTQ-30 used the Indochinese version of the scale. This scale was based on the cultural expressions of trauma among Cambodian, Lao and Vietnamese refugees that may not be appropriate for individuals of other cultures. Although some researchers had translated the cultural items for use in their studies (e.g., Vloeberghs, van der Kwaak, Knipscheer, & ven den Muijsenbergh, 2012), the sense or meaning of the cultural items remained the same, even when participants did not have Cambodian, Lao, or Vietnamese backgrounds. However, because there was no opportunity to evaluate the 14 cultural items of the HTQ-30 due to insufficient data, it is difficult to determine if the inclusion of these items enhances the use of the HTQ across cultures.

To assess the reliability properties of the HTQ symptom scales, I conducted reliability generalization analyses on Cronbach's alpha coefficients in Study 1. These coefficients were retrieved from the published literature and unpublished dissertations, or were provided by authors after requesting this information. There was sufficient data to conduct RG analyses for the HTQ-16, HTQ-30, and the re-experiencing, avoidance and arousal subscales. Publication bias analyses revealed that all RG results were likely to be unaffected by this form of bias. Taking into account the results of the Spearman-Brown formula, the HTQ-16 and HTQ-30 had

comparable mean coefficients that would be described as “excellent” (i.e.,  $\geq .90$ ) according to Hunsley and Mash’s (2008) guidelines. Although both had excellent ratings, the addition of the cultural expressions of trauma items did not add value to the HTQ-16 in terms of increasing internal consistency. Regarding the subscales of the HTQ-16, the Spearman-Brown formula was used to compare the subscales with each other. The re-experiencing and arousal subscales had a rating of “good” (i.e., mean values in the .80-.89 range) and the avoidance/numbing subscale a rating of “adequate” (i.e., mean values in the .70-.79 range). In summary, the RG analyses revealed that the reliability properties of the HTQ-30, HTQ-16, and the re-experiencing and arousal subscales are strong. However, the reliability properties of the avoidance/numbing subscale are weaker. The lower level of reliability for this subscale may be reflective of an inadequate set of items chosen to represent avoidance/numbing symptoms, or even the poor factorial validity of the DSM-IV 3-factor model of PTSD (e.g. Yufik & Simms, 2010). Another potential cause for the suboptimal performance of the numbing subscale may be the inconsistent presence of avoidance and numbing symptoms across cultures (Marsella, Friedman, Gerrity, & Scurfield, 1996; Norris, Van Landingham, & Vu, 2009). This issue was highlighted throughout the revision process for the new DSM-5 criteria for PTSD (Hinton & Lewis-Fernández, 2010), during which it was decided to separate the avoidance and numbing symptoms into separate clusters. To date, there is no updated HTQ available that reflects the changes made to the PTSD construct in DSM-5. If these changes were made, perhaps the reliability of the subscales would improve. Therefore in terms of reliability properties, the two HTQ symptom scales as a whole appear to be strong, however some caution should be used when interpreting the subscales.

To assess the construct validity of the HTQ symptom scales in Study 2, I conducted validity generalization analyses on convergent and discriminant validity coefficients retrieved

from the published literature and unpublished dissertations. The coefficients were grouped according to broader correlate categories. The convergent validity correlate categories were: (a) cumulative trauma; (b) other posttraumatic stress measures; (c) cultural expressions of trauma; and (d) dissociation measures. The discriminant validity categories were: (a) depression and anxiety symptoms measures; (b) anxiety symptoms measures; (c) depression symptoms measures; and (d) somatization measures. Publication bias analyses revealed that the overall VG findings should be only considered as preliminary until additional data are available.

Based on Hemphill's (2003) *r*-value effect-size benchmarks, there was strong evidence for the convergent validity of the HTQ-16, as all correlates had large effect sizes (i.e., > .30). The strongest association was with cultural expressions of trauma and the weakest with cumulative trauma. The mean correlation between the HTQ-30 and cumulative trauma was considered a medium effect size (i.e.,  $r = .26$ ). There were insufficient data to compute VG estimates for the other convergent correlate categories for the HTQ-30. Similar to other PTSD measures (e.g., Dermichyan, Goenjian, & Khachadourian, 2015; Ruggiero, Del Ben, Scotti, & Rabalais, 2003), the cumulative trauma category demonstrated the lowest convergent validity for the HTQ-16 and only had a medium effect for the HTQ-30. Because of variability in the conditional risk of PTSD across cultures, traumatic events may not be an ideal convergent validity correlate. In addition, it appears that trauma subtypes can predict mental health outcomes better than cumulative trauma (Arnetz et al., 2014), which could be a reason for the weaker association with PTSD. Because of these reasons, future validation studies of PTSD measures should refrain from using this variable as a convergent validity correlate.

The results of Study 2 call into question the overall discriminant validity properties of the HTQ symptom scales. Based on Hemphill's (2003) guidelines, all discriminant correlates of both

scales had large effect sizes. Similar to other PTSD measures (e.g., Bovin et al., 2016; Dermichyan et al., 2015; Lauterbach, Vrana, King, & King, 1997), the associations between the HTQ symptom scales and depression and anxiety symptom measures were rather strong (i.e., > .60). Although large correlations were expected due to the high rates of comorbidity and symptom overlap with depression and anxiety, PTSD measures should have significantly higher correlations with similar constructs than with theoretically less related constructs. This was not the case for the HTQ-16 where some mean discriminant validity coefficients were comparable in size to convergent validity coefficients. Furthermore, although somatization measures had lower mean correlations with both HTQ symptom scales, they were still relatively high. This strong association is consistent with the results of other studies showing high associations between PTSD and somatization (e.g., Beckham et al., 1998; North, Kawasaki, Spitznagel, & Hong, 2004). The present results, combined with the findings of other studies that have evaluated the validity of the HTQ symptom scales (e.g., Rasmussen et al., 2015), indicate that the construct validity of both scales appears to be “adequate,” based on Hunsley and Mash’s (2008) criteria for psychometric ratings. In other words, there is some independently replicated evidence of construct validity for the scales.

In summary, the findings of the RG and VG analyses indicate that the HTQ-16 is likely to produce reliable scores across various types of samples. This scale also has some evidence of convergent validity, but performs rather poorly with regards to discriminant validity. In terms of the subscales of the HTQ-16, the reliability properties of the re-experiencing and arousal subscales were good, but the avoidance/numbing subscale was only considered adequate. With regard to the HTQ-30, it is important to note that the majority of studies that used this scale did not report culturally adapting the HTQ for their specific sample. These studies thus applied the

Indochinese version of the questionnaire to non-Indochinese groups. Although the cultural items that are based on clinical findings among Indochinese populations provided reliable scores across various populations, the ability to discriminate from measures of anxiety and depression symptoms was weak. There was insufficient information to evaluate the overall convergent validity, which prevented from fully assessing the construct validity of this scale.

### **The Role of Moderators**

The majority of mean reliability and validity coefficients calculated from the RG and VG analyses had statistically significant  $Q$  and high  $I^2$  tests. These results indicated that these estimates had heterogeneous variability, which was not due to sampling error alone, and that the examination of sample and methodological characteristics could perhaps explain this variability. Meta-regression and subgroup analyses were thus performed on reliability and validity coefficients that had a statistically significant  $Q$ -statistic and sufficient amount of available data. There were a total of eight variables that were selected as potential moderators, and seven of these variables played a moderating role with the reliability and/or validity estimates. These included age, gender, cultural group, cultural orientation, recruitment site, trauma type and whether the study sample was a sample for which the HTQ was originally validated.

Having the experience of being a forcibly displaced person did not have a significant moderating effect, suggesting that the HTQ symptom scales and subscales should perform rather equally in terms of psychometric properties in both forcibly displaced samples and not forcibly displaced samples. This is somewhat surprising given that the HTQ symptom scales were originally validated for forcibly displaced samples (i.e., refugees). However, there may be underlying factors that account for the lack of a moderating effect. For instance, studies have found that several elements may a role in the association between the experience of being

forcibly displaced and psychological distress, such as pre-migratory potentially traumatic events and post-migration stressors (e.g. Laban, Gernaat, Komproe, Van, & de Jong, 2005; Schwarz-Nielsen & Elklit, 2009), as well as the length of stay in the host country/area (Guajardo, Slewa-Younan, Smith, Eagar, & Stone, 2016). Because the forcibly displaced samples included in the current analyses varied greatly with regards to these factors, the heterogeneous characteristic of these samples may explain the lack of a moderating effect of this variable.

In terms of significant moderating effects, the mean age of samples played a role in the discriminant validity of the HTQ-16, specifically with the associations between this scale and somatization measures. Because higher associations were found with lower mean ages of study samples, it appears that the discriminant validity of the HTQ-16 may be compromised when it is compared to somatization measures in younger age groups. This moderating effect appears to support other findings that have shown significant associations between age and PTSD (e.g., Kessler et al., 1995; Norris 1992), as well as age and somatization (e.g., Leiknes, Finset, Moum, & Sandanger, 2007).

Gender also played a moderating role with some reliability and discriminant validity estimates. Specifically, study samples comprised of mainly female participants had higher reliability estimates on the re-experiencing subscale than did samples comprised mainly of male participants, as well as with the associations between the HTQ-16 and somatization measures. This is seemingly consistent with the findings of other studies that have shown gender differences in somatization (e.g., Leiknes et al., 2007) and PTSD (e.g., Ditlevsen & Elklit, 2010; Pietrzak et al., 2011), including re-experiencing symptoms specifically (Vassar, Knaup, Hale, & Hale, 2011). These results suggest that the discriminant validity of the HTQ-16 and the reliability of the re-experiencing subscale may be weaker in male samples.

Although it was expected that the cultural group would have a significant moderating effect on all of the dimensions of reliability and construct validity examined, this variable only had an impact on some aspects. Specifically, the cultural group had a predictive effect on the reliability of scores on the re-experiencing subscale, the convergent validity of the HTQ-30 (i.e., association with cumulative trauma) and the discriminant validity of the HTQ-16 (i.e., association with somatization measures). These findings support the existing evidence of cultural and ethnic variations in PTSD symptomatology (e.g., Kessler et al., 1999), exposure to traumatic events (e.g., Roberts, Gilman, Breslau, Breslau, & Koenen, 2011) and somatization (e.g., Kirmayer & Young, 1998).

As an extension to this, the cultural background of the samples' country in terms of the construct of collectivism versus individualism played a moderating role in the reliability of scores of the HTQ. Specifically, the reliability coefficient of the avoidance/numbing subscale for samples from individualist countries was significantly higher than the typical estimate for samples from collectivist countries. This may be reflective of lower rates of avoidance/numbing symptoms reported in collectivist cultures (e.g., McCall & Resick, 2003). These results also support Jayawickreme, Jayawickreme, and Foa's (2012) hypothesis that individuals from collectivist cultures may have less avoidant behaviours because of social roles and obligations that require them to consistently engage with others and their environment. This variable however, did not have a significant impact on the validity coefficients. Given the small amount of empirical evidence to date on this matter, it is clear that further research is needed to make inferences regarding the role of cultural orientation on posttraumatic symptoms.

Similar to the cultural group variable, the type of trauma experienced (i.e., intentional versus unintentional) played a role in both the reliability and construct validity of the HTQ. The

reliability of scores produced by the re-experiencing subscale was stronger for “intentional traumas.” Furthermore, the experience of “intentional traumas” was also associated with stronger convergent validity properties of the HTQ-30. As intentional traumas are considered to be more severe than non-intentional traumas, these results may indicate that the internal consistency of re-experiencing symptoms and the convergent validity of the HTQ-30 are associated with trauma severity. On the other hand, conflicting results were obtained for the HTQ-16 that had stronger convergent validity properties with “unintentional traumas.” These results indicate that further research is needed regarding the role of trauma types and trauma severity to further test these interpretations.

The sites from which the samples were recruited had a significant effect on the construct validity of the HTQ symptom scales. The convergent validity of the HTQ-30 when associated with cumulative trauma was stronger for samples recruited in community settings. In addition, the convergent validity of the HTQ-16 when associated with other posttraumatic stress measures was stronger for samples recruited in medical treatment settings. Although the underlying factors contributing to these differences are unclear, these findings provide further support regarding the questionable generalizability of the scales due to variability in the sensitivity across recruitment settings (e.g., Mollica et al. 1992; Silove et al., 2007). Further studies are needed to clarify these findings.

Finally, being a sample for which the HTQ was originally validated had a positive effect on the association between cumulative trauma and the HTQ-30. These results provide some (although rather limited) support for the convergent validity of this scale in Indochinese refugee populations.

In summary, no moderators played a role in the overall reliability of scores of the HTQ-16 and HTQ-30. This finding suggests that these scales perform relatively consistently across various sample type and methodological procedures. The scales did differ, however, in terms of moderators for the construct validity. Because of the high risk of publication bias and the low power of these moderating effects due to relatively small numbers of studies included in the analyses, these results do not indicate that there are significant limitations with either HTQ symptom scale and should only be considered as preliminary findings.

### **Limitations of the Studies**

There are several limitations to these studies. As highlighted in both studies, the cited reference search strategy used to identify published studies may not have identified all published studies and unpublished dissertations that have used the HTQ symptom scales. In addition, this strategy could not identify studies, other than dissertations, that have used this measure but are unpublished. This is important to bear in mind given that the publication bias analyses for the VG indicated that few studies would be needed to significantly lower the mean coefficients reported in the analyses. Thus, the findings of the VG in particular should be considered as preliminary until considerably more construct validity data is available on the HTQ scales.

For the studies identified with the search strategy, data from many were not included in the RG and VG analyses because reliability and validity estimates were either not reported in the primary studies or, as in the case for the VG, authors reported conducting bivariate analyses but did not provide sufficient information to include these findings in the meta-analyses. Although some authors provided a coefficient alpha after being contacted, there were still many missing reliability estimates that could have been included in the RG.

Furthermore, the coding process used to extract information for the analyses was challenging at times given that the information reported in the studies was often vague, challenging to interpret, and difficult to locate. A large amount of the information coded was retrieved in the methods sections where the adaptation and administration procedures of the HTQ would normally be described. However, there was often a lack of sufficient detail reported on these procedures. It is therefore possible that the information reported in these studies did not fully represent the actual methodological procedures, including cross-cultural adaptations that were used in the research. Indeed, the lack of details in the reporting of adaptation methods appears to be a common theme in the published literature (Maneesriwongul & Dixon, 2004). These problems continue despite reporting standards for research that been put in place, in part, to ensure the quality of research synthesis and meta-analysis (Appelbaum, Cooper, Maxwell, Stone, & Sher, 2008).

Another possible limitation lies in the merging of estimates of potential moderators and VG correlates into larger categories. Although this procedure helped increase statistical power, there may have been an important loss of information, and perhaps less accurate results. For instance, combining individual cultural groups into a broader category (e.g., combining Cambodian and Tibetan samples into the Asian category) considers these groups as homogenous when in fact there can be important differences across subgroups. This procedure thus reduces the generalizability of the findings. Moreover, the merging of VG estimates into broad correlate categories involved combining estimates provided by several different instruments that may not have been measuring the same underlying construct. For instance, there were several instruments, such as the Indochinese versions of the Hopkins Symptom Checklist-25 (Mollica et al., 1987) and the Beck Depression Inventory-II (Beck, Steer, & Brown, 1996) that were

included in the broad category of “depression symptoms measures.” One way of ensuring that these instruments were indeed measuring the same construct was to evaluate the psychometric properties of each instrument. However, because of the numerous measures included, the psychometric evaluation of each of them was not feasible.

Furthermore, only 20% of the studies included in each of the RG and VG analyses were coded by a second coder. Although the interrater reliability was rated as “good” for both studies, a higher percentage of studies coded by the second coder may have increased the accuracy of the overall RG and VG results.

Finally, the decision to include samples consisting of children and adolescents by using a lower age limit of 7 years may not have been the most judicious. The variations in the symptom expression of PTSD due to developmental factors, especially in school-aged children (e.g. Cohen & the Work Group on Quality Issues, 1998), could have impacted the accuracy of the results. However, there was only one sample in the RG and two samples in the VG that included children as young as 9 years. Although approximately 23% of the RG samples and 20% of the VG samples included adolescents, PTSD symptoms during this developmental stage are more likely to be similar to symptoms experienced in adulthood (Cohen & the Work Group on Quality Issues, 1998). Because there were only a few studies that included younger children, the possible negative impact on the overall meta-analytic results is likely to be minimal.

### **Implications**

In addition to the information regarding the psychometric properties of the HTQ symptom scales, several other important implications stem from the current studies. For instance, the findings of both studies add fuel to the debate regarding etic versus emic approaches for the assessment of PTSD. The findings revealed that an etic approach to assessment across cultures

can be justified given that the HTQ-16 produced reliable scores and had sound convergent validity properties across diverse samples. In addition, the variability in the reliability of scores among the subscales (i.e., re-experiencing, avoidance/numbing, arousal symptoms) indicates that the etic approach to the assessment of PTSD can help capture the cultural nuances in the expression of symptom sets when the same measure is used cross-culturally. On the other hand, the practice of incorporating an emic perspective to the etic approach is also supported, as the HTQ-30 had excellent reliability properties as well as some evidence of convergent validity (especially in samples similar to those on which the HTQ was originally validated). Although there were no data available to compare strictly emic approaches to assessment, the findings of the current studies suggest that there is no right or wrong answer to this debate, and that each approach can be justified to be used for specific sets of purposes. For instance, the etic approach may be more useful to evaluate cross-cultural differences in research settings, whereas an emic approach would be more appropriate to help better understand the expressions of trauma in a certain cultural group. A combination of emic and etic approaches to the assessment of PTSD would be particularly useful in clinical settings. The etic approach could help guide clinicians in establishing a diagnosis comparing symptom patterns to normative data, whereas the information provided by emic approaches could help tailor treatment interventions according to the specific needs of a client.

Furthermore, the results obtained from the VG analyses focused on discriminant validity raise doubts about the overall construct validity of HTQ symptom scales and the construct validity of PTSD as conceptualized in the DSM-IV (APA, 1994). The main concern was the high degree of similarity between the convergent and discriminant validity estimates across a wide range of disparate variables. Although some studies support the hypothesis that removing

symptoms of other constructs theorized to overlap with PTSD, such as mood and other anxiety disorders, increases the validity of the PTSD construct (e.g., Elhai et al., 2015; Spitzer, First, & Wakefield, 2007), others have found that removing these symptoms does not reduce comorbidity with these other disorders (e.g., Grubaugh, Long, Elhai, Frueh, & Magruder, 2010). In attempts to find a solution to this debate, some researchers have gone further and suggested that taking a dimensional approach to PTSD assessment is more appropriate than conceptualizing the disorder into a category (e.g., Antony & Rowa, 2005). For instance, cluster and factor analytic studies have found interesting interactions between PTSD and the internalizing and externalizing dimensions to psychopathology (Cox, Clara, & Enns, 2002; Miller, Fogler, Wolf, Kaloupek, & Keane, 2008). Clearly, more research is needed to resolve this key validity issue for both the construct of PTSD and measures of PTSD across diverse populations.

Although the HTQ symptom scales were based on DSM-IV PTSD criteria, the findings of the current studies also have some implications for the PTSD construct as conceptualized in DSM-5. This most recent version includes an even broader set of symptoms with an addition of three symptoms that were not included in DSM-IV and an increased emphasis on depressive mood and cognition (Friedman, 2013). Because the current findings question the DSM-IV PTSD construct due to a lack of ability to discriminate PTSD symptoms from symptoms of depression and other anxiety disorders, it is possible that an increase in dysphoria symptoms in the DSM-5 would render the new conceptualization of PTSD even more questionable. Recent studies that have used DSM-5 based measures suggest that the dysphoria symptoms of PTSD may be the underlying reason for the observed high level of comorbidity with major depressive disorder (Contractor et al., 2014; Elhai et al., 2015). However, these studies were conducted with North American samples and it is therefore not appropriate to generalize these findings to other

cultures. On the other hand, one study comparing the DSM-IV and DSM-5 conceptualization of PTSD found no significant differences in comorbidity rates with major depressive episode (O'Donnell et al., 2014) revealing no great impact of the addition of mood related items to PTSD in the DSM-5. This study would also need to be replicated across diverse cultural groups to evaluate the generalizability of these findings. Also, because of the limitations of Study 2 (i.e., the potential for publication bias, low power), these interpretations should be considered tentative. Nevertheless, the findings of the current studies further justify the need for a deeper investigation into the cross-cultural applicability of the PTSD construct and of PTSD measures.

### **Recommendations for Researchers**

Several important recommendations for researchers stem from this study. First, consistent with established publication standards, authors are encouraged to report relevant and sufficient information in the methods and results sections of their research publications. The inconsistent and vague reporting of adaptation procedures, insufficient information to compute validity coefficients, and low rate in the reporting of reliability estimates are indications that more awareness is needed with regards to reporting guidelines for assessment instruments and assessment research. As the accuracy of meta-analyses is dependent on the primary studies on which they are based, missing information and data in primary studies ultimately has a negative impact on the quality of meta-analyses. Researchers are thus encouraged to follow established reporting guidelines such as the journal article reporting standards (JARS) when writing manuscripts. Cooper (2011) has provided a practical guide on understanding and implementing these standards. Although researchers may be aware of these standards, editorial policies can prevent them from following these standards by limiting the amount of information allowed in manuscripts. If there are space limitations, editors are encouraged to follow the example of some

journals, such as the journals of the American Psychological Association, that provide external links to websites where supplementary information can be viewed (American Psychological Association Publications and Communications Board Working Group on Journal Article Reporting Standards, 2008). In light of the challenges in the data retrieval of the current meta-analyses, researchers are particularly encouraged to provide the reliability estimates of their sample, detailed methodological procedures (including instrument adaptation procedures, if applicable), and correlation matrices. The reporting of this information is important not only for meta-analytic purposes, but also for helping readers to evaluate the research and to decide whether a measure is appropriate for their specific use.

The current studies also highlighted significant problems in the utilization practices of the HTQ symptom scales among researchers. Users of the HTQ (and any other assessment instrument, for that matter) must be vigilant of the potential sources of bias, especially in samples of different cultural backgrounds for which the original version of the measure was intended to be used, and carefully address bias by ensuring measurement equivalency. This includes evaluating whether an instrument can be applicable to a specific culture and if necessary, using appropriate translation and cultural adaptation strategies. Although there is a lack of consensus regarding the specific adaptation procedures to be used (Epstein et al., 2015), all experts in cross-cultural measurement agree that the adaptation process goes beyond a mere translation. Common recommendations include using a consensus approach to translation, using focus groups and validating the adaptation (Epstein et al., 2015). Cross-cultural validation strategies can include multi-group confirmatory analyses and differential item functioning (Milfont & Fischer, 2010). Although the factorial validity of the HTQ was not evaluated in the current studies, the variable reliability properties of the HTQ-16 subscales, further support the





Doss, 2015). However useful these strategies are, EBA is in continuous development and is not without its struggles.

The current studies highlighted challenges in the development of EBA described by Hunsley and Mash (2007). These difficulties included: (a) defining psychometric adequacy; (b) addressing comorbidity; and (c) addressing diversity. The challenges in defining psychometric adequacy were particularly evident in Study 2 where the absence of benchmarks for validity coefficients made it difficult to fully evaluate the construct validity of the HTQ symptom scales. Further research is thus needed to establish more specific and quantitative guidelines related to the evaluation of convergent and discriminant validity. The difficulties in addressing comorbidity were also highlighted in Study 2 where the ability of the HTQ symptom scales to discriminate PTSD from other constructs, such as mood and anxiety disorders, was compromised. This issue has been an ongoing debate in the literature where some researchers argue that a dimensional or transdiagnostic approach to PTSD diagnosis may be more appropriate than conceptualizing the disorder as a discrete category (e.g., Elhai et al., 2015). Because there is evidence for both arguments, further data are needed to address the issue. Finally, addressing diversity was the most salient challenge of the three and was also the connecting thread throughout this dissertation. For instance, questions regarding the cross-cultural applicability of the HTQ trauma symptom scales (and consequently the cross-cultural applicability of the PTSD construct) arose as significant cultural variations were found in both the reliability and validity properties of the scales. Other factors pertaining to diversity such as age and gender also had moderating effects in both studies.

Despite the need for further investigation into the psychometric properties of the HTQ symptom scales, the current dissertation has shed some light on the overall psychometric strength

of this measure. Researchers and clinicians can use the information provided in both studies to help them decide whether to use this instrument for the assessment of PTSD with a specific client or sample. Potential users of the HTQ are also encouraged to complement their knowledge base with EBA guidelines to establish whether the HTQ is appropriate as is, or needs to be adapted. If an adaptation is required, researchers need to refer to best practice cross-cultural adaptation guidelines to help them in this process. In the end, following established EBA guidelines while using the HTQ will not only help maximize the accuracy of the assessment process, but also lead towards the ultimate goal of providing the best (and most deserving) care for survivors of trauma.

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9) *Country of study*: Indicate each country if there are multiple. Code “various” if there are too many to code (i.e., more than five).

10) *Recruitment setting*: Indicate whether the sample was recruited in a mental health setting (also includes psychiatric treatment and counselling), medical treatment setting (e.g., hospital, primary care setting), community setting, or mixed sampling procedure.

11) *Trauma category*: Indicate which of the following traumatic events category best fits the trauma experienced by the sample (select as many as necessary): (a) war, combat or terrorism (e.g., war, civil conflict, political instability, political violence, ethnic persecution, human rights violations); (b) torture (i.e., sample was described as torture survivors [including political prisoners] – select only this category even if the sample also experienced war or combat); (c) human trafficking (e.g., sex trafficking, trafficking for labour exploitation); (d) sexual assault (e.g., sexual assault, rape, childhood sexual abuse, sexual violence); (e) nonsexual assault (e.g., robbery, crime victim, physical assault); (f) personal illness or injury (i.e., participants had an illness [e.g., cancer] or injury [e.g., whiplash from car accident]); (g) witnessing death or injury (e.g., witnessing a stabbing, witnessing a murder); (h) death, injury or illness of loved one; (i) man-made disaster or fire (e.g., explosions, house fire); (j) natural disasters (i.e., disasters from nature [e.g., tsunami, earthquake]); (k) various (i.e., participants experienced a range of traumas [e.g., probability surveys]; (l) none (e.g., control group with no prior history of trauma). Code “N/R” if the type of trauma is not indicated.

12) *Forcibly displaced persons*: Code whether the sample consisted of participants that were forcibly displaced (e.g., refugees, asylum seekers, internally displaced) at any point in time (i.e., participants do not need to be forcibly displaced at the time of study).

*Description of the HTQ administration and adaptation procedures*

13) *Language of administration of the Harvard Trauma Questionnaire (HTQ)*: Indicate the language that the HTQ was administered in, not the language of the paper version of the HTQ (e.g., the English paper version of the HTQ was used, but was administered orally in Urdu through the use of an interpreter, code “Urdu”). Code each language that the instrument was administered in. If there were more than five languages, code “Various”.

14) *Original or adapted HTQ*: Code whether the HTQ was used as is, or translated and/or culturally adapted in any way. If there was no mention of this, but it was obvious that there would have been an adaptation (i.e., different number of items, or they administered the HTQ to individuals who didn’t speak the language of one of the original versions) select “adaptation”.

15) *Was the sample of the study a sample for which the HTQ was originally validated?* Original samples include: Vietnamese, Cambodian (Khmer), Lao, Arabic (Iraqi) and Russian-speaking refugees, Bosnian, Kurdish (Iraqi), Peruvian or Spanish (Peruvian) civilian survivors of war, Croatian combat soldiers, and Japanese earthquake survivors.

16) *Would an adaptation have been required for the sample?* In some cases, an adaptation is not explicitly described but it is obvious that an adaptation would have been needed. For example, the HTQ was administered to a Swedish-speaking sample, but no Swedish version of the HTQ has been approved by the developers. Furthermore, in some instances a cultural adaptation (or at least a cultural verification with a pre-test) would have been needed even if the language of the original HTQ was spoken by the population. For example, a sample of Liberian English speaking political prisoners completed an English version of the HTQ.

17) *Type of adaptation procedure described*: Only code what was *reported* by the authors.

18) *Authors stated they followed the guidelines recommended by the developers of the HTQ?* Only code what was *reported* by the authors.

19) *Authors stated they followed guidelines developed by experts in translation/adaptation of instruments?* Only code what was *reported* by the authors.

20) *Translation procedure used:* Only select one of the following: (a) oral translation (i.e., the HTQ was orally translated by the administrator or interpreters during the interviews); (b) forward translation (code this when they simply say “was translated” or described as a one-way, simple translation), (c) back translation (when translation was then translated back into source language), or (d) blind back translation (the back translation was done by someone who did not see the source document).

21) *Did the authors report that a committee approach used during adaptation?* Code “yes” if there is mention of a consensus done among a group of people regarding the final version of the adaptation (2 or more people).

22) *Did the authors report that there was a cultural adaptation of the HTQ?* Code “yes” if any there is any evidence of this. Code “no” if they didn’t report anything about verifying cultural differences or culturally adapting.

23) *Did the authors report that the adapted HTQ was pre-tested?* Code “yes” if there is any evidence of a pre-test of the translation and/or cultural adaptation. Code “no” otherwise.

24) *Administration of the HTQ was done by\_\_\_:* Indicate whether the administration of the HTQ was done by: (a) self-report; (b) nonclinicians (e.g. lay interviewers, teachers); or (c) clinicians (including research assistants/students in clinical psychology, psychiatry residents). If the administration procedures are not clearly specified, code “N/R”.

*Cronbach’s alpha values*

25-31) *Cronbach’s alpha values:* Note the exact value reported by authors. Code “N/R” if the value was not reported.

Construct validity coefficients

32) *Version of HTQ symptom scale used:* Select the version of the HTQ that was used to calculate the validity coefficients.

33) *Correlate:* Select the construct that best described the variable being measured

34) *Measure/Variable:* Indicate which measure or variable the HTQ was compared to in the bivariate analysis

35) *Format & values:* Provide the relevant values needed to compute a correlation.

36) *Other validity coefficients:* If there are more than one validity coefficient, please input information in this section according to the various subheadings.

**Appendix B**

Reliability and Validity Generalizations Coding Sheet

### Reliability and Validity Generalizations Coding Sheet

<b>Identification information</b>		
1. Document identifier:	2. Sample of study:	
<b>Sample and sampling characteristics</b>		
3. Sample size:	4. Age (mean):	5. Gender (% males):
6. Culture/ethnicity of sample:	7. Country of origin (COO) of sample:	
8. Cultural orientation of COO ( ): <input type="checkbox"/> Individualist <input type="checkbox"/> Collectivist <input type="checkbox"/> Information unavailable	9. Country of study (COS):	
10. Recruitment setting ( ): <input type="checkbox"/> mental health services <input type="checkbox"/> medical treatment <input type="checkbox"/> community <input type="checkbox"/> mixed <input type="checkbox"/> not reported (N/R)	11. Trauma category ( ): <input type="checkbox"/> war, combat or terrorism <input type="checkbox"/> torture <input type="checkbox"/> human trafficking <input type="checkbox"/> sexual assault <input type="checkbox"/> nonsexual assault <input type="checkbox"/> personal illness or injury <input type="checkbox"/> witnessing death or injury <input type="checkbox"/> death, injury or illness of loved one <input type="checkbox"/> man-made disaster or fire <input type="checkbox"/> natural disaster <input type="checkbox"/> various <input type="checkbox"/> other (specify: _____) <input type="checkbox"/> none <input type="checkbox"/> N/R	
12. Forcibly displaced persons (FDP) ( )? <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> some <input type="checkbox"/> N/R		

<b>Description of the HTQ administration and adaptation procedures</b>	
<p>13. Language(s) of administration of HTQ:</p>	<p>14. Original or adapted HTQ ( )?</p> <p><input type="checkbox"/> original  <input type="checkbox"/> adaptation  <input type="checkbox"/> N/R</p>
<p>15. Was the sample of the study a sample for which the HTQ was originally validated? ( )</p> <p><input type="checkbox"/> yes  <input type="checkbox"/> no</p>	<p>16. Would an adaptation/cultural verification have been required for the sample? ( )</p> <p><input type="checkbox"/> yes  <input type="checkbox"/> no</p>
<p>17. Type of adaptation procedure described ( ):</p> <p><input type="checkbox"/> translation only  <input type="checkbox"/> cultural adaptation only  <input type="checkbox"/> translation &amp; cultural adaptation  <input type="checkbox"/> N/R  <input type="checkbox"/> not applicable (N/A) – original HTQ</p>	<p>18. Authors stated they followed the guidelines recommended by the developers of the HTQ? ( )</p> <p><input type="checkbox"/> yes  <input type="checkbox"/> no  <input type="checkbox"/> N/A (original)</p>
<p>19. Authors stated they followed guidelines developed by experts in translation/adaptation of instruments? ( )</p> <p><input type="checkbox"/> yes  <input type="checkbox"/> no  <input type="checkbox"/> N/A (original)</p>	<p>20. Translation procedure used ( ):</p> <p><input type="checkbox"/> oral translation  <input type="checkbox"/> forward translation  <input type="checkbox"/> back translation  <input type="checkbox"/> blind back translation  <input type="checkbox"/> N/R  <input type="checkbox"/> N/A (original)</p>
<p>21. Did the authors report that a committee approach was used during adaptation? ( )</p> <p><input type="checkbox"/> yes  <input type="checkbox"/> no  <input type="checkbox"/> N/A (original)</p>	<p>22. Did the authors report that there was a cultural adaptation of the HTQ? ( ):</p> <p><input type="checkbox"/> yes  <input type="checkbox"/> no  <input type="checkbox"/> N/A (original)</p>
<p>23. Did the authors report that the adapted HTQ was pre-tested? ( )</p> <p><input type="checkbox"/> yes  <input type="checkbox"/> no  <input type="checkbox"/> N/A (original)</p>	<p>24. Administration of the HTQ was done by ( ):</p> <p><input type="checkbox"/> self-report  <input type="checkbox"/> clinician  <input type="checkbox"/> non-clinician  <input type="checkbox"/> N/R</p>

<b>Cronbach's alpha values of the HTQ symptom scales</b>		
25. HTQ-30 $\alpha$ :	26. HTQ-16 $\alpha$ :	27. HTQ-14 cultural symptoms $\alpha$ :
28. Intrusion/Re-experiencing subscale (4 items) $\alpha$ :	29. Avoidance/numbing subscale (7 items) $\alpha$ :	30. Hypervigilance/arousal subscale (5 items) $\alpha$ :
31. Other scale $\alpha$ (specify the scale):		
<b>Construct validity coefficients</b>		
32. Version of HTQ symptom scale used ( ):		
<p>___ 16 (PTSD – original HTQ)</p> <p>___ 30 (incl. 16 PTSD – original HTQ)</p>		
33. Correlate ( ):	34. Measure/Variable (e.g.: HSCL-25 Anxiety, BDI-II, witnessing violence)	
Other PTSD measure___ Cumulative trauma___ Cultural symptoms___ Dissociation___ Anxiety & Depression___ Anxiety___ Depression___ Somatization___ Other: _____		
35. Format & values		
Correlation (r or rho): _____ Sample size: _____ p-value: _____		
Kappa (k): _____ Sample size: _____		
ANOVA (comparison of two independent groups) F-value: _____ Group #1 n: _____ Group #2 n: _____		
Odds ratio: _____ Confidence limits: _____		

T-test (t-value): \_\_\_\_\_ Sample size: \_\_\_\_\_

Cohen's d: \_\_\_\_\_ Sample size: \_\_\_\_\_

Other format & values:

36. Other validity coefficients: If there is more than one validity coefficient, please input information here :

Version of HTQ  
Values

Correlate

Measure/variable

Format

## **Appendix C**

Studies Included in the Reliability Generalization of the HTQ (Study 1)

## Studies Included in the Reliability Generalization of the HTQ (Study 1)

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## **Appendix D**

Studies Included in the Validity Generalization of the HTQ (Study 2)

## Studies Included in the Validity Generalization of the HTQ (Study 2)

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